

THE OHIO STATE UNIVERSITY  
OFFICIAL PROCEEDINGS OF THE  
ONE THOUSAND THREE HUNDRED AND NINETY-SIXTH MEETING  
OF THE BOARD OF TRUSTEES

Columbus, Ohio, September 5, 2003

The Board of Trustees met at its regular monthly meeting on Friday, September 5, 2003, at The Ohio State University Longaberger Alumni House, Columbus, Ohio, pursuant to adjournment.

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Minutes of the last meeting were approved.

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The Chairman, Mr. Sofia, called the meeting of the Board of Trustees to order on September 5, 2003, at 10:15 a.m. He requested the Secretary to call the roll.

Present: Zuheir Sofia, Chairman, Tami Longaberger, Daniel M. Slane, Robert M. Duncan, Karen L. Hendricks, Dimon R. McFerson, Jo Ann Davidson, Douglas G. Borrer, Walden W. O'Dell, and Paula A. Habib.

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### **CHAIRMAN'S REPORT**

Mr. Sofia:

I would like to welcome everyone back from what I hope was some time away from this monsoon season here in Central Ohio. Since we did not meet in August we have a very full agenda today, so I'll try to keep my remarks brief. In a few minutes we will hear a wonderful report from President Holbrook.

First, I want to begin with a recognition of one of our Board members. The Longaberger Company has a great tradition of compassion and giving, and it gives me great pleasure to acknowledge the ongoing generosity of Vice Chair Tami Longaberger.

Tami represents the highest Ohio State tradition of caring, self-worth, compassion, friendship, diversity, community involvement, and academic excellence. She is someone special and someone I am proud to have as my friend.

As I am sure many of you know, the Longaberger family has been exceptionally generous to this University. Their gifts have been well-thought-through, focusing attention on academic and research excellence, and inspiring others to follow their wonderful example.

Their gifts have reached across the campus from The Dave Longaberger Endowed Chair in Urology, to the Ohio Union Campaign, to diversity programs for student leaders, and to this magnificent building in which we have our meetings. The Longaberger family has enriched the University in a very purposeful and telling fashion.

Most recently, the thoughtfulness and generosity of the Longabergers has played out in an especially rewarding fashion for the University. Some of you may not know that The Longaberger Company's Ohio's Horizon of Hope Campaign supports major research projects of the American Cancer Society. This past August, Professor Barbara Andersen, from the Department of Psychology, received a highly competitive Research Scholar Grant of \$1.8 million in support of her study of "Biobehavioral Aspects of Recurrence." And, of course, this came from Tami's company.

I wish to extend my congratulations to Professor Andersen for her success in garnering this research award. On behalf of the Board of Trustees, I would like to express, once again, our deep appreciation to Tami and The Longaberger Company for such ongoing commitment, support, and generosity. You and your company have made significant contributions in making Ohio State a great university. After all, "Gratitude is the memory of the heart." Thank you, Tami.

September is often seen as an ending of summer and the vacations that are a part of that season, but for those of us fortunate enough to be connected to universities, autumn is a time of renewals and beginnings. In the academic world we are about to start a new year here at Ohio State, and I know that our

### **CHAIRMAN'S REPORT (contd)**

Mr. Sofia: (contd)

faculty and students are looking forward to starting this new year in several weeks.

The summer has not been without its difficulties in our community, but I want to emphasize something I said here before: our students are our primary customers. They are at the center of our mission here at Ohio State. I want to begin the year by reassuring our students and their parents that this Board is firmly committed to keeping the University's focus on our students.

We will do all that we can to support the President, her staff, and the community at large to provide a safe, healthy, and fun environment for our students so they can go about the task of pursuing their education.

I know many of you have some concerns about the rise in tuition at the University. Decisions to raise tuition have certainly not been taken lightly by our Board, but I want to note that the University has worked diligently to address this critical matter through an increase in financial aid. As you will hear in a report later this morning, the University has managed a 17.3 percent average annual increase in financial aid since FY 2000. We have gone from a financial aid budget of \$25 million in 2000 to \$46 million in 2004. This represents a substantial increase in a critical area for the University; indeed, one of our great challenges is to sustain this trend in the future.

We have many other challenges as well as opportunities this year. My fellow Trustees and I look forward to renewals and beginnings with great anticipation as we enter the 2003-2004 academic year.

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### **PRESIDENT'S REPORT**

President Karen A. Holbrook:

I'm going to do something today that I said I wouldn't do, but I'm going to do it anyway. Usually, I focus on one issue and I am going to focus on one primary issue, but there are a number of pieces that I want to pull together for this meeting at this time for a number of reasons.

The first thing I want to do, and it gives me great pleasure to do this, is announce a new arrival to our administrative team. This is our new vice president for University Relations. I think everyone knows, particularly this University, this year, how valuable University Relations is to getting the word out about all of the good things that happen and to communicating all of the things that happen, period. It is absolutely an essential part of this institution.

We have a wonderful team that's been working very effectively since Lee Tashjian left last fall, first under the leadership of Barbara Snyder and then under the leadership of Elizabeth Conlisk. But today, I have the real privilege of introducing to you Dr. William Murphy who comes to us from the University of Illinois. He will join us Monday, and is busy settling into his new home. He spent the last 20 years in university relations leadership at the University of Illinois and at the University of Chicago, two great institutions where he is well known for all of the good things that he has done.

## **PRESIDENT'S REPORT (contd)**

President Holbrook: (contd)

He, as I said, is Dr. Murphy – he has a Ph.D. degree in modern Irish history. I'm not sure how that prepared him for this job, but I think if you look at any of our jobs, we're not sure what we did that prepared us to do what we do. But I do know he had a wonderful vacation this summer in Ireland, so there's a connection there somewhere, and, of course, with his name as well.

He is very well qualified and was recruited through a national search as the top candidate. Bill is here with us today and I'd like to have him stand and I am so pleased to introduce him to you. Please welcome Bill Murphy. Bill, if you want to say anything --

Dr. William M. Murphy:

I'd just like to say that I'm very glad to be here.

President Holbrook: [PowerPoint Presentation]

Good. We're very glad to have you. Thank you.

I also want to take this time to thank Elizabeth Conlisk. Elizabeth fit in beautifully when we shuffled Barbara from one job to the next -- as she's now the interim provost. And we knew we could do that because we had such strength in the office. Elizabeth has just done a wonderful job for us in the last six weeks covering a very difficult time for Ohio State, but doing it with great style, great grace, and a lot of intelligence, common sense, and good connections. So, Elizabeth, thank you so much for what you've done. The good news about this is that Elizabeth is not leaving. We still have Elizabeth's talents with us in this period of time where she's gained new experiences and is going to strongly support all that we do. I know she'll be a great asset to Dr. Murphy. So thank you, too, for what you've done for us.

The remarks that I decided I would focus on this time are related to our federal agenda. Since the arrival of Ellyn Perrone from Texas A&M last year, we spent a lot of time with our state agenda, which she walked right into the middle of. But part of what we need to do is also be very successful at the federal level. I wanted to take a little time this morning to talk about government relations and particularly as it relates to our federal agenda.

So I've put together the information I have in a series of slides. What it tells you is that when I came last fall, we were in the middle of a search for a government relations vice president, and the job description wasn't as broad as I felt was necessary for a campus of this size, this dimension, and this importance nationally and internationally. So we reconfigured the responsibilities of the position so that it covered all of the things that we need to do in government relations.

I think you can see from this slide what the responsibilities are of that office. They're very important responsibilities on campus. We have many very talented and committed government affairs people, but we wanted them together working as a team. And Ellyn has brought them together as a team to benefit not just their individual units, where they are primarily located, but the University overall.

We needed help in the city. We have many interactions that are ongoing with City Council – with the governor, too, but that's a state issue – and the mayor. Many of the things that go on on this campus are obviously embedded in what happens in Columbus. So that's an important area to cover.

## **PRESIDENT'S REPORT (contd)**

President Holbrook: (contd)

The state areas are very important to cover, as are federal relations -- all of the things that we do with the Executive branch, with our own delegation, with the agencies, and with our national organizations. Now, we have a very small team doing this. It's bigger if you count campus-wide, but centrally our team is rather small and Ellyn is leading that right now.

I want to focus my remarks next on our federal agenda because this has not been an area of focus that we have talked about to this point. I'm going to talk about three specific areas and why we are focusing on those areas. They all relate to the success of what we do at Ohio State. And all of them have to do, again, with our resources and how we enhance our resources, particularly in these times of tight budgets where enhancement from any mechanism is absolutely critical. Having a strong government relations program at this time is important for that, as well as for policy decisions.

I'm going to mention three areas we are specifically focusing on. The first slide tells you about our role in promoting or increasing federal aid and federal support to our students. Mr. Sofia told you how we invest our own money in students; we also use a lot of federal aid. In fact, Ohio State invests more federal dollars -- we have the largest federal loan program of any university in the nation. You can see the dimension of those numbers: \$251 million for this past year, supporting over 29,000 students -- very important resources. It's very important that we lobby. It's very important that we keep these numbers very high. So that's one of the areas where we play a role in trying to influence what we do at the federal level.

Seventy-five percent of Ohio State's federal awards came for R&D in the past year. You can look at the number -- the \$221 million of federal dollars that come into Ohio State. It's very important that our delegation is on board, understands what we're doing in the research arena, and that we connect and work with them not only for direct appropriations, but also for the money that we get through agency support. So that's very important.

The next slide shows the second area where we are working and, again, it's to promote different kinds of policy issues and federal regulations where we can make a difference. As you know, Higher Education Reauthorization is up this year. There's a lot of bashing of universities and how we do not use our resources wisely, we are raising tuition to exorbitant levels, etc. It's very important that we weigh in on these issues and talk about the different programs that do make a difference to our students.

Increasing Pell Grants is very important for Ohio State. We have 10,000 recipients who receive a total of \$20 million through Pell Grants that help them support their education to be at Ohio State. It's important for us to lobby increasing the loan limits to our Stafford Subsidies Loan. These are loans that come to Ohio State's most needy students, many of whom are minorities. So this is very important.

There are other regulations that we need to lobby for and be diligent about and keep the campus informed about on a regular basis. One of them that you're all aware of is the SEVIS regulations, which right now are hurting all universities in terms of getting our international students here, keeping them here, and, when they go home, getting them to come back again. So that's something we have to watch as well.

Two other things I'll mention quickly: the use of information technology to impact the way technologies can be used for teaching and learning at universities. Some

## **PRESIDENT'S REPORT (contd)**

President Holbrook: (contd)

of the regulations there would be onerous and would really interfere with the kinds of things that we do in distance education, the kind of databases that we need to have available for us for educational purposes.

We are supporting Bayh-Dole legislation that was put in place back in the early 1980s that allowed us to do commercialization and technology transfer in universities and opposing the "State Sovereign Immunity" legislation, which would be devastating to us. It would damage our ability to protect our intellectual property, which would assure that the intellectual property we do try to protect for the economic good of the state and the nation would no longer be in place. So these are things that we work on, that we need to work on, and are working on right now.

Another part of our agenda is to develop a database of our people. There are so many organizations in Washington where our faculty and our administrators can make a very sincere difference in planning legislation, in helping set policy, and in testifying, and many other ways to really promote decision-making at the government level. We need to know who does that on a regular basis, what talents they have, and how we can fit them in and put them on different boards that would use our faculty. We have not been aggressive in pursuing this and it's very important that we do that. Through Ellyn's office we are starting to develop that intelligence for Ohio State to make a difference for the nation, for our state, of course, and for our own institution.

The next area is to also focus on our federal appropriations agenda, and I'll go through this also rather quickly. It hasn't been very long that Ohio State has been trying to put earmarks in place -- now called "plus-ups" by working in that domain with our delegation. One of the issues that we hear regularly from our own delegation is, "What is it you want? What do you want for Ohio State?" We hear this from this faculty member and that faculty member, "We need a consistent plan from The Ohio State University of what are its priorities and we'll work for you." We need to take advantage of that, we need to have our delegation on our side, and we need to develop a strong federal agenda that we market. We've been doing it since the year 2000, but we are behind most institutions in being a very strong force in working through that kind of an agenda.

We have a document out now at the vice presidential-level that describes the process for developing themes, ideas, and programs from the campus that we will put together in a federal agenda. Once that comes back from the vice presidents, it will then go on to the deans as a process. We will have a process, a plan, and a timetable to do that. That will be happening within the next few weeks, so that we do not miss another cycle.

Let me mention this quickly and then I'll go through the 2003 and 2004 agenda. We have not had a permanent presence in Washington, DC. Most major research universities have somebody on the ground, either sharing space with another university or building a cohort of government affairs people who are there in Washington. Ohio State has not. It's been incumbent on us to go back and forth, and we have people who have done that. But the plan is that we will put somebody on the ground, as do many other members of the Big Ten.

The University of California, as a whole, has 11 people in place, and that's a pretty big number of government relations folks, but remember it's a pretty big system. They also use it as a student training area, as an educational program site. One of our great assets is that the John Glenn Institute does have its academic internship program in Washington, DC, and has space. We can take

## PRESIDENT'S REPORT (contd)

President Holbrook: (contd)

advantage of that and, again, use it for our person on the ground as well as for our students. So I think that's an exciting opportunity that we can work toward and that's a goal for this year as well.

So let's just look quickly at how well we have done recently, considering that this has been only on Ohio State's agenda since the year 2000. You can see the colleges that have benefited – those are in yellow – and the programs that have benefited from direct appropriations and the amount of money.

I think the next slide will summarize what the bottom line is over that period of time, and include at the bottom the agriculture special grants. Agriculture has always had its act together very well in lobbying the federal government and Ohio State is no exception to that -- that's not a bad return. I specifically wanted the Trustees and all of you to see that in spite of an incipient program, we do have a program that's effective, it has been working, and the dollars that it brought in – \$17 million – is quite a credible figure to add to our support of the institution from direct appropriations.

The last slide talks about the year FY 2004, which is not yet completed. What we know about the agenda that is there right now for decision is that the House has passed 11/13 appropriations bills, the Senate has passed 4/13, and Congress has now returned to complete its work and, hopefully, will be adjourning late in October. At that point, we will know which of these actually has been approved. So I put them here not as far as the specific initiatives, but rather in the categories of the initiatives that we have set forth for the FY 2004 budget.

Where are we going to be in the next two years to come? While we're going to get our act together in a more strong and forceful manner, we have a harder road to travel. As you know, the budget is already in deficit by \$420 billion for the FY 2005 budget, looking toward a \$480 billion deficit for the FY 2006 budget, and that's before the costs of the war are taken into account for the upcoming year.

So not to put a pessimistic spin on our potential outcome, but to let you know it's going to get harder and harder for these "plus-ups" to be funded every year. As you know, we hear every year from Congress that they don't particularly like to see these kinds of things happen, but for the institutions that sit back and say they don't like to see these things happen, somebody else is in there getting our money. It is important to stay the course on this one and, I think, we're doing a good job. I believe that we're going to keep ramping up our federal agenda. We'll keep you in touch and in tune with our successes as we go along; we'll know fairly soon.

I also wanted to add a couple of extra things about government affairs' issues that have affected our campus directly and have not been involved necessarily through Ellyn's shop, but through Bill Shkurti's shop. But it does have to do with our relationship to the federal government.

One of the things that is very important for us is our indirect cost rate on our federal grants and contracts that come through. We have just recently negotiated a new rate with the Department of Health and Human Services that brings our on-campus rate for indirect cost from 47.5 percent to 49.5 percent. This is very important because, based on our current research expenditures -- now remember, our research expenditures have gone up 80 percent over the last five years, so as you keep ramping that up, your indirect costs go right along with it. This will bring in an extra \$2.5 million in indirect cost, which is very significant. As our research goes up, so will our indirect cost. So that is very important.

## **PRESIDENT'S REPORT (contd)**

President Holbrook: (contd)

The biggest factor contributing to the change in the indirect cost rate was the effect of our equipment inventory that was completed in 2002 and our enhanced space survey. Space and equipment make a big difference in the negotiations. I want to give particular credit to two people for having done an extraordinary job in helping negotiate this new rate: Greta Russell, University controller, and her staff for their very hard work in successfully completing this latest negotiation; and Frank DiSanto, executive director of the Research Foundation, and his staff as well for their valuable assistance. Greta and Frank, if you'd stand so we can thank you. You have made a huge difference.

Since we're talking about federal things, let me bring in one more person I wanted to invite to say some remarks, and this is Todd Guttman, from the Office of Research. Todd has been with an NIH compliant review that we undertook voluntarily to look at our compliance regulations. This has just been concluded and it was very successful, and Todd wants to say a little bit to you about this.

Mr. Todd G. Guttman:

Thank you, President Holbrook. Mr. Sofia and members of the Board, as President Holbrook noted, the University just underwent a proactive compliance review from the National Institutes of Health, Division of Grants Compliance and Oversight. The NIH has conducted these reviews at major research institutions for the past three years and earlier this summer we were asked if we'd like to participate. Generally when the government calls you and says that we're here to help, you have concerns.

The NIH came with eight team members last month and the areas that they looked at was an in-depth analysis of our policies, procedures, rules, and academic and educational outreach programs. This involved how we conduct clinical research here at the University, how we financially manage sponsored projects from the NIH, how we manage investigators' financial conflicts of interest that may occur during the research projects, and how we manage the Bayh-Dole regulations that President Holbrook mentioned earlier in commercializing our inventions and getting our technology out in the marketplace.

Before the NIH arrived we actually spent a number of months assembling all of our rules, policies, and procedures. Before they came, we actually FedExed them a nearly six-pound document of all our written rules and procedures in all of these areas, which were quite extensive, in addition to supplying them with the electronic versions of all of these documents. So they came quite prepared.

When they arrived last month, the site visit team met with key administrators in the Office of Research, at the Research Foundation, the deans, and key personnel in the various colleges and units that conduct NIH-funded research. They met with investigators and the research staff at the college-level. Specifically, we had teams from the Colleges of Medicine and Public Health and Social and Behavioral Sciences meet with the NIH team, and it was a very complex and comprehensive review.

These reviews are proactive; they're not in response to any problems of which the NIH was notified, so there's no formal report. However at the end of the day, the NIH did have a plenary closing session, where they discussed their observations and their remarks. I'd like to share with you some of the results that they told us.



## **PRESIDENT'S REPORT (contd)**

Mr. Guttman: (contd)

Overall, they were "...very favorably impressed with the strong commitment the institution has made with compliance..." They particularly praised OSURF for its innovative use of technology and managing sponsored projects and training its staff members and Ohio State researchers. They also praised how we conduct clinical research here at the colleges such as Medicine and Public Health and Social and Behavioral Sciences, and the effort that we put in investigative training. The Office of Responsible Research Practices was also pointed out as being an exceptional office.

They also praised our technology management programs and our "...strong commitment to technology commercialization..." including our innovative management of technology start-up companies and processes for managing those. They also praised our financial conflict of interest programs and our training and outreach efforts, and noted that those could be models used by other institutions across the country.

The NIH also provided us with very helpful suggestions on how to continuously improve our programs. Those suggestions included increasing our training and educational outreach programs at even greater levels than we do now. They also suggested that we revise and update our policies to even more carefully and more precisely define the different roles and responsibilities of everyone who's involved in the research enterprise here. Finally, they urged the University to continue to provide the resources necessary so we can continue our high levels of compliance here at the institution.

Again, we were relieved and very gratified that the NIH were very impressed with our programs. Tom Rosol, interim vice president for Research, will be giving the Board a full report to the Academic and Student Affairs Committee in the next few months.

If you have any questions, I'm available to answer them.

President Holbrook:

Any questions? Todd, thank you very much. This is very important for the institution. It doesn't get the kind of PR that some of the other things do, but it underpins everything we do to do it with quality. Thank you and thanks to everybody who played such a big role in this review. I know it was a huge undertaking. Thanks very much.

I'm going to now call on Barbara Snyder and then close with a few personal remarks.

Interim Provost Barbara R. Snyder:

We want to share with you some really good news. That is, Ohio State's ranking in *U.S. News and World Report*, the "America's Best Colleges for 2004" came out. Maybe many of you have seen it. We moved up two places. That might not sound like a lot, but it actually is very significant because you have to knock somebody else out to get there, as President Holbrook likes to remind us. So it is a challenge because the other institutions are also working very hard.

We, among publics, went up from 24<sup>th</sup> to 22<sup>nd</sup> this year. Seven of our benchmark institutions also ranked higher, making it even more challenging to get to that next level. In the rankings, they look at information in seven categories: academic reputation, graduation and retention, faculty resources, student

## **PRESIDENT'S REPORT (contd)**

Interim Provost Snyder: (contd)

selectivity, financial resources, alumni giving, and graduation rates. In all categories, Ohio State either maintained or improved the previous year's ranks.

One of our brightest spots in the category rankings was the category of student selectivity. Last year we were ranked seventy-fourth; this time we're at an all time high of sixty-ninth. To give you some perspective six years ago we ranked 106<sup>th</sup> in that category, so we are really moving up. That's obviously a result of our selective admissions program. Another is the graduation rate performance rank where we moved from being ranked sixtieth now to forty-seventh, and that is a very significant increase as well.

So we are very excited about the new rankings and what that means for recruiting and retaining both students and faculty. It's a great start to the new year.

President Holbrook:

Thanks, Barbara. I will remind you again of what we always say – we don't go out and strive to move up in the rankings. We continue to do what we think is the right thing to do and move ahead in the Academic Plan and, as a result, the rankings will follow. We remain very committed to the Academic Plan.

I'm going to close with a few personal remarks. I won't belabor them very long, but this is finishing my first year. It's very hard to believe that it is about three weeks short of a full year, but I thought I would share some observations.

I was talking with one of my colleagues on the Board of Trustees, who suggested I might do this and his comment was, "Well, do you think about what your first year was like?" And I said, "I not only think about it, I write it down." Because there will be other first years for presidents and there will be other people that might take advantage from the kinds of things that I have written down -- either humorously or seriously and a little bit of both -- over the year that could be shared and could be helpful for somebody coming in.

Also, I have done this in the past as a means of therapy. Sometimes when things are difficult and things are new, if you put it down on paper and write out your own thoughts, it becomes funnier than it is sad or worrisome. So that's something I've done. The suggestion was made that I share some of those things and I thought maybe I would at the end of a first year. Some I would share, some I won't share with a public audience as a whole. But I do think I have learned a great deal this year. I'm sure that I will learn a great deal more next year and every year that I'm here, as we all do, because this a place where that's what we're all about -- learning.

I thought some of the things might be of interest to you. One of the things that's very clear and this will always be true, is that we are constantly challenged by stereotypes. Everywhere we go, somebody thinks they know how the University runs and how it runs badly. The kinds of things we hear, as you well know: "pedantic and esoteric research, poor teaching, indifference to students, inability to curb excess, trim budgets, bloated bureaucracy," and I could go on and on. Those are expectations, those are challenges to us, and I think it behooves us to think how we answer them seriously. They're not by people who don't take them seriously; they believe what they say. So that's something I think about, how do I address those in a way that doesn't say they're wrong, but says let's hear the data that suggests there's another answer to it.

## **PRESIDENT'S REPORT (contd)**

President Holbrook: (contd)

I have learned to adapt to and enjoy a just-in-time life. I can't do the long-range planning I used to like to do and feel good about. I've learned to expect the unexpected. I think all of you appreciate these. I've learned that it's very good to have a lot of highs because you're going to need them to weather the lows. I think looking across the year at Ohio State, we've had an enormous number of highs that one can always come back to and they usually relate to the people. I think that the longer one is here, those highs and lows will level out as you know more people and more ways to do things.

I have written down how to say "no." Learning how to say no is one of the things I've always done very badly. I'm learning how to do it better and I think that's something that's very important to learn in the first year.

Something else I've learned is to look for and capture what I've called "hidden and not so hidden gems" in people, in programs, procedures, and ideas. Any one of us that leaves a university to come to another university means that we love universities and we love where we were. You come with the idea that what we did was wonderful and where we're going probably can be improved. What I have learned everywhere I've gone is that the gems are where you're going. You can set aside what was in the past, because you'll find it's really wonderful what you're doing.

I have learned the importance of having a strong partner and how valuable that is. I've learned how important it is to have people around that you trust and value. I have learned that nothing is confidential and if anybody says to you, "this is strictly confidential," it's not unless you tell nobody. Nothing is confidential. A piece of advice that was given to me by Shirley Westwater, and I will always remember this. She said, "Discouragement is a luxury you can't afford." I think that's a wonderful piece of advice; I like it very much.

I have written a lot of things and I won't go on longer, but I want to read something that I have found that I thought about, and it is if I were giving this advice to somebody else, and there's a lot more here. "Why would anyone want these jobs? The requirements are vast; the work is demanding, unrelenting; the criticism is abundant, freely shared; the loss of privacy is significant; personal finances, behavior, and appearance are scrutinized and assessed publicly." I am quoting Rita Bornstein, who said, "On some days, it takes on the power of a spiritual calling; on others, it remains strictly a corporate chief executive role. It may be one of the hardest jobs in America, and presidents sometimes make mistakes. But for those who serve, the presidency is a great honor and we gladly devote our lives to it."

I would add that I think it's a calling. We're driven by a mission and not by profit at the University. It is a privilege to lead a very special organization where the world's brightest and most engaged people spend their lives and have spent at least part of their lives and never lose their love for an institution. That is so much the case here. It is much more than a place to work; it's a cornerstone in people's lives, it's a touchstone for their lives. It's a place for memories, it's a place where dreams emerge, it's a grounding, and it's a connection forever with people with a place that's timeless, and that will always be here.

Thank you for the opportunity to be with you.

## **PRESIDENT'S REPORT (contd)**

Mr. Sofia:

Thank you, Karen, for a wonderful report, and we're glad to have shared with you this first year.

President Holbrook:

Thank you.

(See Appendix IX for President Holbrook's PowerPoint Presentation, page 267.)

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## **STUDENT RECOGNITION AWARD**

Ms. Habib:

The Student Recognition Award is presented each month by the Board of Trustees to a student in honor of the student's achievement in his or her area of study, service to the University and community, and research achievements that have been a credit to the college and the University.

This month's Student Recognition Award recipient is Kenneth F. May, Jr., who was nominated by Dean Fred Sanfilippo, from the College of Medicine and Public Health. Ken is a student in the combined M.D./Ph.D. program in the College of Medicine and Public Health, has completed two years of medical school, and is currently in his third year of study and research towards the Ph.D. degree.

He has a long list of accomplishments in academics and leadership, which I will briefly summarize for you. Ken graduated from Oakwood High School in Dayton, Ohio, in 1993, as the class valedictorian. He then attended Davidson College in Davidson, North Carolina, in a Bachelor of Science program focusing on medical humanities. For two summers he was involved in research at the Hipple Cancer Research Center in Dayton, and attended the University of Edinburgh in Scotland, as part of a study abroad program.

He graduated from Davidson College, magna cum laude, with an overall grade point average of 3.8 and a perfect biology grade point average of 4.0. During his undergraduate years, Ken received several academic awards including the following: Phi Beta Kappa National Honor Society, Alpha Epsilon Delta Premedical Honor Society, and Beta Beta Beta Biology Honor Society.

After graduation, Ken obtained an additional year of research experience with Drs. Edward Martin and Emilio Barbera-Guillem working on the immunology of cancer. Based on this work, he was co-author on two publications in prestigious scientific journals.

Ken then entered the M.D/Ph.D. degree program at OSU. Based on his outstanding undergraduate record, research experience, and test scores on the Medical College Admission Test, Ken was the recipient of three prestigious academic awards: a Medical Scientist (M.D./Ph.D.) Fellowship, a Distinguished University Fellowship, and the Molecular Life Sciences Fellowship.

During his first two years of medical school, Ken was recognized for his outstanding academic performance including honors in medical humanities, honors in Med 1 basic sciences, and a letter of commendation in Med 2 basic sciences.

### **STUDENT RECOGNITION AWARD (contd)**

Ms. Habib: (contd)

Knowing this, it is perhaps not surprising that on the national examination given to test competency on the material studied during the first two years of medical school, the U.S. Medical Licensing Exam, Ken scored in the top one percent of all medical students in the entire country who took this test.

During his first two years of medical school, Ken's interest in tumor immunology continued to grow and, in 2000, he chose Dr. Yang Liu as his Ph.D. advisor in the pathology graduate program, with whom he is currently conducting research on tumor immunology for his Ph.D. dissertation. His work in Dr. Liu's laboratory has already resulted in two scientific papers that have been published and a third one that is in press. In parallel with these publications, he presented his research at three national scientific meetings.

During his years as a graduate student, Ken became a member of the Association of Pathology Chairs (APC), Pathology Honor Society, and the Phi Kappa Phi Honor Society.

However, Ken's activities have not been limited to studying and research. He has made several significant contributions to important extracurricular events in the College of Medicine and Public Health. For one year, he was the secretary of the Medical Scientist Student Organization and for the past year he served as this organization's president. He played a major role in organizing and fundraising for the first two OSU Medical Center Graduate and Postgraduate Research Days, at which trainees in the College of Medicine and Public Health present their research projects.

This spring he was the judging chair for the Future Physician-Scientist Award. This is an event sponsored by the Ohio Academy of Science at their State Science Day, at which high school students interested in biomedical research present their research projects.

As you can see, Ken is an outstanding student who has accomplished a great deal as an undergraduate student, a medical student, and as a graduate student. He is the kind of student who has brought considerable recognition and honor to OSU already, and I'm sure that he will continue to do so in the future.

Being a student myself, it is a great honor for me to be able to present a student like Ken to the Board of Trustees. The Board appreciates the chance to meet students, especially ones who exemplify exactly how bright and committed the students at Ohio State really are.

Congratulations, Ken.

Mr. Kenneth F. May, Jr.:

I'd just like to say a few words of thanks. Anybody who has known an M.D./Ph.D. student knows that it's a very long road and without a lot of strong supporters and role models, it's even harder. I'm very grateful to The Ohio State University and Dean Sanfilippo for their financial support of my education; that's something that has been a wonderful blessing.

I have a number of great role models: Dr. Allan J. Yates, for his tireless leadership of the M.D./Ph.D. program; Dr. Yang Liu, for his exceptional research mentorship; and Dr. Edward Martin, for his clinical mentorship and his inspiration.

**STUDENT RECOGNITION AWARD (contd)**

Mr. May, Jr.: (contd)

Most importantly I'd like to thank my family, who has given me unconditional love and support through this whole process and they are here today. My younger brother Stephen would be here, but he's a first-year medical student and taking his first anatomy examination as we speak. So I wish him luck and, hopefully, he can have future success here, too.

I'm very happy to represent Ohio State and, hopefully, through hard work, I can make this University proud. Thank you.

Mr. Sofia:

Questions?

Mr. McFerson:

Congratulations. A combination M.D./Ph.D. degree program just boggles my mind! What are your plans when you finish with all of this? Are you hopefully going to be on our faculty?

Mr. May:

I'd like to. I would really like to follow in Dean Sanfilippo's footsteps with the intention to be -- what we call a triple threat -- an educator, a researcher, and a clinician. It's a difficult model to follow and attain.

Mr. McFerson:

Good luck to you.

Mr. Sofia:

Good luck, Ken. Congratulations, again, on behalf of the Board.

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**PRESENTATION ON FY 2004 BUDGET**

Interim Provost Barbara R. Snyder: [PowerPoint Presentation]

This year we organized our budget presentation around what we believe is the primary guiding principle for our University budgeting. That is that our budget should be, and we think is, aligned with our academic priorities. So you're going to be hearing a little bit about the Academic Plan.

To set the framework, we've reminded you here of the six major strategies of the Academic Plan. Bill --

Mr. William J. Shkurti:

We were starting off with the budget environment for FY 2004 that has some challenges of its own. Our core state funding for the Columbus campus -- which is the state share of instruction's Challenge Grants and we appreciate the fact that we weren't cut from where we were last year -- is still below the level of three years ago by 5.6 percent. For the third year in a row, revenue from student fees exceeds state support and the gap is widening.

**PRESENTATION ON FY 2004 BUDGET (contd)**

Mr. Shkurti: (contd)

Let me show you the chart that demonstrates that. Some of you who were here in 2001 -- in fact, I think it was in this very room -- when we talked about the first time we hit the crossover point in the history of the University, where student fee income exceeded state support. As you can see, that gap has widened dramatically since then. There's no evidence that that's going to change in the immediate future, and we'll talk more about the implications of that in a minute.

In addition, part of what we've tried to deal with -- which has really been a two or three year period of budget constraint -- is trying to do what we do more efficiently and with fewer people. So we've eliminated approximately 600 jobs over the last two years. About 100 of those were layoffs of filled positions that had real people in them. The other 500 jobs were real jobs that were budgeted and the funding was taken away. I know a lot of you get questions from people at the Statehouse and elsewhere saying the University never cuts anything. Well, we have and it was very painful, and that's the only way we could get where we needed to go.

At the same time, we have increasing competitive pressures on salaries for the best faculty and staff, and on student financial aid, and we're addressing those. We've always been able to turn to our endowment to help supplement this, but the endowment has suffered as have investments across the country because of the collapse of the stock market.

Finally, we have a period where the salary for most of our faculty and staff is still below market. So in trying to compete, attract, and retain the best faculty and staff, we've had to address that issue as well. Barbara will talk about that in a minute.

As a result, we've had to target our investments. We can't do everything we want, so we've set some priorities and Barbara will elaborate on those.

Interim Provost Snyder:

We are targeting our investments for FY 2004 in the four critical areas you see and I want to say something specifically about each one.

We are obviously interested in continuing to build a world-class faculty and staff. As we have the last few years, we are focusing on recruitment and retention of the best faculty and staff. As Bill mentioned, related to that is competitive compensation. Our average salary is still behind the market in almost every discipline and we are working hard to continue to make progress in reaching market levels in salary for both our faculty and staff.

We also recruited four new Ohio Eminent Scholars this year. As you know, we get money from the state and we have to match that money for those positions. The four Eminent Scholars have joined us this year: one in soil ecology, one in cosmology, one in biochemistry, and one in geodynamics. We are now recruiting to fill four new Ohio Eminent Scholar positions: two of those are in engineering, one in English, and one in psychology.

We continue to see the dividends from our investments in the Selective Investment units. You heard some reports this past spring from some of those units and know what they're doing. This year, we are making a significant new investment in our Comprehensive Cancer Center. It has already been very successful in cutting-edge interdisciplinary research and we hope with this new investment to build on that success and make it the very best in the country.

**PRESENTATION ON FY 2004 BUDGET (contd)**

Interim Provost Snyder: (contd)

We are continuing to invest in research support with Dr. Holbrook's call for renewed energy around our research mission. The new investments will be in interdisciplinary research and in research in the arts and humanities.

As Mr. Sofia reminded us at the beginning of the meeting, fundamentally we are here to serve students and we are targeting some of our investments to enhance and better serve the student body. This year, again, we've increased financial aid at a rate greater than the increase in tuition. We are providing technology support in the classroom. We have restructured our Morrill Scholarship Program to reflect our goal of educational diversity and we've had great leadership in that from Vice Provost for Minority Affairs Mac Stewart.

We've improved our enrollment services and we hope our students will see some of this improvement this year, especially in student financial aid and in admissions as we move to online admissions. These are under the leadership of Vice Provost and Dean for Undergraduate Studies Martha Garland.

We have implemented a new student activity fee, something that our student groups had been asking for. They had a very articulate and persuasive voice in the form of Vice President for Student Affairs Bill Hall. That got through the budget process this year, and they will see the benefits of that in increased availability of funds for student group programming.

We've added freshman seminars. This is part of a two-year pilot program. The effort is being led by Executive Dean for the Colleges of the Arts and Sciences Mike Hogan, working with the Office of Academic Affairs. We hope to have 40 of these seminars online Winter Quarter for our new freshmen.

As you know, we have also enhanced the mission of our regional campuses. We are working in collaboration with the Office of Student Affairs and the regional campus deans to offer more student services for students on the regional campuses.

We are also using some of the money, as the state has mandated, from our tuition increase this year to improve technology in the classroom. Students will be seeing the impact of that. We are providing more support for the Office of Information Technology that is being led by our CIO Ilee Rhimes. We're working hard to bring that instructional technology that makes student learning and teaching for faculty easier, better, and more efficient.

As you all know, we've been concerned about safety in off-campus neighborhoods, and Dr. Holbrook and Mayor Coleman have been working collaboratively on how we might do some things together to improve safety in our campus neighborhoods. We have set aside some money to do that.

We continue to work on improving our campus appearance, believing that it makes a difference how our campus looks when we're trying to attract great students and great faculty.

This slide just gives you an example -- we've chosen two sets of colleges. In the top half you'll see three colleges and how they fared under budget restructuring. The top half shows you three colleges that are heavily dependent on general funds. The bottom half gives you three colleges and how they fared, and they are not dependent significantly on general funds.



**PRESENTATION ON FY 2004 BUDGET (contd)**

Interim Provost Snyder: (contd)

As you can see, whether you are or are not dependent on general funds does not correlate to how well you do under budget restructuring. You can do well no matter whether you're heavily dependent on general funds or not. We're trying to make sure that the resources provide the proper incentive so that the colleges doing really well are the ones that are both teaching a lot of students and doing a lot of research.

Mr. Shkurti:

Now, I'll talk about the financial implications of the programmatic decisions that Barbara mentioned. First, it's belaboring the obvious in a way, but our long-term financial goals have to support our long-term academic goals. We think the recommendations we've given you today do that. Despite an uncertain financial environment, we'll meet both our long-term academic goals and our long-term financial goals, and I discussed that in greater detail in the Fiscal Affairs Committee meeting.

As a part of this, the University will continue to become less dependent on state funds -- and that was the chart that I showed you earlier. As funding sources diversify, new risk management tools will have to be developed so we can keep the ship on an even keel. I talked about that a little bit in the Fiscal Affairs Committee meeting as well.

As painful as it may seem, planning has already started for FY 2005, so that we'll be ready, hopefully, in July, to present the budget for next year.

One of the things we've talked about is less dependence on state funds and where the money's coming from. What this shows are the projected revenue increases for FY 2004 on the Columbus campus. You can see student fees and charges are a big part of that; they're going up 12.2 percent.

The health system is generating an additional 7.6 percent in revenues, and research overhead -- which was what President Holbrook was talking about when she recognized Greta Russell and Frank DiSanto today -- is projected to go up 6.7 percent. You can see our state support is virtually flat; it's going up 0.2 percent. We appreciate even that small percentage amount because it still translates to additional dollars, but that's still way below the level where we were three or four years ago.

The other thing that's not on here because we don't budget it -- it's harder to predict -- is private giving. As John Meyer reported in the Fiscal Affairs Committee, that's up nine percent in FY 2003. As the economy turns around and with the hard work of our development people, we hope that will be another area that increases. As you can see, this year continues the trend of less and less reliance on state support.

Even though our tuition has gone up, it's important to keep that in context. What this chart compares is Ohio's 13 public universities. You can see we're smack in the middle even after what seems to be a large percentage increase this year. We're in the middle even though what we offer is more comprehensive and the standing of our faculty and academic programs is certainly the highest among Ohio's publics. It's not to belittle the other schools, those are good schools as well, but there is a disconnect between resources and aspirations that we're continuing to struggle with.

**PRESENTATION ON FY 2004 BUDGET (contd)**

Mr. Shkurti: (contd)

I might add if we did this same comparison with the Big Ten, you would find a similar positioning. Ohio State is sixth out of the Big Ten public institutions, which is just about where we were a year before. So we still continue to be affordable and a tremendous bargain as a result.

So the strategic implications of the recommendations we're bringing forward to you today are that the University will continue to make progress in its strategic goals despite a difficult financial environment. That despite tuition increases, our undergraduate tuition remains well below Ohio's other selective admission public universities and by reallocating existing resources, our compensation increases on the average are one percent above market in 2004. However, we're still below market if you take the last three years together.

Of the reallocations in the budget reduction, the most visible strain will be on support services, because we've tried to protect the academic core and I think we've succeeded. But this means office support in terms of clerical support and so forth, facilities, maintenance, and equipment and supply budgets will continue to be under stress.

The University will have to continue to adopt more of the characteristics of a private university and I think the pace is actually accelerating as time is going on. Finally, the University will have to continue to examine everything it does to determine what we need to do better and what we no longer need to do at all.

That concludes our presentation. Barbara and I will be glad to answer any questions you might have.

Mr. Sofia:

Thank you. Any questions of Barbara and Bill? Wally --

Mr. O'Dell:

The amount we're behind in faculty compensation -- how many million dollars a year, in fact, is that?

Mr. Shkurti:

Mr. O'Dell, that's about three percent the last time we checked. Each percent of faculty and staff salaries -- if you assume the staff is about an equal gap -- is about \$4.5 million, so that's a \$12-13 million gap.

Mr. Sofia:

Any other questions? You've done very well. Thank you.

Mr. Shkurti:

Thank you, Mr. Chairman.

(See Appendix X for the PowerPoint Presentation on the FY 2004 Budget Summary, page 273.)

**APPROVAL OF FISCAL YEAR 2004 CURRENT FUNDS BUDGET**

Resolution No. 2004-24

Synopsis: Approval of the Current Funds Budget for Fiscal Year 2004 is proposed.

WHEREAS the State budget for Fiscal Years 2004 and 2005, including funding levels for State institutions of higher education, has been enacted; and

WHEREAS fee increases for the Columbus and Regional Campuses for FY 2004 have been approved at previous meetings of the Board of Trustees; and

WHEREAS other levels of resources and expenditures, including compensation, have been identified for the 2004 fiscal year; and

WHEREAS appropriate planning and consultation within the University has been accomplished, and the President now recommends approval of the Fiscal Year 2004 budget:

NOW THEREFORE

BE IT RESOLVED, That the University's Current Funds Budget for Fiscal Year 2004, as described in the accompanying text and tables, be approved, with authorization for the President to make expenditures within the projected income levels.

Upon motion of Ms. Longaberger, seconded by Mrs. Davidson, the Board of Trustees adopted the foregoing resolution by unanimous roll call vote, cast by Messrs. Sofia, Slane, McFerson, Borrer, O'Dell, and Judge Duncan, Mses. Longaberger, Hendricks, and Davidson.

(See Appendix XI for background information, page 281.)

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**CONSENT AGENDA**

President Karen A. Holbrook:

We have fifteen resolutions on the Consent Agenda today and unless there are any objections, I would like to recommend them to the Board:

**APPOINTMENT TO THE UNIVERSITY HOSPITALS EAST BOARD**

Resolution No. 2004-25

Synopsis: Approval of an appointment to the University Hospitals East Board is proposed.

WHEREAS the Board of Trustees on December 6, 2001, approved the establishment of a University Hospitals East Board; and

WHEREAS all members of a specialized board shall be appointed by the Ohio state university board of trustees in consultation with the vice president for health services, the senior vice president for health sciences, and the president of the university; and

WHEREAS the executive director and medical director of the facility or service shall be respectively appointed by the vice president for health services and the senior vice president for health sciences as ex-officio members of specialized boards:

NOW THEREFORE

**APPOINTMENT TO THE UNIVERSITY HOSPITALS EAST BOARD (contd)**

BE IT RESOLVED, That Dr. Hagop S. Mekhjian be appointed to the University Hospitals Board East as an ex-officio member in the capacity of chief medical officer of the OSU Health System, effective immediately.

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**AMENDMENTS TO THE BYLAWS OF THE ARTHUR G. JAMES CANCER HOSPITAL  
AND RICHARD J. SOLOVE RESEARCH INSTITUTE BOARD**

Resolution No. 2004-26

Synopsis: The amendments to the *Bylaws of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute Board* are recommended for approval.

WHEREAS The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute Board pursuant to bylaw 3335-109-02 of the James Cancer Hospital Board Bylaws is authorized to recommend to the Board of Trustees the adoption of amendments to their *Bylaws of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute*; and

WHEREAS the proposed amendments to the *James Board Bylaws* were previously endorsed and ratified by The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute Board on July 15, 2003, as followed:

Amended Bylaw

3335-109-01 General.

The body with ultimate responsibility to the Ohio state university board of trustees (hereinafter referred to as "university board") for establishing policy, maintaining quality patient care, and providing for organization management and planning and oversight of the financial performance of and within the Arthur G. James cancer hospital and Richard J. Solove research institute (hereinafter referred to as "CHRI") shall be the Arthur G. James cancer hospital and Richard J. Solove research institute board (hereinafter referred to as "board").

(A) The board shall be composed of:

(1) through (6) unchanged.

(7) One member of the OSU cancer scholars program appointed by the university board in consultation with the university president for a one-year term (commencing May 14 and ending May 13);

~~(7)~~(8) Unchanged.

~~(8)~~(9) Unchanged.

~~(9)~~(10) Unchanged.

~~(10)~~(11) Unchanged.

Balance unchanged.

NOW THEREFORE

BE IT RESOLVED, That the foregoing amendments to the *Bylaws of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute Board* be adopted as recommended.

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**CANCER HOSPITAL BOARD APPOINTMENT**

Resolution No. 2004-27

Synopsis: Approval of an appointment to The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute Board is proposed.

WHEREAS the Board of Trustees on September 1, 1993, approved the establishment of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute Board; and

WHEREAS the membership of The James Cancer Hospital and Research Institute Board was approved on November 5, 1993, and subsequently amended to include representation from the newly established Cancer Scholars Program; and

WHEREAS the following named individual has been selected for immediate appointment to the Cancer Hospital and Research Institute Board:

Clara D. Bloomfield, M.D.

NOW THEREFORE

BE IT RESOLVED, That the foregoing nominee be confirmed and approved, effective immediately, as a "Cancer Scholars" member of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute Board for a term ending May 13, 2004.

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**WAIVER AND NAMING OF A PARCEL OF LAND**

Resolution No. 2004-28

Synopsis: Approval of the Waiver of Paragraph (F) of Bylaw 3335-1-08 of the Administrative Code and Naming of a parcel of land at the Ohio Agricultural Research and Development Center (OARDC) and the Agricultural Technical Institute (ATI) in Wooster, Ohio, in honor of Grace L. Drake, State Senator of the 22<sup>nd</sup> Ohio District, is proposed.

WHEREAS the Board of Trustees of The Ohio State University, did on January 9, 1976, amend paragraph (F) of bylaw 3335-1-08 of the Administrative Code to state that "buildings and structures shall not be named for any person who is an officer or employee of the University or the State of Ohio, but may be named for a person who has been retired or has otherwise left such a position for a minimum of three years"; and

WHEREAS the Board of Trustees has authority in naming of all buildings and structures at The Ohio State University, as set forth in paragraph (F) of rule 3335-1-08 of the Administrative Code; and

WHEREAS Grace L. Drake was a strong proponent and ardent friend of agricultural education and research during her seventeen years, 1983-2000, as State Senator of the 22<sup>nd</sup> Ohio District; and

WHEREAS Senator Drake supported projects of The Ohio State University Wooster Campus within the legislature during her terms which provided over \$45 million in additional operating and construction funds; and

WHEREAS through her legislative action important new facilities such as the Arden Shisler Center for Education and Economic Development, a feed mill, and greenhouses were built; and

**WAIVER AND NAMING OF A PARCEL OF LAND (contd)**

WHEREAS Grace Drake negotiated and helped pass legislation which permanently transferred over 1,900 acres to OARDC which is used for research, education, and OSU Extension facilities to better serve the State of Ohio and the nation:

NOW THEREFORE

BE IT RESOLVED, That the applicable requirements of paragraph (F) of bylaw 3335-1-08 of the Administrative Code be waived so that the aforementioned parcel of land, at the Ohio Agricultural Research and Development Center and the Agricultural Technical Institute in Wooster, Ohio, may be named the "Grace L. Drake Agricultural Research, Educational, and Extension Laboratory."

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**RENAMING OF THE NEUROBIOTECHNOLOGY CENTER**

Resolution No. 2004-29

Synopsis: Renaming of the Neurobiotechnology Center to the Center for Molecular Neurobiology is proposed.

WHEREAS the Biotechnology Center was established in 1986, with faculty being members of one of three programs: Molecular Neurobiology, Plant Molecular Biology, or Structural Biology; and

WHEREAS the Biotechnology Center was reorganized administratively in 1994, and at that time the Molecular Neurobiology program continued to report to the vice president for Health Sciences; and

WHEREAS at the time of reorganization it was determined that the Molecular Neurobiology program was the "rightful heir" to the Biotechnology Center name and the program should continue as the Neurobiotechnology Center; and

WHEREAS the Neurobiotechnology Center does not accurately frame the research interests and efforts of the faculty; and

WHEREAS the Center faculty are developing research programs at an interface between the disciplines of Molecular Biology and Neuroscience, and much of the research being performed is exploiting molecular genetic model systems; and

WHEREAS the proposed name change would more accurately reflect the research conducted at the Center, would identify the faculty as "Molecular Neurobiologists," there by enhancing their national and international visibility, and would facilitate the continued programmatic development of the Center; and

WHEREAS the proposed name change was reviewed, discussed, and approved by the Council on Academic Affairs at its April 23, 2003 meeting:

NOW THEREFORE

BE IT RESOLVED, That the renaming of the Neurobiotechnology Center to the "Center for Molecular Neurobiology" is hereby approved, effective immediately.

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**APPOINTMENT TO THE ENGINEERING EXPERIMENT  
STATION ADVISORY COMMITTEE**

Resolution No. 2004-30

WHEREAS the Engineering Experiment Station is under the control of the Board of Trustees of The Ohio State University; and

WHEREAS according to statute 3335.27 of the Ohio Revised Code, there shall be an advisory committee made up of the director of the Engineering Experiment Station and six members appointed by the Board, chosen from the faculty of the College of Engineering; and

WHEREAS this Engineering Experiment Station Advisory Committee "shall select suitable subjects for investigation, apportion the available funds, and with the consent of the Board may provide for the dissemination of the results to the people of the state:"

NOW THEREFORE

BE IT RESOLVED, That upon the recommendation of President Karen Holbrook, pursuant to the request of James C. Williams, Dean of the College of Engineering and Director of the Engineering Experiment Station, The Ohio State University Board of Trustees, acting in accordance with the requirements of the Ohio Revised Code Section 3335.27, hereby appoint the following individual to serve on the Engineering Experiment Station Advisory Committee:

<u>Name</u>	<u>Title</u>	<u>Proposed Term</u>
Umit S. Ozkan	Associate Dean for Research/ Professor College of Engineering	3 years (8/1/2003 - 6/30/2006)

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**HONORARY DEGREES**

Resolution No. 2004-31

Synopsis: The awarding of honorary degrees to Murray Gell-Mann and Leon M. Lederman is recommended for approval.

WHEREAS the Committee on Honorary Degrees and the University Senate, pursuant to rule 3335-5-488 of the Administrative Code, have approved for recommendation to the Board of Trustees awarding of honorary degrees as listed below:

Murray Gell-Mann	Doctor of Science
Leon M. Lederman	Doctor of Science Education

NOW THEREFORE

BE IT RESOLVED, That the above honorary degrees be awarded in accordance with the recommendation at a time convenient to the University and the recipients.

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## PERSONNEL ACTIONS

Resolution No. 2004-32

BE IT RESOLVED, That the personnel actions as recorded in the Personnel Budget Records of the University since the July 11, 2003 meeting of the Board, including the following Appointments/Reappointments, Appointment/Reappointments of Chairpersons and Directors, Leaves of Absence Without Salary, Professional Improvement Leaves, and Emeritus Titles, and promotion and tenure, as detailed in the University Budget be approved; and

BE IT FURTHER RESOLVED, That the Medical Staff Appointments/Reappointments approved July 15, 2003, by The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute Board, be ratified; and

BE IT FURTHER RESOLVED, That Resolution 77-92 and the provisions of the February 6, 1959 Report of the Committee on Retirement and Insurance, be waived to allow for the reappointment of Martha M. Garland as Vice Provost and Dean for Undergraduate Studies.

### Appointments

Name: EVELYN B. FREEMAN  
Title: Executive Dean of the Regional Campuses  
Office: Academic Affairs  
Term: August 1, 2003, through June 30, 2006  
Concurrent Appointment: Dean/Director, OSU-Mansfield

Name: GLEN F. HOFFSIS  
Title: Ruth Stanton Chair in Veterinary Medicine  
College: Veterinary Medicine  
Term: September 1, 2003, through June 30, 2005  
Concurrent Appointment: Dean, College of Veterinary Medicine

Name: CHRISTOPHER S. KOCHANEK  
Titles: Ohio Eminent Scholar in Cosmology and Professor with tenure  
Department: Astronomy  
Effective: October 1, 2003  
Present Position: Astrophysicist, Smithsonian Astrophysical Observatory,  
Cambridge, MA

Name: SUSAN M. KROLL  
Title: Associate Vice President for Health Sciences (Center for  
Knowledge Management)  
Office: Health Sciences  
Effective: September 1, 2003  
Present Position: Director, John A. Prior Health Sciences Library

Name: STANLEY A. LEMESHOW  
Title: Dean for Public Health  
School/College: School of Public Health/Medicine and Public Health  
Term: August 9, 2003, through June 30, 2008  
Present Position: Professor, Departments of Epidemiology and Statistics, and Director,  
Center for Biostatistics

Name: W. JERRY MYSIW  
Title: Associate Professor (The Bert C. Wiley, M.D., Chair in Physical  
Medicine and Rehabilitation)  
College: Medicine and Public Health  
Term: September 5, 2003, through June 30, 2007



**PERSONNEL ACTIONS (contd)**

Appointments (contd)

Name: HERBERT B. NEWTON  
Title: Associate Professor (The Esther Dardinger Endowed Chair in Neuro-Oncology)  
Center/Hospital: Comprehensive Cancer Center/The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute  
Term: September 5, 2003, through June 30, 2007

Name: JEFFREY B. REEVES  
Titles: Associate Vice President for Health Sciences and Chief Organizational Effectiveness and Human Resources  
Officer for The Ohio State University Medical Center  
College: Medicine and Public Health  
Effective: August 1, 2003  
Present Position: Senior Vice President and Chief Human Resource Officer, Sam's Club, Bentonville, Arkansas

Reappointments

Name: JUDITH B. FOUNTAIN  
Title: Director, The Women's Place  
Office: Academic Affairs  
Term: July 1, 2003, through June 30, 2007  
Concurrent Appointment: Assistant Vice Provost for Women's Policy Initiatives

Name: MARTHA M. GARLAND  
Title: Vice Provost and Dean for Undergraduate Studies  
Office: Academic Affairs  
Term: September 1, 2003, through August 31, 2008

Name: DEBORAH JONES MERRITT  
Title: Director  
Institute: The John Glenn Institute for Public Service and Public Policy  
Term: July 1, 2003, through June 30, 2007  
Concurrent Appointment: Professor (The John Deaver Drinko – Baker & Hostetler Chair In Law), Moritz College of Law

Appointment of Chairperson/Directors

July 1, 2003, through June 30, 2004

Center for Mapping

Carolyn J. Merry\*

August 1, 2003, through July 31, 2005

School of Biomedical Sciences

Wolfgang Sadee

August 1, 2003, through June 30, 2007

School of Music

Mellassenah Y. Morris

August 1, 2003, through September 30, 2007

School of Teaching and Learning

Peter V. Paul

\*Interim

**PERSONNEL ACTIONS (contd)**

Appointment of Chairperson/Directors (contd)

September 1, 2003, through June 30, 2007

Center for Molecular Neurobiology

Anthony P. Young

October 1, 2003, through September 30, 2007

Materials Science and Engineering

John E. Morral

Reappointment of Chairperson/Director

September 1, 2003, through June 30, 2004

Veterinary Hospital

Richard M. Bednarski

September 1, 2003, through December 31, 2003

Chemical Engineering

Liang-Shih Fan

Leaves of Absence Without Salary

SHARON L. DAVIES, Professor (The John C. Elam/Vorys Sater Designated Professorship in Law), Moritz College of Law, effective Autumn Semester 2003, to accept a visiting professorship at the University of Michigan Law School.

ALAN C. MICHAELS, Associate Dean and Professor (The Edwin M. Cooperman Designated Professorship in Law), Moritz College of Law, effective Autumn Semester 2003, to accept a visiting professorship at the University of Michigan Law School.

ERNEST P. FOKOUE, Assistant Professor, Department of Statistics (Newark Campus), effective Autumn Quarter 2003, Winter Quarter and Spring Quarter 2004, to accept a Postdoctoral Fellow at the Statistical and Applied Mathematical Sciences Institute, Research Triangle Park, North Carolina.

Professional Improvement Leaves

DANIEL C. K. CHOW, Professor (The Robert J. Nordstrom Designated Professorship in Law), Moritz College of Law, effective Autumn Semester 2003.

L. CAMILLE HEBERT, Professor (The Carter C. Kissell Professorship in Law), Moritz College of Law, effective Spring Semester 2004.

ALAN C. MICHAELS, Associate Dean and Professor (The Edwin M. Cooperman Designated Professorship in Law), Moritz College of Law, effective Spring Semester 2004.

ALLAN J. SAMANSKY, Professor (The Robert J. Watkins/Procter & Gamble Professorship), Moritz College of Law, effective Spring Semester 2004.

CHARLES A. BUFFINGTON, Professor, Department of Veterinary Clinical Sciences, effective Autumn Quarter 2003, Winter Quarter, Spring Quarter, and Summer Quarter 2004.

DAVID S. KRAYBILL, Professor, Department of Agricultural, Environmental, and Development Economics, effective Autumn Quarter 2003, Winter Quarter, Spring Quarter, and Summer Quarter 2004.

STANLEY K. LAUGHLIN, JR., Professor, Moritz College of Law, effective Spring Semester 2004.

## **PERSONNEL ACTIONS (contd)**

### Professional Improvement Leaves (contd)

KATHY S. NORTHERN, Associate Dean and Associate Professor, Moritz College of Law, effective Autumn Semester 2003.

BRENT L. SOHNGEN, Associate Professor, Department of Agricultural, Environmental, and Development Economics, effective Autumn Quarter 2003, Winter Quarter, Spring Quarter, and Summer Quarter 2004.

### Professional Improvement Leave—Change in Dates

L. S. FAN, Distinguished University Professor and Chair, Department of Chemical Engineering, change leave from August Quarter 2003, Winter Quarter and Spring Quarter 2004, to Winter Quarter, Spring Quarter and Autumn Quarter 2004.

### Emeritus Titles

J. RICHARD SISSON, Office of Academic Affairs and Department of Political Science, with the title Senior Vice President and Provost and Professor Emeritus, effective September 1, 2003.

WAYNE L. BACON, Department of Animal Sciences, with the title Professor Emeritus, effective September 1, 2003.

DONALD R. HOUSER, Department of Mechanical Engineering, with the title Professor Emeritus, effective September 1, 2003.

CAROL W. KENNEDY, College of Nursing, with the title Professor Emeritus, effective September 1, 2003.

JOHN P. SCHOESSLER, College of Optometry, with the title Professor Emeritus, effective January 1, 2004.

STEPHEN A. SEBO, Department of Electrical Engineering, with the title Professor Emeritus, effective October 1, 2003.

LI-SHENG W. FU, Department of Mechanical Engineering, with the title Associate Professor Emeritus, effective September 1, 2003.

### Promotions and Tenure

## **COLLEGE OF SOCIAL & BEHAVIORAL SCIENCES**

### **PROMOTION TO PROFESSOR**

Steffensmeier, Janet M., Political Science - effective 10/01/2003

## **CORRECTIONS**

## **COLLEGE OF FOOD, AGRICULTURAL & ENVIRONMENTAL SCIENCES**

### **TENURE [at rank of Associate Professor]**

Soboyejo, Alfred B. O., Food, Agricultural & Biological Engineering - effective 10/01/2003

**PERSONNEL ACTIONS (contd)**

Promotions and Tenure (contd)

**CORRECTIONS (contd)**

**COLLEGE OF MEDICINE & PUBLIC HEALTH**

**PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE**

Leone, Gustavo W., Molecular Virology, Immunology & Medical Genetics - *effective [on hold]*  
[Final approval is postponed because candidate is a foreign national lacking permanent residency status]

Medical Staff--Initial Appointments (The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute)

Naeem A. Ali, M.D., James Associate Attending, James Internal Medicine, Pulmonary, 7/1/03 – 12/1/03

Daria G. Arbogast, C.N.P., James Allied Health, James Internal Medicine, Hematology/Oncology, 5/9/03 – 11/3/03

David P. Bahner, M.D., James Associate Attending, James Emergency Med, 5/9/03 – 11/3/03

Sergio D. Bergese, M.D., James Associate Attending, James Anesthesiology, 7/1/03 – 12/1/03

Michelle L. Brooker, C.N.P., James Allied Health, James Internal Medicine, Hematology/Oncology, 7/1/03 – 12/1/03

Nabil J. Farhan, M.D., James Associate Attending, James Internal Medicine, Nephrology, 6/13/03 – 12/1/03

Jeffrey W. Hazey, M.D., James Associate Attending, James Surgery, General Surgery, 7/15/03 – 1/5/04

Quazi M.A. Hossain, M.D., James Associate Attending, James Internal Medicine, General Medicine, 7/1/03 – 12/1/03

Billy Ray Hunter, Sr., M.D., James Associate Attending, James Psychiatry, 7/1/03 – 12/1/03

Andrei V. Manilchuk, M.D., James Associate Attending, James Surgery, General Surgery, 7/1/03 – 12/1/03

Dean J. Mikami, M.D., James Associate Attending, James Surgery, General Surgery, 7/1/03 – 12/1/03

Matthew E. Newlin, M.D., James Associate Attending, James Surgery, General Surgery, 7/1/03 – 12/1/03

Ali A. Rikabi, M.D., James Associate Attending, James Radiology, Radiology, 7/15/03 – 1/5/04

Tom E. Rodgers, P.A.-C, James Allied Health, James Surgery, Thoracic/Cardio Surgery, 6/13/03 – 12/1/03

Chittoor Sai-Sudhakar, M.D., James Associate Attending, James Surgery, Thoracic/Cardio Surgery, 7/15/03 – 1/5/04

Dwight Scarborough, M.D., James Clinical Attending, James Internal Medicine, Dermatology, 6/13/03 – 12/1/03

Larry S. Schlesinger, M.D., James Associate Attending, James Internal Medicine, Infectious Disease, 6/13/03 – 12/1/03

Bassel F. Shneker, M.D., James Associate Attending, James Neurology, 7/1/03 – 12/1/03

Adam C. Spiess, M.D., James Associate Attending, James Otolaryngology, 7/1/03 – 12/1/03

Rajive Tandon, M.D., James Associate Attending, James Internal Medicine, Pulmonary, 7/15/03 – 1/5/04

Medical Staff—Provisional to Full Appointments (The Arthur G. James Cancer Hospital and Richard Solove Research Institute)

May/June/July 2003

Jeffrey G. Bell, M.D., James Community Associate, James OB/GYN

Jennifer L. Brown, P.A.-C, James Allied Health, James Surgery, Thoracic/Cardio Surgery

Yiu-Chung Chan, M.D., James Associate Attending, James Psychiatry

Maria H. Estrada, D.O., James Associate Attending, James Pediatrics, Peds/Critical Care

**PERSONNEL ACTIONS (contd)**

Medical Staff—Provisional to Full Appointments (The Arthur G. James Cancer Hospital and Richard Solove Research Institute) (contd)

May/June/July 2003 (contd)

Stephen L. Houff, M.D., James Associate Attending, James Internal Medicine, General Medicine  
Ruthann Kennedy, C.N.P., James Allied Health, James Internal Medicine, Pulmonary  
Nadeem Khan, M.D., James Associate Attending, James Pediatrics, Peds/Critical Care  
Lin Li, M.D., James Associate Attending, James Anesthesiology,  
Joel D. Macy, M.D., James Community Associate, James Family Medicine  
Mary J. McCafferty, M.D., James Associate Attending, James Psychiatry  
Autumn O'Brien, M.D., James Associate Attending, James Internal Medicine, General Medicine  
Osita E. Okpalaoka, M.D., James Associate Attending, James Internal Medicine, General  
Medicine  
Crystl C. Osborn, M.D., James Community Associate, James Psychiatry  
Howard A. Paskett, P.A.-C, James Allied Health, James Surgery, Orthopedic Surgery  
Kamal S. Pohar, M.D., James Attending, James Surgery, Urologic Surgery  
Gary C. Reid, M.D., James Community Associate, James OB/GYN  
Radu V. Saveanu, M.D., James Associate Attending, James Psychiatry  
Benjamin C. Sun, M.D., James Associate Attending, James Surgery, Thoracic/Cardio Surgery  
Karen M. Thomas, D.O., James Associate Attending, James Neurology  
Joel M. Weaver, D.D.S., Ph.D., James Associate Attending, James Anesthesiology  
Bruce E. Woodworth, M.D., James Clinical Attending, James Surgery, Urologic Surgery

Medical Staff—Request for Additional Privileges (The Arthur G. James Cancer Hospital and Richard Solove Research Institute)

Anil Agarwal, M.D., James Associate Attending, Internal Medicine, Moderate Sedation, 7/15/03 – 6/30/05  
Talal Attar, M.D., James Associate Attending, Internal Medicine, Moderate Sedation, 7/15/03 – 6/30/05  
Michelle Brooker, C.N.P., James Allied Health, Internal Medicine, Prescriptive Authority, 7/15/03 – 6/30/05  
Sandeep Chopra, M.D., James Community Assoc., Internal Medicine, Moderate Sedation, 7/15/03 – 6/30/05  
Susan Ezzone, C.N.P., James Allied Health, Internal Medicine, Diagnostic lumbar puncture; Lumbar puncture w/intrathecal chemo; Diagnostic tap of omya reservoir; Omya reservoir tap w/instillation of intrathecal chemotherapy; and Temporary apheresis catheter removal, 7/15/03 – 6/30/05  
Julia Garrett, C.N.P., James Allied Health, Internal Medicine, Prescriptive Authority, 7/15/03 – 6/30/05  
Robert Kloos, D.O., James Associate Attending, Surgery, Moderate Sedation, 7/15/03 – 6/30/05  
Andrei Manilchuk, M.D., James Associate Attending, Surgery, Moderate Sedation, 7/15/03 – 12/1/03  
Dean Mikami, M.D., James Associate Attending, Surgery, Moderate Sedation, 7/15/03 – 12/1/03  
Lisa Rader, C.N.P., James Allied Health, Internal Medicine, Prescriptive Authority, 7/15/03 – 6/30/04  
Kelly Slavens, C.N.P., James Allied Health, Internal Medicine, Prescriptive Authority, 7/15/03 – 6/30/04  
G. Todd Schulte, M.D., James Associate Attending, Anesthesiology, IDET, 7/15/03 – 6/30/04

Medical Staff--Reappointments (The Arthur G. James Cancer Hospital and Richard Solove Research Institute)

7/1/2003 – 6/30/2005

Sally Abbott, M.D., James Community Associate, James Family Medicine  
Kamel S. Abraham, M.D., James Community Associate, James Anesthesiology

**PERSONNEL ACTIONS (contd)**

Medical Staff--Reappointments (The Arthur G. James Cancer Hospital and Richard Solove Research Institute) (contd)

7/1/2003 – 6/30/2005 (contd)

William T. Abraham, M.D., James Associate Attending, James Internal Medicine, Cardiology  
Anil K. Agarwal, M.D., James Associate Attending, James Internal Medicine, Nephrology  
Amit Agrawal, M.D., James Attending, James Otolaryngology  
Faiq Akhter, M.D., James Community Associate, James Internal Medicine, Cardiology  
James N. Allen, Jr., M.D., James Associate Attending, James Internal Medicine, Pulmonary  
Ananth Annamraju, M.D., James Community Associate, James Surgery, Urologic Surgery  
Marjorie S. Anderson, C.N.S., James Allied Health, James Department of Nursing, James Psychiatry  
Daria G. Arbogast, C.N.P., James Allied Health, James Internal Medicine, Hematology/Oncology  
Talal T. Attar, M.D., James Associate Attending, James Internal Medicine, Cardiology  
Glen F. Aukerman, M.D., James Associate Attending, James Family Medicine  
Belinda R. Avalos, M.D., James Attending, James Internal Medicine, Hematology/Oncology  
Onsy S. Ayad, M.D., James Associate Attending, James Pediatrics, Peds/Critical Care  
Robert R. Bahnson, M.D., James Attending, James Surgery, Urologic Surgery  
Michael A. Baird, M.D., James Associate Attending, James Internal Medicine, Gastroenterology  
Krish Balakrishnan, M.D., James Community Associate, James Surgery, General Surgery  
Constance J. Bauer, M.D., James Attending, James Radiology, Radiation Oncology  
William H. Bay, M.D., James Associate Attending, James Internal Medicine, Nephrology  
William J. Becker, D.O., M.P.H., James Associate Attending, James Pathology  
Elaine A. Beed, M.D., James Community Oncology, James Internal Medicine, Hematology/Oncology  
Tanios S. Bekaii-Saab, M.D., James Attending, James Internal Medicine, Hematology/Oncology  
David C. Bell, M.D., James Clinical Attending, James OB/GYN  
Jeffrey G. Bell, M.D., James Community Associate, James OB/GYN  
Susan D. Bell, C.N.P., James Allied Health, James Surgery, Neurologic Surgery  
Costantino Benedetti, M.D., James Attending, James Anesthesiology  
William F. Bennett, M.D., James Associate Attending, James Radiology  
Gail E. Besner, M.D., James Associate Attending, James Surgery, Pediatric Surgery  
Nitin Y. Bhatt, M.D., James Associate Attending, James Internal Medicine, Pulmonary  
Udayan Y. Bhatt, M.D., James Associate Attending, James Internal Medicine, Nephrology  
Brian P. Biernat, M.D., James Clinical Attending, James Internal Medicine, Dermatology  
David R. Billing, M.D., James Community Associate, James OB/GYN  
Clara D. Bloomfield, M.D., James Attending, James Internal Medicine, Hematology/Oncology  
Michael L. Blumenfeld, M.D., James Associate Attending, James OB/GYN  
Gary D. Bos, M.D., James Attending, James Orthopaedics, James Orthopaedic Surgery  
Eric C. Bourekas, M.D., James Associate Attending, James Radiology  
James G. Bova, D.O., James Associate Attending, James Radiology  
Jennifer L. Brown, P.A.-C, James Allied Health, James Surgery, Thoracic/Cardio Surgery  
Kristine K. Browning, C.N.P., James Allied Health, James Department of Nursing, Hematology/Oncology  
Robert A. Bruce, Jr., M.D., James Associate Attending, James Ophthalmology  
James R. Bruno, D.M.D., M.D., James Associate Attending, James Surgery, Plastic Surgery  
Donald K. Bryan, M.D., James Clinical Attending, James OB/GYN  
Brentley A. Buchele, M.D., James Associate Attending, James Surgery, Plastic Surgery  
Pamela S. Bucklew, M.D., James Community Associate, James Surgery, General Surgery  
Maureen M. Buckner, C.N.P., James Allied Health, James Internal Medicine, Hematology/Oncology  
Michael A. Burgin, M.D., James Associate Attending, James Internal Medicine, General Medicine  
Stephen J. Burgun, M.D., James Associate Attending, James Internal Medicine, Endocrinology, Diab/ Metabolism  
Charles A. Bush, M.D., James Associate Attending, James Internal Medicine, Cardiology

**PERSONNEL ACTIONS (contd)**

Medical Staff--Reappointments (The Arthur G. James Cancer Hospital and Richard Solove Research Institute) (contd)

7/1/2003 – 6/30/2005 (contd)

John C. Byrd, M.D., James Attending, James Internal Medicine, Hematology/Oncology  
James H. Caldwell, M.D., James Associate Attending, James Internal Medicine, Gastroenterology  
Michael A. Caligiuri, M.D., James Attending, James Internal Medicine Hematology/Oncology  
Donna A. Caniano, M.D., James Associate Attending, James Surgery Pediatric Surgery  
Renee M. Caputo, M.D., James Associate Attending, James OB/GYN James OB/GYN  
Samuel Cataland, M.D., James Associate Attending, James Internal Medicine, Endocrinology,  
Diab/ Metabolism  
Spero R. Cataland, M.D., James Attending, James Internal Medicine, Hematology/Oncology  
Guillermo E. Chacon, D.D.S., James Associate Attending, James Dentistry  
Amrit L. Chadha, M.D., James Community Associate, James Neurology  
Donald W. Chakeres, M.D., James Associate Attending, James Radiology  
Robert B. Chambers, D.O., James Associate Attending, James Ophthalmology  
Yiu-Chung Chan, M.D., James Associate Attending, James Psychiatry  
Dongwoo J. Chang, M.D., James Associate Attending, James Surgery, Neurologic Surgery  
Sandeep Chopra, M.D., James Community Associate, James Internal Medicine, Cardiology  
Anthimos J. Christoforidis, M.D., James Associate Attending, James Radiology  
Greg A. Christoforidis, M.D., James Associate Attending, James Radiology  
Paul P. Chu, M.D., James Community Associate, James Anesthesiology  
Daniel M. Clinchot, M.D., James Associate Attending, James Phys Med/Rehab  
Steven K. Clinton, M.D., Ph.D., James Attending, James Internal Medicine, Hematology/  
Oncology  
David E. Cohn, M.D., James Attending, James OB/GYN, Gynecologic Oncology  
A. Neil Cole, M.D., James Community Associate, James Surgery, Neurologic Surgery  
David F. Colombo, M.D., James Associate Attending, James OB/GYN  
Edward A. Copelan, M.D., James Attending, James Internal Medicine, Hematology/Oncology  
Christopher M. Copeland, M.D., James Clinical Attending, James OB/GYN  
Larry J. Copeland, M.D., James Attending, James OB/GYN, Gynecologic Oncology  
Elliott D. Crouser, M.D., James Associate Attending, James Internal Medicine, Pulmonary  
Robert R. Crowell, M.D., James Associate Attending, James Orthopaedics, James Orthopaedic  
Surgery  
Michael F. Cunningham, M.D., James Associate Attending, James Surgery, Urologic Surgery  
Matthew E. Dangel, M.D., James Associate Attending, James Ophthalmology  
Curt J. Daniels, M.D., James Associate Attending, James Internal Medicine, Cardiology  
Marcella Dardani, D.O., James Associate Attending, James Radiology  
Elillian J. Daugherty, M.D., James Community Associate, James Pathology  
Noshir E. Deboo, M.D., James Community Associate, James Orthopaedics, James Orthopaedic  
Surgery  
Lisa M. DeLong, M.D., James Community Associate, James OB/GYN  
Joseph G. Demeter, M.D., James Community Associate, James Surgery, General Surgery  
Philip T. Diaz, M.D., James Associate Attending, James Internal Medicine, Pulmonary  
Kiran K. Devulapally, M.D., James Community Associate, James Internal Medicine, General  
Medicine  
Galina T. Dimitrova, M.D., James Associate Attending, James Anesthesiology  
Edward E. Dodson, M.D., James Associate Attending, James Otolaryngology  
John W. Dobson, M.D., James Community Associate, James Orthopaedics, James Orthopaedic  
Surgery  
Jerald S. Dudley, M.D., James Community Associate, James Internal Medicine, General  
Medicine  
Lisa R. Dunn- Albanese, M.D., James Associate Attending, James OB/GYN  
Lynne A. Eaton, M.D., James Attending, James OB/GYN, Gynecologic Oncology  
M. Farid Edwards, M.D., James Associate Attending, James Anesthesiology  
Charles F. Eisenbeis, II, M.D., Ph.D., James Attending, James Internal Medicine, Hematology/  
Oncology

**PERSONNEL ACTIONS (contd)**

Medical Staff--Reappointments (The Arthur G. James Cancer Hospital and Richard Solove Research Institute) (contd)

7/1/2003 – 6/30/2005 (contd)

James P. Ellis, D.D.S., James Associate Attending, James Dentistry  
E. Christopher Ellison, M.D., James Associate Attending, James Surgery, General Surgery  
Hamdy M. Elsayed-Awad, M.D., James Associate Attending, James Anesthesiology  
Charis E. Eng, M.D., Ph.D., James Attending, James Internal Medicine, Clinical Cancer Genetics  
Avrom D. Epstein, M.D., James Associate Attending, James Ophthalmology  
Thomas W. Ericksen, M.D., James Community Associate, James Internal Medicine, General Medicine  
Garth F. Essig, M.D., James Associate Attending, James OB/GYN  
Maria H. Estrada, D.O., James Associate Attending, James Pediatrics, Peds/Critical Care  
Cynthia B. Evans, M.D., James Associate Attending, James OB/GYN James OB/GYN  
Susan A. Ezzone, C.N.P., James Allied Health, James Department of Nursing, James Medical Oncology  
Patrick J. Fahey, M.D., James Associate Attending, James Family Medicine  
Ruairi J. Fahy, M.D., James Associate Attending, James Internal Medicine, Pulmonary  
Nabil J. Farhan, M.D., James Associate Attending, James Internal Medicine, Nephrology  
Sherif S. Farag, M.D., Ph.D., James Attending, James Internal Medicine, Hematology/Oncology  
Howard P. Fischbach, III, M.D., James Community Associate, James Anesthesiology  
Jill A. Fitch, M.D., James Associate Attending, James Pediatrics, Peds/Critical Care  
Mary E. Fontana-Wise, M.D., James Associate Attending, James Internal Medicine, Cardiology  
L. Arick Forrest, M.D., James Associate Attending, James Otolaryngology  
Jeffrey M. Fowler, M.D., James Attending, James OB/GYN, Gynecologic Oncology  
Miriam L. Freimer, M.D., James Associate Attending, James Neurology  
Barry M. Friedman, M.D., James Associate Attending, James Internal Medicine, General Medicine  
Chad I. Friedman, M.D., James Associate Attending, James OB/GYN  
John J. Fromkes, M.D., James Associate Attending, James Internal Medicine, Gastroenterology  
Richard W. Furay, M.D., James Community Associate, James Surgery, General Surgery  
Alan L. Gabbard, M.D., James Community Associate, James Internal Medicine, Gastroenterology  
Reinhard A. Gahbauer, M.D., James Attending, James Radiology, Radiation Oncology  
Pablo A. Gamboa, M.D., James Associate Attending, James Radiology  
Julia A. Garrett, C.N.P., James Allied Health, James Department of Nursing, Hematology/Oncology  
William A. Garringer, M.D., James Community Associate, James Emergency Medicine  
Ahmed M. Ghany, M.D., James Clinical Attending, James Internal Medicine, Hematology/Oncology  
Rupa Ghosh-Berkebile, C.N.P., James Allied Health, James Internal Medicine, Hematology/Oncology  
John B. Gillen, III, M.D., James Community Associate, James Otolaryngology  
Ernesto Goldman, M.D., James Associate Attending, James Anesthesiology  
Michael C. Gong, M.D., Ph.D., James Attending, James Surgery, Urologic Surgery  
Gayle M. Gordillo, M.D., James Associate Attending, James Surgery, Plastic Surgery  
Richard E. Gordon, Jr., M.D., James Community Associate, James Family Medicine  
Iain L. Grant, M.D., James Associate Attending, James Otolaryngology  
Linda S. Gray, M.D., James Associate Attending, James Internal Medicine, Rheumatology  
John C. Grecula, M.D., James Attending, James Radiology, Radiation Oncology  
Michael R. Grever, M.D., James Attending, James Internal Medicine, Hematology/Oncology  
Bhagwandas Gupta, M.D., James Associate Attending, James Anesthesiology  
Robert M. Guthrie, M.D., James Associate Attending, James Emergency Medicine  
Rebecca M. Gutmann, M.D., James Associate Attending, James Anesthesiology  
Gregory E. Guy, M.D., James Associate Attending, James Radiology  
Kevin V. Hackshaw, M.D., James Associate Attending, James Internal Medicine, Rheumatology  
Djavid Hadian, M.D., James Associate Attending, James Internal Medicine, Cardiology  
Mona Y. Halim-Armanios, M.D., James Associate Attending, James Anesthesiology



**PERSONNEL ACTIONS (contd)**

Medical Staff--Reappointments (The Arthur G. James Cancer Hospital and Richard Solove Research Institute) (contd)

7/1/2003 – 6/30/2005 (contd)

Dean W. Hearne, M.D., James Associate Attending, James Internal Medicine, Dermatology  
Lee A. Hebert, M.D., James Associate Attending, James Internal Medicine, Nephrology  
Adam B. Hessel, M.D., James Associate Attending, James Internal Medicine, Dermatology  
Timothy W. Hickerson, M.D., James Community Associate, James Internal Medicine  
William J. Hicks, M.D., James Attending, James Internal Medicine, Hematology/Oncology  
Stephen P. Hoffmann, M.D., James Associate Attending, James Internal Medicine, Pulmonary  
John E. Hohmann, M.D., James Clinical Attending, James Anesthesiology  
Tracy Hollar Ruegg, C.N.P., James Allied Health, James Internal Medicine, Hematology/  
Oncology  
Scott A. Holliday, M.D., James Associate Attending, James Internal Medicine, General Medicine  
G. Anthony Holt, M.D., James Community Associate, James Internal Medicine, General Medicine  
Stephen L. Houff, M.D., James Associate Attending, James Internal Medicine, General Medicine  
Michael B. Howie, M.D., James Associate Attending, James Anesthesiology  
Yiqun Hu, M.D., Ph.D., James Associate Attending, James Neurology  
Jay D. Iams, M.D., James Associate Attending, James OB/GYN  
Y. Charley Imamura, M.D., James Community Associate, James Internal Medicine, General  
Medicine  
Rebecca D. Jackson, M.D., James Associate Attending, James Internal Medicine, Endocrinology,  
Diab/Metabolism  
Kenneth L. Jacobs, D.O., James Community Associate, James Emergency Medicine  
M. Husain Jawadi, M.D., James Community Associate, James Internal Medicine, Endocrinology,  
Diab/Metabolism  
V. Rama Jayanthi, M.D., James Associate Attending, James Surgery, Urologic Surgery  
Michael G. Johanson, D.O., James Associate Attending, James Anesthesiology  
Ernest W. Johnson, M.D., James Associate Attending, James Phys Med/Rehab  
Daniel E. Jolly, D.D.S., James Associate Attending, James Dentistry  
Gerard S. Kakos, M.D., James Associate Attending, James Surgery, Thoracic/Cardio Surgery  
John R. Kalmar, D.M.D., Ph.D., James Associate Attending, James Dentistry  
Loree K. Kalliainen, M.D., James Associate Attending, James Surgery, Plastic Surgery  
Sherman A. Katz, M.D., James Clinical Attending, James Surgery, Thoracic/Cardio Surgery  
Steven E. Katz, M.D., James Associate Attending, James Ophthalmology  
Jeffrey L. Kearfott, M.D., James Community Associate, James Ophthalmology  
Lisa M. Keder, M.D., James Clinical Attending, James OB/GYN  
Thomas C. Keeling, M.D., James Community Associate, James Internal Medicine, Infectious  
Diseases  
Scott K. Kellogg, D.O., James Community Associate, James Family Medicine  
Scott K. Kellogg, D.O., James Community Associate, James Emergency Medicine, James  
Emergency Medicine  
David R. Kelly, M.D., James Associate Attending, James Otolaryngology  
Garrett T. Kelly, M.D., James Associate Attending, James Anesthesiology  
Lisa C. Kemp, C.N.P., James Allied Health, James Department of Nursing, Surgical Oncology  
Kari L. Kendra, M.D., Ph.D., James Attending, James Internal Medicine, Hematology/Oncology  
Elizabeth A. Kennard, M.D., James Associate Attending, James OB/GYN  
Ruthann Kennedy, C.N.P., James Allied Health, James Internal Medicine, Pulmonary  
Rohn T. Kennington, M.D., James Community Associate, James Emergency Medicine  
Sedigheh Keyhani-Rofagha, M.D., James Associate Attending, James Pathology  
Hooman Khabiri, M.D., James Associate Attending, James Radiology  
Nadeem Khan, M.D., James Associate Attending, James Pediatrics, Peds/Critical Care  
Denis R. King, M.D., James Clinical Attending, James Surgery, Pediatric Surgery  
Mark A. King, M.D., James Associate Attending, James Radiology  
Pauline G. King, C.N.S., James Allied Health, James Department of Nursing, James Psychiatry  
Robert B. Kirkpatrick, III, M.D., James Associate Attending, James Internal Medicine,  
Gastroenterology

**PERSONNEL ACTIONS (contd)**

Medical Staff--Reappointments (The Arthur G. James Cancer Hospital and Richard Solove Research Institute) (contd)

7/1/2003 – 6/30/2005 (contd)

Lawrence S. Kirschner, M.D., Ph.D., James Associate Attending, James Internal Medicine, Endocrinology, Diab/ Metabolism

John T. Kissel, M.D., James Associate Attending, James Neurology

Richard T. Kloos, M.D., James Associate Attending, James Internal Medicine, Endocrinology, Diab/Metabolism

Robert E. Kneisley, M.D., James Community Associate, James Family Medicine

Albert J. Kolibash, Jr., M.D., James Associate Attending, James Internal Medicine, Cardiology

Alan J. Kover, M.D., James Associate Attending, James Anesthesiology

Eric H. Kraut, M.D., James Attending, James Internal Medicine, Hematology/Oncology

Rick A. Kukulka, M.D., James Community Associate, James Radiology

Pius Kurian, M.D., James Community Associate, James Internal Medicine, Nephrology

Stephanie E. Ladson-Wofford, M.D., James Associate Attending, James Internal Medicine, Nephrology

James F. Lamb, M.D., James Associate Attending, James Internal Medicine, General Medicine

Sumant Lamba, M.D., James Associate Attending, James Internal Medicine, Cardiology

David R. Lambert, M.D., James Associate Attending, James Internal Medicine, Dermatology

Mark B. Landon, M.D., James Associate Attending, James OB/GYN

John A. Larry, M.D., James Associate Attending, James Internal Medicine, Cardiology

Peter E. Larsen, D.D.S., James Associate Attending, James Dentistry

Carl V. Leier, M.D., James Associate Attending, James Internal Medicine, Cardiology

Robert M. Lemming, M.D., James Community Associate, James Radiology

Joanne L. Lester, C.N.P., James Allied Health, James Department of Nursing, Surgical Oncology

Alan D. Letson, M.D., James Clinical Attending, James Ophthalmology

Edward J. Levine, M.D., James Associate Attending, James Internal Medicine, Gastroenterology

Richard P. Lewis, M.D., James Associate Attending, James Internal Medicine, Cardiology

Lin Li, M.D., James Associate Attending, James Anesthesiology

Thomas S. Lin, M.D., Ph.D., James Attending, James Internal Medicine, Hematology/Oncology

Gwyn R. Londeree, M.D., James Clinical Attending, James Internal Medicine, Dermatology

Luis A. Lopez, M.D., James Associate Attending, James Anesthesiology

Holly B. Loughlin, C.N.P., James Allied Health, James Internal Medicine, Hematology/Oncology

Charles J. Love, M.D., James Associate Attending, James Internal Medicine, Cardiology

MaryBeth Luca, D.O., James Associate Attending, James Internal Medicine, Dermatology

Catherine R. Lucey, M.D., James Associate Attending, James Internal Medicine, General Medicine

D. Joanne Lynn, M.D., James Associate Attending, James Neurology

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**RESOLUTIONS IN MEMORIAM**

Resolution No. 2004-33

Synopsis: Approval of Resolutions in Memoriam is proposed.

RESOLVED, That the Board adopt the following Resolutions in Memoriam and that the President be requested to convey a copy to the families of the deceased.

## **RESOLUTIONS IN MEMORIAM (contd)**

Don M. Hosier

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on June 7, 2003, of Don M. Hosier, Professor Emeritus in the Department of Pediatrics and former director of the Cardiac Laboratory and Clinic at Columbus Children's Hospital.

Don M. "Bud" Hosier, M.D., a native of Piqua, Ohio, received his B.S. degree from Capital University in 1945 and was awarded his M.D. degree from The Ohio State University in 1947. He then completed a surgery internship at Jersey City Medical Center and a pediatric residency at Columbus Children's Hospital. He spent academic year 1949-1950 on a fellowship in pediatric cardiology at the Johns Hopkins University Hospital in Baltimore, Maryland. From 1950-1952 he served as a lieutenant in the U.S. Navy before completing a pediatric residency at Columbus Children's Hospital in 1952-1953. For academic year 1953-1954, he returned to the Johns Hopkins University Hospital as a fellow in pediatric cardiology. In 1954, he was appointed an assistant professor in the Department of Pediatrics at The Ohio State University College of Medicine, where he advanced to the rank of associate professor in 1959 and that of professor in 1965, a rank which he held until his retirement in 1988. In 1965, he was awarded board certification by the American Board of Pediatrics and the American Board of Pediatric Cardiology. He also served as the director of the Cardiac Laboratory and Clinic at Columbus Children's Hospital from 1954-1988.

Dr. Hosier was awarded the Ohio State Medical Association Teaching Award in 1961; the American College of Surgeons Surgical Teaching Exhibit Award in 1970; the Pediatrician of the Year Award from the house staff at Columbus Children's Hospital in 1981; the Alumni Achievement Award from Capital University in 1972; and the Alumni Achievement Award from The Ohio State University College of Medicine in 1997. From 1961-1962, he served as the president of the Central Ohio Pediatric Society and was the cofounder, in 1973, of the Ohio Doctors Interested in Children's Hearts (ODICH). He also initiated medical service to children with cardiac diseases in southern and southeastern Ohio. He authored 41 papers in medical journals.

Dr. Hosier was a fellow of the American Academy of Pediatrics in Pediatric Cardiology and a fellow of the American College of Cardiology. He held memberships in the American Federation for Clinical Research, the Central Ohio Heart Association, the Central Ohio Pediatric Society, the Midwestern Society for Pediatric Research, and the Ohio State Heart Association. He also served on the American Heart Association Committee for Rheumatic Fever and Congenital Heart Disease and the Advisory Board for Crippled Children for the State of Ohio.

He was a charter member of the Upper Arlington Lutheran Church, where over the years he served as a Sunday School teacher; a Bethel Bible teacher; a Crossways teacher; and as chairman of various boards and committees. He also served as the chairman of the board of trustees for the Building Committee for Lutheran Senior City in Columbus. He was named a Paul Harris Fellow by the Rotary Foundation of Rotary International.

Dr. Hosier had many outside interests; he was an avid fly-fisherman, golfer, and banjo player. He was also truly devoted to his wife and family. Bud will be dearly missed by those who knew and loved him.

On behalf of the University community, the Board of Trustees expresses to the family of Dr. Hosier its deepest sympathy. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

## **RESOLUTIONS IN MEMORIAM (contd)**

William M. Johnson

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on June 4, 2003, of William M. Johnson, Assistant Dean Emeritus in the College of Veterinary Medicine.

Colonel Johnson was employed at the University for 11 years, 1968 until his retirement in 1979. His responsibilities included serving as the project officer for the College's planning and construction of a new 220,000 square foot veterinary teaching hospital. He served as chair of the University Energy Conservation Committee for four years and as consultant to the Pan American Health Organization.

Prior to his appointment at the University, Colonel Johnson had a distinguished 30-year career in the Medical Service Corp of the United States Air Force. He rose through the ranks and was made colonel in 1957. Colonel Johnson received numerous awards including the Legion of Merit. His tours of duty included service in the Mariana Islands (during WWII), Germany, and Hawaii. During the war in Vietnam, Colonel Johnson designed and organized the airlift of wounded troops from the battlefield to hospitals in the U.S.

On behalf of the University community, the Board of Trustees expresses to the family of William M. Johnson its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

Jeffrey L. Molyet

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on July 1, 2003, of Jeffrey L. Molyet, former member of The Ohio State University Mansfield Regional Campus Board of Trustees.

Mr. Molyet received his Bachelor's degree in English from Ohio State in 1974. In 1981 he received his law degree from the University of Cincinnati. For 22 years he practiced law with Brown, Bemiller, Murray and McIntyre, L.L.P., in Mansfield. An avid Buckeye booster, he served for 15 years with the OSU Alumni Club of Richland County and as its president during 1995 and 1996. He was instrumental in helping to establish the "Richland County Ohio State University Alumni Club The Ohio State University at Mansfield Scholarship Endowment Fund" and his efforts helped add thousands of dollars to the cause. In 1997 he won the Best Buckeye award from the OSU Alumni Association.

Mr. Molyet began serving on the OSU Mansfield Citizen's Council in 1990, serving as chair from 1991-1993. When the Citizens Council became the Mansfield Campus Board of Trustees in 1994, he became a charter member and continued as a member until his term ended on June 30, 2003. As a board member, he worked tirelessly to preserve the Ohio State presence in Mansfield when some persons throughout the state called for changing university branch campuses into community colleges. He also helped spearhead campaigns to bring critical additions to OSU Mansfield, including the general business program, child care, and student housing.

In many other ways Mr. Molyet served his profession and his community faithfully. He was secretary of the Richland County Bar Association for 10 years and was also trustee and president of the Law Library Association. For two years he was president of the Children's Theatre Foundation board of trustees. He chaired three Mansfield City School levy campaigns. For more than a decade he served on the First Lutheran Day School board. He served and was president of the Richland Academy of the Arts board of trustees.

Mr. Molyet was a model of the kind of enlightened citizenship that the University wishes all of its graduates to emulate.

**RESOLUTIONS IN MEMORIAM (contd)**

Jeffrey L. Molyet (contd)

On behalf of the University community, the Board of Trustees expresses to the family of Jeffrey L. Molyet its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

M. Louise Augenstein Moses

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on August 4, 2003, of M. Louise Augenstein Moses, Associate Professor Emeritus of the Ohio State University Extension.

Ms. Moses was born March 21, 1922, in Marion, Ohio. She received her Bachelor of Science degree in 1946 in home economics education and a Master of Science degree in home economics in 1962 from The Ohio State University.

Louise began her Extension career in Ohio in November 1950, as the home demonstration agent in Crawford County. In April 1959, she was appointed as the home economics agent in Preble County. She held this position until her retirement in May 1978.

Ms. Moses' contributions in providing excellent Extension educational programs during her career earned her the respect and admiration of co-workers and associates throughout the state and nation. She received the Distinguished Service Award from the National Association of Extension Home Economists. Louise also served on the Ohio Cooperative Extension Agents Association Board of Directors and the Ohio 4-H Foundation Board, and was vice president of the Ohio Cooperative Extension Agents Association. She chaired the nominating committee for the National Association of Extension Home Economists and was elected chairperson of the State Presidents Group of this association as well.

The outstanding continuing educational programs in home economics for the citizens in Preble County and the county home economics council was recognized as one of the most active in the entire state. She was instrumental in initiating a "Listen and Learn" series of mini courses in Preble County. In addition to teaching home economics subject matter to the Preble County citizens, she was actively involved with the 4-H program and served as a teacher for advisor workshops and conducted numerous in-service training sessions.

On behalf of the University community, the Board of Trustees expresses to the family of Professor M. Louise Augenstein Moses its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

Ralf G. Rahwan

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on June 5, 2003, of Ralf G. Rahwan, Professor Emeritus in the College of Pharmacy, Division of Pharmacology.

Professor Rahwan received a Bachelor of Science degree in pharmacy and pharmaceutical chemistry from Cairo University in Egypt in 1961; a Master of Science degree in pharmacology from Butler University in Indianapolis, IN, in 1970; and a Ph.D. degree in pharmacology and toxicology from Purdue University in 1972. He held positions at Hoechst Pharmaceutical Company and the Dow Chemical Company before joining the College of Pharmacy at The Ohio State University in 1972 as an assistant professor. He was promoted to associate professor in 1976 and to full professor in 1982.

## **RESOLUTIONS IN MEMORIAM (contd)**

Ralf G. Rahwan (contd)

Dr. Rahwan was a member of several professional and honor societies, including the American Society for Pharmacology and Experimental Therapeutics, The Society of Toxicology, the American Society of Clinical Pharmacology and Therapeutics, the Society of Sigma Xi, the Phi Kappa Phi Honor Society, and the Rho Chi Pharmaceutical Honor Society.

Professor Rahwan was a master teacher who played a key role in the education of students in the professional pharmacy program. He taught several required courses in pharmacology as well as a very popular elective course in toxicology. Professor Rahwan had a lively, animated, enthusiastic teaching style, which engaged students and stimulated their thinking about the subject matter. He also had the ability to present complex information in a clear, concise manner. He was an excellent role model for students, as they not only learned about the actions of therapeutic agents but, by the example that he set in class, also learned to communicate better with patients and with each other. Students consistently indicated that Professor Rahwan was their favorite professor because of the energy he put forth in his teaching and the excitement that he brought to the subject matter. In recognition of his teaching skills, Professor Rahwan was selected by students of the College of Pharmacy to receive the Miriam R. Balshone Memorial Award for Distinguished Teaching six times. In addition, he received The Ohio State University Distinguished Teaching Award in 2001, which is the highest teaching award in the University.

Professor Rahwan made major contributions to basic research in pharmacology and toxicology. He authored or co-authored approximately 100 research and professional publications, encompassing a wide range of areas including endocrine and reproductive pharmacology, cardiovascular pharmacology, gastrointestinal pharmacology, neuropharmacology, toxicology, and teratology. Professor Rahwan had many publications in professional journals on the clinical use of drugs in therapy, including pharmacological approaches to birth control, mechanisms of abnormal fetal development, medical treatment for insomnia, the irritable bowel syndrome, the pharmacology of androgenic hormones and anabolic steroids, and aspirin and Reye's syndrome. He was particularly proud of the Reye's syndrome article, which was co-authored with his son. Professor Rahwan's clinical articles were so well-written and received that he was continually asked by the editors of pharmacy journals to write professional reviews of medical subjects.

On behalf of the University community, the Board of Trustees expresses to the family of Professor Rahwan its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed in the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

Trent W. Smith

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on June 9, 2003, of Trent W. Smith, M.D., Professor Emeritus in the Department of Otolaryngology.

Professor Smith was both an undergraduate and medical graduate of The Ohio State University. He was a member of the "Varsity O" in polo while an undergraduate student. Dr. Smith served as a flight surgeon in the Army Air Force during World War II. After the war, he returned to Columbus to practice otolaryngology and became one of the first facial plastic surgeons in the United States.

He published extensively in the national medical literature about his personal innovations in facial plastic surgical techniques and was nationally renowned, especially for his work on nasal reconstructive surgery. He served as president of the American Academy of Facial Plastic and Reconstructive Surgery in 1974-1975.

In addition to his professional career, Dr. Smith maintained an active interest in polo throughout his life. He continued to play competitive polo with the Columbus Polo Club until he was 82 years

**RESOLUTIONS IN MEMORIAM (contd)**

Trent W. Smith (contd)

old. In addition, he was totally devoted to his family. His son, T. Wynn Smith, M.D., has served as a faculty member in The Ohio State University Department of Otolaryngology since 1981 and also maintains a sub-specialty interest in facial plastic surgery.

On behalf of the University community, the Board of Trustees expresses to the family of Professor Trent W. Smith its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

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**REPORT OF RESEARCH CONTRACTS AND GRANTS**

Resolution No. 2004-34

Synopsis: The reports on research and other sponsored program contracts and grants and the summaries for June and July 2003 are presented for Board acceptance.

WHEREAS monies are solicited and received on behalf of the University from governmental, industrial, and other agencies in support of research, instructional activities, and service; and

WHEREAS such monies are received through The Ohio State University Research Foundation:

NOW THEREFORE

BE IT RESOLVED, That the research agreement between The Ohio State University and The Ohio State University Research Foundation for the contracts and grants reported herein during the months of June and July 2003 be approved.

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**REPORT ON UNIVERSITY DEVELOPMENT**

Resolution No. 2004-35

Synopsis: The report on the receipt of gifts and the summary for June 2003 are presented for Board acceptance.

WHEREAS monies are solicited and received on behalf of the University from alumni, industry, and various individuals in support of research, instructional activities, and service; and

WHEREAS such gifts are received through The Ohio State University Development Fund and The Ohio State University Foundation; and

WHEREAS this report includes the establishment of The Bert C. Wiley, M.D., Chair in Physical Medicine and Rehabilitation, The Esther Dardinger Endowed Chair in Neuro-Oncology, The Dardinger Family Endowed Chair in Oncological Neurosurgery, and the David A. Rismiller Professorship in Management; and

WHEREAS this report includes the establishment of twenty-two (22) new named endowed funds and the amendment of five (5) named endowed funds:

NOW THEREFORE

**REPORT ON UNIVERSITY DEVELOPMENT (contd)**

BE IT RESOLVED, That the acceptance of the report from The Ohio State University Development Fund and The Ohio State University Foundation during the month of June 2003 be approved.

**TOTAL UNIVERSITY PRIVATE SUPPORT**

July through June  
2002-03 Compared to 2001-02

**GIFT RECEIPTS BY DONOR TYPE**

	Dollars July through June		
	<u>2002-03</u>	<u>2001-02</u>	<u>%Change</u>
Individuals:			
Alumni (Current Giving)	\$36,334,075	\$33,260,106	9
Alumni (From Bequests)	<u>7,374,703</u>	<u>5,811,342</u>	27
Alumni Total	\$43,708,778	\$39,071,448	12
Non-Alumni (Current Giving)	\$19,114,479	\$16,706,727	14
Non-Alumni (From Bequests)	<u>5,614,625</u>	<u>3,619,011</u>	55
Non-Alumni Total	\$24,729,104	\$20,325,738	22
Individual Total	\$68,437,882	\$59,397,186	15 <sup>A</sup>
Corporations/Corp/Foundations	\$68,128,282	\$68,970,090	(1)
Private Foundations	\$24,977,996	\$30,227,818	(17) <sup>B</sup>
Associations and Other Organizations	<u>\$34,215,254*</u>	<u>\$20,897,592</u>	64 <sup>C</sup>
Total	\$195,759,414	\$179,492,686	9

\* Includes a charitable distribution of \$14,289,734 as a result of a legal settlement

**NOTES**

- A Individual giving is up 15% largely due to the fact that gifts of \$10,000 or more are up 21% (642 gifts for \$38.3 million last year; 730 gifts for \$46.4 million this year).
- B Foundation giving at the \$10,000 or more level is down 30% (\$25.8 million from 230 gifts last year; \$18.1 million from 233 gifts this year).
- C Giving from associations and other organizations is up 64% for the fiscal year because a charitable distribution from a legal settlement (\$14.2 million) was received.



**REPORT ON UNIVERSITY DEVELOPMENT (contd)**

**TOTAL UNIVERSITY PRIVATE SUPPORT (contd)**

July from June  
2002-03 Compared to 2001-02

GIFT RECEIPTS BY PURPOSE

	Dollars July through June		
	<u>2002-03</u>	<u>2001-02</u>	<u>% Change</u>
Gift Receipts to Current Use and Endowment Funds:			
Buildings/Equipment	\$23,976,110	\$26,751,606	(10)
Faculty Support	\$10,548,069	\$12,391,800	(15)
Program Support	\$132,618,195	\$117,916,174	12
Student Financial Aid	\$20,982,395	\$12,932,221	62
Annual Funds-Colleges/Departments	\$6,528,856	\$8,229,446	(21)
Annual Funds-University	<u>\$1,105,789</u>	<u>\$1,271,439</u>	(13)
Total	\$195,759,414	\$179,492,686	9

**GIFT ADDITIONS TO ENDOWMENT**

Dollars  
July through June

<u>2002-03</u>	<u>2001-02</u>	<u>% Change</u>
\$40,653,204	\$38,035,369	7

**REPORT ON UNIVERSITY DEVELOPMENT (contd)**

**THE OHIO STATE UNIVERSITY DEVELOPMENT FUND**

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Establishment of Named Endowed Chair</u>			
The Bert C. Wiley, M.D., Chair in Physical Medicine and Rehabilitation (Used to support a faculty chair position in the Department of Physical Medicine and Rehabilitation; provided by gifts from an anonymous donor) (grandfathered)	\$891,336.00		\$891,336.00
<u>Establishment of Named Endowed Funds</u>			
The John M. Frank Distinguished Scholarship Fund (Used to provide scholarships to qualified National Merit/National Achievement Scholars; provided by gifts from John M. Frank)		\$1,150,000.00	\$1,150,000.00
The Dr. Robert H. Bremner Memorial Scholarship Fund (Used to support graduate student education in U.S. history in the Department of History; provided by gifts from family, friends, and colleagues of Professor Emeritus Robert Bremner)		\$36,790.00	\$36,790.00
The Francis and Virginia Hazard Scholarship Fund (Used to provide scholarships for qualified students attending OSU-Marion; provided by a gift from Dean F. Dominic Dottavio)		\$25,000.00	\$25,000.00
The Ohio State University String Education Scholarship Fund (Used to fund a scholarship to an undergraduate music education string major; provided by gifts from an annual OSU Midwest Summer String Teacher Conference)		\$25,000.00	\$25,000.00
The Triplett-VanDoren No-Tillage Experimental Plots Endowment Fund (Used to support activities at the long-term tillage and rotation plots; provided by gifts from Dr. David VanDoren, Dr. Glover Triplett, and other supporters of no-tillage) (grandfathered)		\$15,251.14	\$15,251.14
Donald E. and Lillian Sims Scholarship Fund (Used to provide academic merit scholarships for undergraduates with preference for those from Marion County; provided by gifts from Donald E. Sims and Dean F. Dominic Dottavio) (grandfathered)		\$15,000.00	\$15,000.00

**REPORT ON UNIVERSITY DEVELOPMENT (contd)**

**THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)**

Change in Description of Named Endowed Chair

The Julius F. Stone Chair in Cancer Research

Change in Description of Named Endowed Fund

The Thomas and Evelyn Stephens Scholarship  
Fund in Special Education

Change in Name of Named Endowed Fund

From: James E. Pfeifer II K-L Row i-Dot Scholarship Fund  
To: Pfeifer Family K-L Row "i-Dot" Scholarship Fund

Change in Name and Description of Named Endowed Funds

From: The Alumni Association Building Endowment Fund  
To: The Alumni Association Endowment Fund

From: The Robert A. Barnes Award for Exemplary Teaching  
To: The Robert A. Barnes Award Fund for Exemplary Teaching

**THE OHIO STATE UNIVERSITY FOUNDATION**

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Establishment of Named Endowed Chairs</u>			
The Esther Dardinger Endowed Chair in Neuro-Oncology (Used to support the salary and cancer research of a faculty member in the area of neuro-oncology; provided by gifts in memory of Esther Dardinger)		\$1,500,000.00	\$1,500,000.00
The Dardinger Family Endowed Chair in Oncological Neurosurgery (Used to support the salary and cancer research of a faculty member who is a neurosurgeon in the area of neuro-oncology; provided by gifts in memory of Esther and Robert Dardinger)		\$1,500,000.00	\$1,500,000.00
<u>Establishment of Named Endowed Professorship</u>			
David A. Rismiller Professorship in Management (Used to support a professorship in the Fisher College of Business; provided by gifts from David A. Rismiller)		\$750,000.00	\$750,000.00
<u>Establishment of Named Endowed Funds</u>			
The Laughlin-Craig Medical Scholarship Fund (Used to fund scholarships for medical students; provided by gifts from Eleanor Laughlin Craig Bowsher in honor of Curtis Laughlin and William C. Craig)		\$500,000.00	\$500,000.00

**REPORT ON UNIVERSITY DEVELOPMENT (contd)**

**THE OHIO STATE UNIVERSITY FOUNDATION (contd)**

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Establishment of Named Endowed Funds (contd)</u>			
The Jim and Karen Davidson Family Athletic Scholarship Fund (Used to supplement the student-athlete grant-in-aid scholarship costs of an undergraduate varsity football team member; provided by gifts from Jim and Karen Davidson, Jim and Wendy Davidson, Jeff and Judi Davidson, and Doug and Jill Davidson)		\$100,000.00	\$100,000.00
The Martha L. King Center Endowed Fund (Used to support the activities of the Martha L. King Center in the College of Education; provided by a gift from Martha L. King)		\$91,183.00	\$91,183.00
The Tressel Family Fund for Cancer Prevention Research (Used to support cancer research; provided by gifts from the Tressel Family and the generous community in which they live, in memory of Elouise and Lee Tressel)		\$62,064.00	\$62,064.00
The Dr. R. Wayne and A. June Masters Electroscience Lab Fund (Used to commemorate Dr. R. Wayne Masters and his desire to advance the Electroscience Laboratory and research electromagnetics; provided by a gift from A. June Masters and Dr. R. Wayne Masters)		\$50,000.00	\$50,000.00
The Barbara Wagner Genteline Endowment Fund for Cancer Research (Used to support research programs at the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute; provided by a gift from Helen H. Wagner)	\$41,968.00		\$41,968.00
The Richard O. Hecker Football Scholarship Fund (Used to provide scholarship costs of undergraduate student athletes participating on the varsity football team; provided by a gift from Dr. Richard O. Hecker)		\$35,000.00	\$35,000.00
The Juanita Kathryn Melsop Scholarship Fund (Used to provide scholarships for undergraduate architecture students; provided by a gift from J. William and Sandra Melsop)		\$30,000.00	\$30,000.00

**REPORT ON UNIVERSITY DEVELOPMENT (contd)**

**THE OHIO STATE UNIVERSITY FOUNDATION (contd)**

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Establishment of Named Endowed Funds</u> (contd)			
Verlin W. and LaVerne Krill Scholarship Fund (Used to support scholarships for undergraduate students majoring in mechanical engineering who are graduates of any public high school in Ohio; provided by gifts from the charitable trust of Verlin W. and LaVerne Krill)		\$29,691.00	\$29,691.00
The Jean and Allen Patrick Family Endowment Fund for Cancer Research (Used to support cancer genetics research; provided by a gift from Jean and Allen Patrick)		\$26,100.00	\$26,100.00
Ann Hoying Ahrns and Thomas Ahrns 4-H Scholarship Fund (Used to provide scholarships for students in the College of Food, Agricultural, and Environmental Sciences; provided by gifts from Ann Hoying Ahrns and Thomas Ahrns)	\$25,177.00		\$25,177.00
The Michael D. Winfield Chemical Engineering Endowment Fund (Used to help cover emerging priorities within the Department of Chemical Engineering; provided by a gift from Michael D. Winfield and UOP LLC)		\$25,058.00	\$25,058.00
The Keith and Brenda Carpenter Athletics Scholarship Fund (Used to supplement the student grant-in-aid scholarship costs of a student-athlete pursuing an undergraduate degree; provided by gifts from Keith and Brenda Carpenter)		\$25,000.00	\$25,000.00
The Kenneth and Robin Carpenter Athletics Scholarship Fund (Used to supplement the student grant-in-aid scholarship costs of a student-athlete pursuing an undergraduate degree; provided by gifts from Kenneth and Robin Carpenter)		\$25,000.00	\$25,000.00
The E. Ivor Jones Endowed Scholarship Fund (Used to support students in the Department of Human and Community Resource Development; provided by gifts from Ferne Jaynes Jones, family and friends who wish to honor E. Ivor Jones)		\$25,000.00	\$25,000.00

**REPORT ON UNIVERSITY DEVELOPMENT (contd)**

**THE OHIO STATE UNIVERSITY FOUNDATION (contd)**

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Establishment of Named Endowed Funds (contd)</u>			
The Beatrice I. and Alan R. Weiler Cancer Research Endowment Fund (Used to support human cancer genetics research; provided by a gift from Beatrice I. and Alan R. Weiler)		\$25,000.00	\$25,000.00
Total	\$958,481.00	\$6,066,137.14	\$7,024,618.14

**THE OHIO STATE UNIVERSITY DEVELOPMENT FUND**

Establishment of Named Endowed Chair

**The Bert C. Wiley, M.D., Chair in Physical Medicine and Rehabilitation**

The Bert C. Wiley, M.D., Chair Fund in Physical Medicine and Rehabilitation was established May 7, 1993, by the Board of Trustees of The Ohio State University with gifts from an anonymous donor in honor of Bert C. Wiley, M.D., a graduate of The Ohio State University College of Medicine, Class of 1943. The fund has been combined with The Bert C. Wiley, M.D., Endowed Professorship in Physical Medicine and Rehabilitation, and the chair was established on September 5, 2003.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support a faculty chair position in the Department of Physical Medicine and Rehabilitation as recommended by the chairperson of the Department of Physical Medicine and Rehabilitation and the senior vice president for Health Sciences and dean of the College of Medicine and Public Health to the executive vice president and provost and approved by the Board of Trustees.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the senior vice president for Health Sciences and dean of the College of Medicine and Public Health or program administrative officer in order to carry out the desire of the donor.

\$891,336.00  
(grandfathered)

Establishment of Named Endowed Funds

**The John M. Frank Distinguished Scholarship Fund**

The John M. Frank Distinguished Scholarship Fund was established on September 5, 2003, by the Board of Trustees of The Ohio State University with gifts from John M. Frank (B.S.Bus.Adm., 1962) of Columbus, Ohio.

**REPORT ON UNIVERSITY DEVELOPMENT (contd)**

**THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)**

Establishment of Named Endowed Funds (contd)

**The John M. Frank Distinguished Scholarship Fund (contd)**

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide Frank Distinguished Scholarships to qualified National Merit/National Achievement Scholars at The Ohio State University. The selection of recipients is to be made upon the recommendation of the University Honors Center in cooperation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the appropriate college dean, department chairperson, or program administrative officer in order to carry out the desire of the donor.

\$1,150,000.00

**The Dr. Robert H. Bremner Memorial Scholarship Fund**

The Dr. Robert H. Bremner Memorial Scholarship Fund was established on September 5, 2003, by the Board of Trustees of The Ohio State University with gifts from family, friends, and colleagues of Professor Emeritus Robert Bremner (M.A., 1939; Ph.D., 1943).

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support graduate student education in U.S. history in the Department of History within the College of Humanities. Scholarships shall be awarded in consultation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the chairperson of the Department of History and the dean of the College of Humanities in order to carry out the desire of the donor.

\$36,790.00

**The Francis and Virginia Hazard Scholarship Fund**

The Francis and Virginia Hazard Scholarship Fund was established on September 5, 2003, by the Board of Trustees of The Ohio State University with a gift from Dean F. Dominic Dottavio (B.S.Nat.Res., 1973) from the Marion Enrichment Fund and the Marion Campus Dean's Fund.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

**REPORT ON UNIVERSITY DEVELOPMENT (contd)**

**THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)**

Establishment of Named Endowed Funds (contd)

**The Francis and Virginia Hazard Scholarship Fund (contd)**

The annual income shall be used to provide scholarships for any qualified students attending The Ohio State University at Marion with preference for those students who have identified disabilities or handicaps. Scholarships will be awarded in consultation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the dean and director of the Marion campus and the Marion campus scholarship committee in order to carry out the desire of the donor.

\$25,000.00

**The Ohio State University String Education Scholarship Fund**

The Ohio State University String Education Scholarship Fund was established on September 5, 2003, by the Board of Trustees of The Ohio State University with gifts from the annual OSU Midwest Summer String Teacher Conference.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to fund a scholarship to an outstanding incoming undergraduate music education string major based on academic and performance achievement, and potential for becoming an outstanding string/orchestra teacher in the schools following graduation. The individual shall be selected by the director of the School of Music in partnership with a music education string faculty member and in consultation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees as recommended by the director of the School of Music in order to carry out the desire of the donor.

\$25,000.00

**The Triplett-VanDoren No-Tillage Experimental Plots Endowment Fund**

The Triplett-VanDoren No-Tillage Experimental Plots Endowment Fund was established on September 5, 2003, by the Board of Trustees of The Ohio State University with gifts from Dr. David VanDoren, OARDC professor from 1958-1985, Dr. Glover Triplett, OARDC professor from 1959-1982, and other supporters of no-tillage.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.



## **REPORT ON UNIVERSITY DEVELOPMENT (contd)**

### **THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)**

#### Establishment of Named Endowed Funds (contd)

##### **The Triplett-VanDoren No-Tillage Experimental Plots Endowment Fund (contd)**

The annual income shall be used to support activities at the long-term tillage and rotation plots located at the Wooster, Northwestern, and Western Branches of The Ohio State University Ohio Agricultural Research and Development Center. Income shall be used for but not limited to maintenance and improvements, support of agronomic practices, and research on the long-term plots. Income may also be used for no-tillage research elsewhere in the State of Ohio. Unused funds shall be reinvested into the principal.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the director of the Ohio Agricultural Research and Development Center and the director of The Ohio State University School of Natural Resources in order to carry out the desire of the donors.

\$15,251.14  
(grandfathered)

##### **Donald E. and Lillian Sims Scholarship Fund**

The Donald E. and Lillian Sims Scholarship Fund was established on September 5, 2003, by the Board of Trustees of The Ohio State University, with gifts from Donald E. Sims and Dean F. Dominic Dottavio (B.S.Nat.Res., 1973) from the Marion Campus Enrichment Fund.

All gifts are to be invested in the University's permanent endowment fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest or reinvest as occasion dictates.

The annual income shall be used to provide academic merit scholarships for undergraduates with preference for those from Marion County attending either the Columbus or the Marion campus. Scholarships will be awarded in consultation with the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the dean and director of the Marion campus and the Marion campus scholarship committee in order to carry out the desire of the donors.

\$15,000.00  
(grandfathered)

#### Change in Description of Named Endowed Chair

##### **The Julius F. Stone Chair in Cancer Research**

The Julius F. Stone Chair Fund in Cancer Research at the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute was established August 30, 2000, by the Board of Trustees of The Ohio State University with gifts from Dr. and Mrs. Franz T. Stone II of Buffalo, New York, and Gulf Stream, Florida. The minimum funding level was reached, and the chair was established on May 30, 2003. The description was revised on September 5, 2003.

**REPORT ON UNIVERSITY DEVELOPMENT (contd)**

**THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)**

Change in Description of Named Endowed Chair (contd)

**The Julius F. Stone Chair in Cancer Research (contd)**

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support the salary and cancer research of an eminent faculty member in the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute at The Ohio State University as recommended by the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute and the director of the Comprehensive Cancer Center to the senior vice president for Health Sciences and dean of the College of Medicine and Public Health. The activities of the chair holder shall be reviewed no less than every five years by the director of the Comprehensive Cancer Center and the senior vice president for Health Sciences and dean of the College of Medicine and Public Health to determine compliance with the intent of the donors as well as the academic and research standards of the University.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, the director of the Comprehensive Cancer Center, and the senior vice president for Health Sciences and dean of the College of Medicine and Public Health in order to carry out the desire of the donors.

Change in Description of Named Endowed Fund

**The Thomas and Evelyn Stephens Scholarship Fund in Special Education**

The Thomas and Evelyn Stephens Scholarship Fund in Special Education was established September 2, 1992, by the Board of Trustees of The Ohio State University with gifts from Thomas and Evelyn Stephens, and from their friends on the occasion of Dr. Thomas Stephens' retirement as professor and associate dean in The Ohio State University College of Education. The fund was established to recognize the Stephens' long-term commitment to the College, to students, and to special needs populations. The description was revised on September 5, 2003.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide scholarship support for one graduate student in the College of Education who plans a career working with special needs students and/or programs. Selection of the scholarship recipient shall be made by the dean of the College of Education in consultation with the University Committee on Student Financial Aid, Dr. Stephens to the extent possible, and the appropriate faculty members in the College of Education.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the dean of the College of Education in order to carry out the desire of the donors.

## **REPORT ON UNIVERSITY DEVELOPMENT (contd)**

### **THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)**

#### Change in Name of Named Endowed Fund

##### **Pfeifer Family K-L Row "i Dot" Scholarship Fund**

The James E. Pfeifer II K-L Row I-Dot Scholarship Fund was established May 2, 1997, by the Board of Trustees of The Ohio State University with a gift from James E. (B.S.Bus.Adm., 1961) and Nancy G. (B.S. Physical Therapy, 1960) Pfeifer in celebration of the participation of their son, James E. Pfeifer II, in The Ohio State University Marching Band (1987-1991). The name was changed to the James E. Pfeifer II K-L Row i-Dot Scholarship Fund on June 6, 1997. The name was revised again on September 5, 2003.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall provide one scholarship each year for a senior sousaphone player in The Ohio State University Marching Band. The recipient will be selected by the director of the School of Music in consultation with the director of the marching band and the University Committee on Student Financial Aid. The scholarship is not renewable and is not restricted to music majors. If there are no awards in any given year, the fund's earnings shall be added to the principal.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then the income shall be used for purposes similar to those specified by the donor, as directed by the Board of Trustees of The Ohio State University in order to carry out the desire of the donors.

#### Change in Name and Description of Named Endowed Funds

##### **The Alumni Association Endowment Fund**

The Alumni Association Building Endowment Fund was established February 7, 1992, by the Board of Trustees of The Ohio State University, with gifts to The Ohio State University Development Fund from members and friends of The Ohio State University Alumni Association, Inc. The Alumni Endowment Fund, established February 14, 1944, is being transferred in whole to The Alumni Association Building Endowment Fund, and the name and description were revised on September 5, 2003.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University with the right to invest and reinvest as occasion dictates.

The annual income from this fund is to be transferred to The Ohio State University Alumni Association, Inc. for the purpose of maintaining the campus building they occupy or for the purpose of advancing the mission of the Alumni Association as recommended by the Board of Directors of The Ohio State University Alumni Association, Inc. The principal, as well as income, may be used for planning, constructing, maintenance, enhancements, and/or upgrades to the campus building; however, the principal cannot go below the minimum funding level as established by the Board of Trustees.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees, with preference being given to recommendations from the Board of Directors of The Ohio State University Alumni Association, Inc.

## **REPORT ON UNIVERSITY DEVELOPMENT (contd)**

### **THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)**

#### Change in Name and Description of Named Endowed Funds (contd)

##### **The Robert A. Barnes Award Fund for Exemplary Teaching**

The Robert A. Barnes Award for Exemplary Teaching was established August 23, 1989 by the Board of Trustees of The Ohio State University with gifts from Robert A. Barnes (M.S., 1959; Ph.D., 1963), of Newark, Ohio. The name and description were revised on September 5, 2003.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide an award for exemplary teaching to be presented not more often than once a year to an Ohio State University faculty member with an annual full-time teaching assignment at the University's campus at Newark, Ohio.

The recipient's name is to be engraved on an appropriate plaque to be presented to the individual and on another plaque to be permanently and prominently displayed at the Newark campus. Both plaques shall bear the full name of the award.

At the option of the recipient, the award shall consist of one of the following:

- A cash prize;
- Equivalent of the cash prize for teaching-related travel provided by the University;
- Equivalent of the cash prize for teaching-related publications or equipment provided by the University for the recipient's teaching area – such to remain property of the University.

The criteria and procedures for annual recipient selection, the award amount, and presentation shall be determined by the chief administrator of The Ohio State University at Newark, in consultation with the Newark campus faculty.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the chief administrator of the University's campus at Newark, Ohio, or if such should no longer exist, the most appropriate University administrator in order to carry out the desire of the donor.

### **THE OHIO STATE UNIVERSITY FOUNDATION**

#### Establishment of Named Endowed Chairs

##### **The Esther Dardinger Endowed Chair in Neuro-Oncology**

The Esther Dardinger Endowed Chair in Neuro-Oncology at the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute was established on September 5, 2003, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation. This chair was established by The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute in memory of the late Esther Dardinger of Johnstown, Ohio.

**REPORT ON UNIVERSITY DEVELOPMENT (contd)**

**THE OHIO STATE UNIVERSITY FOUNDATION (contd)**

Establishment of Named Endowed Chairs (contd)

**The Esther Dardinger Endowed Chair in Neuro-Oncology (contd)**

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support the salary and cancer research of a faculty member dedicated to research and treatment in the area of neuro-oncology in the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute at The Ohio State University as approved by the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, the director of the Comprehensive Cancer Center, and the senior vice president for Health Sciences and dean of the College of Medicine and Public Health.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, the director of the Comprehensive Cancer Center, and the senior vice president for Health Sciences and dean of the College of Medicine and Public Health in order to carry out the desire of the donor.

\$1,500,000.00

**The Dardinger Family Endowed Chair in Oncological Neurosurgery**

The Dardinger Family Endowed Chair in Oncological Neurosurgery at the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute was established on September 5, 2003, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation. This chair was established by The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute in honor of the family of Esther and Robert Dardinger of Johnstown, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support the salary and cancer research of a faculty member who is a neurosurgeon dedicated to research and treatment in the area of neuro-oncology in the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute at The Ohio State University as approved by the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, the director of the Comprehensive Cancer Center, and the senior vice president for Health Sciences and dean of the College of Medicine and Public Health.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, the director of the Comprehensive Cancer Center, and the senior vice president for Health Sciences and dean of the College of Medicine and Public Health in order to carry out the desire of the donor.

\$1,500,000.00

**REPORT ON UNIVERSITY DEVELOPMENT (contd)**

**THE OHIO STATE UNIVERSITY FOUNDATION (contd)**

Establishment of Named Endowed Professorship

**David A. Rismiller Professorship in Management**

The David A. Rismiller Faculty Fellowship Fund was established October 3, 1997, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts designated for support of The Max M. Fisher College of Business from contributions from David A. Rismiller (B.S.Bus.Adm., 1958). The required funding level has been reached, and the professorship was established on September 5, 2003.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support the David A. Rismiller Professorship in Management. Awards from the fund shall be made by the dean of the Fisher College of Business.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate college dean, school director, department chairperson, or program administrative officer in order to carry out the desire of the donor.

\$750,000.00

Establishment of Named Endowed Funds

**The Laughlin-Craig Medical Scholarship Fund**

The Laughlin-Craig Medical Scholarship Fund was established on September 5, 2003, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Eleanor Laughlin Craig Bowsher (B.S.Bus.Adm., 1934) in honor of Curtis Laughlin (M.D., 1902) and William C. Craig (M.D., 1935).

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to fund scholarships for two or more medical students in their second, third, or fourth years of study who are in the top 10 percent of their class and who demonstrate financial need. Selection shall be made by the University's Office of Student Financial Aid, with formal approval by the senior vice president for Health Sciences and dean of the College of Medicine and Public Health.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the senior vice president for Health Sciences and dean of the College of Medicine and Public Health in order to carry out the desire of the donor.

\$500,000.00

**REPORT ON UNIVERSITY DEVELOPMENT (contd)**

**THE OHIO STATE UNIVERSITY FOUNDATION (contd)**

Establishment of Named Endowed Funds (contd)

**The Jim and Karen Davidson Family Athletic Scholarship Fund**

The Jim and Karen Davidson Family Athletic Scholarship Fund was established on September 5, 2003, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Jim (B.S.Bus.Adm., 1966) and Karen (B.S.Ed., 1965) Davidson, of Dayton, Ohio; along with their sons and daughters-in-law, Jim (B.S., 1988) and Wendy (B.S.Nurs., 1988) Davidson, of Findlay, Ohio; Jeff and Judi Davidson, of Franklin, Massachusetts; and Doug and Jill Davidson of Beavercreek, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to supplement the student-athlete grant-in-aid scholarship costs of an undergraduate varsity football team member at The Ohio State University. The recipient must be a graduate of an Ohio high school. Recipient will be selected by the director of Athletics in consultation with the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the director of Athletics in order to carry out the desire of the donors.

\$100,000.00

**The Martha L. King Center Endowed Fund**

The Martha L. King Center Endowed Fund was established on September 5, 2003, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Martha L. King (Ph.D., 1957), in recognition of her long and distinguished career as a professor in the College of Education.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support the activities of the Martha L. King Center in the College of Education. Use shall be limited to activities that further teaching and learning in the area of language and literacy. First preference shall be given to early and middle childhood education.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, the principal of the fund shall be transferred to the Martha L. King Scholarship Fund. If the fund no longer exists, then the Foundation Board shall designate another use in consultation with the dean of the College of Education in order to carry out the desire of the donor.

\$91,183.00

**REPORT ON UNIVERSITY DEVELOPMENT (contd)**

**THE OHIO STATE UNIVERSITY FOUNDATION (contd)**

Establishment of Named Endowed Funds (contd)

**The Tressel Family Fund for Cancer Prevention Research**

The Tressel Family Fund for Cancer Prevention Research at the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute was established on September 5, 2003, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from the Tressel family and the generous community in which they live, in memory of Elouise and Lee Tressel.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support cancer research, with preference given to prevention and education, at the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute at The Ohio State University as approved by the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, the director of the Comprehensive Cancer Center, and the senior vice president for Health Sciences and dean of the College of Medicine and Public Health.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, the director of the Comprehensive Cancer Center and the senior vice president for Health Sciences and dean of the College of Medicine and Public Health in order to carry out the desire of the donor.

\$62,064.00

**The Dr. R. Wayne and A. June Masters Electrosience Lab Fund**

The Dr. R. Wayne and A. June Masters Electrosience Lab Fund was established on September 5, 2003, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from A. June Masters (B.A., 1940, B.S.Ed., 1940) and Dr. R. Wayne Masters (M.S., 1941) of Woodbridge, Virginia.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to commemorate Dr. R. Wayne Masters and his desire to advance the Electrosience Laboratory and research in electromagnetics. The director of the Electrosience Laboratory will be responsible for allocating these discretionary earnings. Should the laboratory cease to exist, then the chairperson of the Department of Electrical Engineering will assume this responsibility.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the dean of the College of Engineering in order to carry out the desire of the donors.

\$50,000.00



**REPORT ON UNIVERSITY DEVELOPMENT (contd)**

**THE OHIO STATE UNIVERSITY FOUNDATION (contd)**

Establishment of Named Endowed Funds (contd)

**The Barbara Wagner Genteline Endowment Fund for Cancer Research**

The Barbara Wagner Genteline Endowment Fund for Cancer Research at the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute was established on September 5, 2003, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Helen H. Wagner (B.S.Ed., 1937).

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support research programs at the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute at The Ohio State University as approved by the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, the director of the Comprehensive Cancer Center, and the senior vice president for Health Sciences and dean of the College of Medicine and Public Health.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, the director of the Comprehensive Cancer Center, and the senior vice president for Health Sciences and dean of the College of Medicine and Public Health in order to carry out the desire of the donor.

\$41,968.00

**The Richard O. Hecker Football Scholarship Fund**

The Richard O. Hecker Football Scholarship Fund was established on September 5, 2003, in accordance with guidelines approved by the Board of Directors of The Ohio State University Foundation with a gift from Dr. Richard O. Hecker (D.D.S., 1957) of Columbia, South Carolina.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide scholarship costs of student athletes who are pursuing undergraduate degrees at The Ohio State University and participating on the varsity football team. The donor reserves the right to add to the fund above the initial commitment. Should the amount in the fund reach the endowed scholarship threshold of \$100,000, the Department of Athletics will name a member of the varsity football team as "recipient" of The Richard O. Hecker Football Scholarship. Scholarships will be awarded in consultation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the director of Athletics in order to carry out the desire of the donor.

\$35,000.00

**REPORT ON UNIVERSITY DEVELOPMENT (contd)**

**THE OHIO STATE UNIVERSITY FOUNDATION (contd)**

Establishment of Named Endowed Funds (contd)

**The Juanita Kathryn Melsop Scholarship Fund**

The Juanita Kathryn Melsop Scholarship Fund was established on September 5, 2003, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from J. William (B.Arch., 1964) and Sandra Melsop of Pepper Pike, Ohio.

The scholarship is in memory of Juanita Kathryn Melsop. Juanita encouraged her son Bill in his architectural studies and felt pride that he studied at and graduated from The Ohio State University School of Architecture. She was also proud of her granddaughter Susan Melsop Bonn (B.S.Arch., 1987) who graduated from The Ohio State University School of Architecture.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used for a scholarship to be given to an undergraduate architecture student who has achieved academic excellence in the study of architecture and has financial need. Scholarships will be awarded in consultation with the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the director of the Austin E. Knowlton School of Architecture in order to carry out the desire of the donors.

\$30,000.00

**Verlin W. and LaVerne Krill Scholarship Fund**

The Verlin W. and LaVerne Krill Scholarship Fund was established on September 5, 2003, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from the charitable trust of Verlin W. (B.M.E., 1933; M.S., 1934) and LaVerne Krill from Edgerton, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be added to the principal until gifts and additions reach \$50,000 and then used to support renewable scholarships for one or more worthy undergraduate students who are graduates of any public high school in Ohio majoring in mechanical engineering at the Columbus campus. Scholarships will be awarded in consultation with the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the dean of the College of Engineering and the chairperson of the Department of Mechanical Engineering in order to carry out the desire of the donors.

\$29,691.00

**REPORT ON UNIVERSITY DEVELOPMENT (contd)**

**THE OHIO STATE UNIVERSITY FOUNDATION (contd)**

Establishment of Named Endowed Funds (contd)

**The Jean and Allen Patrick Family Endowment Fund for Cancer Research**

The Jean and Allen Patrick Family Endowment Fund for Cancer Research at the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute was established on September 5, 2003, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Jean and Allen Patrick of Columbus, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support cancer genetics research at the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute at The Ohio State University as approved by the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, the director of the Comprehensive Cancer Center, and the senior vice president for Health Sciences and dean of the College of Medicine and Public Health. Any unused income shall be returned to the principal of this fund.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, the director of the Comprehensive Cancer Center, and the senior vice president for Health Sciences and dean of the College of Medicine and Public Health in order to carry out the desire of the donors.

\$26,100.00

**Ann Hoying Ahrns and Thomas Ahrns 4-H Scholarship Fund**

The Ann Hoying Ahrns and Thomas Ahrns 4-H Scholarship Fund was established on September 5, 2003, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Ann Hoying Ahrns (B.S. Food Tech., 1984) and Thomas Ahrns (B.S.M.E., 1986) of Dublin, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide one or more scholarships for students majoring in the College of Food, Agricultural, and Environmental Sciences. First preference should be given to a graduate of Fort Loramie High School, who is a 4-H member. Second preference should be given to a graduate of any Shelby County High School, who is a 4-H member. The scholarship shall be awarded in consultation with the University Committee on Student Financial Aid. In any given year that the income is not fully expended, the unused portion should be reinvested in the endowment principal.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the vice president for Agricultural Administration and University Outreach and executive dean for Food, Agricultural, and Environmental Sciences.

\$25,177.00

**REPORT ON UNIVERSITY DEVELOPMENT (contd)**

**THE OHIO STATE UNIVERSITY FOUNDATION (contd)**

Establishment of Named Endowed Funds (contd)

**The Michael D. Winfield Chemical Engineering Endowment Fund**

The Michael D. Winfield Chemical Engineering Endowment Fund was established on September 5, 2003, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Michael D. Winfield (B.Ch.E., 1962) and one in his honor from his employer UOP LLC of Des Plaines, Illinois.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to help cover emerging priorities within the Department of Chemical Engineering including undergraduate merit scholarships and improved teaching and research facilities. The income may be used for facility construction costs including the servicing of bonds used to meet those costs. Michael Winfield will be appropriately recognized with each use of the income. The chairperson of the Department of Chemical Engineering will be responsible for all expenditures. Scholarships will be awarded in consultation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate department chairperson, or college dean in order to carry out the desire of the donor.

\$25,058.00

**The Keith and Brenda Carpenter Athletics Scholarship Fund**

The Keith and Brenda Carpenter Athletics Scholarship Fund was established on September 5, 2003, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Keith and Brenda Carpenter, in honor of Elmer Carpenter and in memory of Janice Carpenter.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to supplement the student grant-in-aid scholarship costs of a student-athlete pursuing an undergraduate degree from The Ohio State University. As a first preference, the recipient should be a graduate of the Northwest Ohio Athletic League, an Ohio High School Athletics Association conference in Northwest Ohio, participating in football. Second preference will be a student-athlete from the Northwest Ohio area participating in football. The recipient will be selected by the director of Athletics in consultation with the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the director of Athletics in order to carry out the desire of the donors.

\$25,000.00

**REPORT ON UNIVERSITY DEVELOPMENT (contd)**

**THE OHIO STATE UNIVERSITY FOUNDATION (contd)**

Establishment of Named Endowed Funds (contd)

**The Kenneth and Robin Carpenter Athletics Scholarship Fund**

The Kenneth and Robin Carpenter Athletics Scholarship Fund was established on September 5, 2003, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Kenneth and Robin Carpenter, in honor of Elmer Carpenter and in memory of Janice Carpenter.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to supplement the student grant-in-aid scholarship costs of a student-athlete pursuing an undergraduate degree from The Ohio State University. As a first preference, the recipient should be a graduate of the Northwest Ohio Athletic League, an Ohio High School Athletics Association conference in Northwest Ohio, participating in football. Second preference will be a student-athlete from the Northwest Ohio area participating in football. The recipient will be selected by the director of Athletics in consultation with the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the director of Athletics in order to carry out the desire of the donors.

\$25,000.00

**The E. Ivor Jones Endowed Scholarship Fund**

The E. Ivor Jones Endowed Scholarship Fund was established on September 5, 2003, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation with gifts from Ferne Jaynes Jones, family, and friends who wish to honor E. Ivor Jones (B.S.Agr., 1951; M.S., 1960), Washington Court House Extension area supervisor.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support an undergraduate or graduate student(s) and will be restricted to qualified students who have maintained at least a 3.0 grade point average. Students shall be enrolled in the Department of Human and Community Resource Development and engaged in the study and/or research of agricultural education.

The Scholarship Selection Committee of the Department of Human and Community Resource Development shall select the student recipient(s) in consultation with the department chairperson and the Office of Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the vice president for Agricultural Administration and University Outreach and executive dean for Food, Agricultural, and Environmental Sciences, and the chairperson of the Department of Human and Community Resource Development or their successor in order to carry out the desire of the donors.

\$25,000.00

**REPORT ON UNIVERSITY DEVELOPMENT (contd)**

**THE OHIO STATE UNIVERSITY FOUNDATION (contd)**

Establishment of Named Endowed Funds (contd)

**The Beatrice I. and Alan R. Weiler Cancer Research Endowment Fund**

The Beatrice I. and Alan R. Weiler Cancer Research Endowment Fund at the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute was established on September 5, 2003, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Beatrice I. and Alan R. Weiler of Columbus, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support human cancer genetics research at the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute at The Ohio State University as approved by the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, the director of the Comprehensive Cancer Center, and the senior vice president for Health Sciences and dean of the College of Medicine and Public Health.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, the director of the Comprehensive Cancer Center, and the senior vice president for Health Sciences and dean of the College of Medicine and Public Health in order to carry out the desire of the donor.

\$25,000.00

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**FUNDING FOR UNIVERSITY DEVELOPMENT**

Resolution No. 2004-36

Synopsis: Modification of the funding plan for University Development is proposed.

WHEREAS the Board of Trustees adopted a funding plan for University Development in July 2000, with revision in September 2002, to support fund raising without General Funds support; and

WHEREAS continued investment is needed to protect and enhance the University's ability to acquire private gift support; and

WHEREAS appropriate consultation with Deans and other University officials has resulted in agreement of modification to the funding plan:

NOW THEREFORE

BE IT RESOLVED, That effective July 1, 2003,

1. The 1.33% charge against all Endowment accounts will continue, using a base of a five-year rolling average of the Endowment's market value;

### **FUNDING FOR UNIVERSITY DEVELOPMENT**

2. The current funding component consisting of the interest income earned from holding current use gifts for 90 days is to be extended to 180 days;
3. The first six months of distributed income on new Endowment accounts will be allocated to University Development; and
4. All other components of the funding plan originally established in July 2000 not modified herein shall remain in effect.

(See Appendix XII for background information, page 355.)

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### **WAIVERS OF COMPETITIVE BIDDING REQUIREMENTS**

Resolution No. 2004-37

April – June 2003

Synopsis: Acceptance of the quarterly report on waivers of competitive bidding requirements is proposed.

WHEREAS the Purchasing Policy of The Ohio State University adopted by the Board of Trustees on September 7, 1984, and revised on February 7, 1992, July 8, 1994, November 7, 1997, and March 1, 2002 provides that the President and/or the Senior Vice President for Business and Finance, or for the Hospitals of the University and their related facilities, the Vice President for Health Services, may grant a waiver from competitive bidding in the event of an emergency, when a sufficient economic reason exists or when the goods or services can be purchased from only a single source, with a report on such waivers to be made quarterly to this Board; and

WHEREAS the Senior Vice President for Business and Finance has submitted a report on waivers of competitive bidding requirements granted for the period of April - June 2003; and

WHEREAS during the period covered, the Senior Vice President for Business and Finance, at the requests of the departments making the purchases and upon the recommendation of the Purchasing Department, granted 98 waivers of competitive bidding requirements for annual purchases totaling approximately \$20,161,100 as shown on the enclosed exhibit:

WHEREAS during the period covered, the Vice President for Health Services, at the requests of the Hospitals of the University and their related facilities making purchases, granted 40 waivers of competitive bidding requirements for annual purchases totaling approximately \$7,940,800 as shown on the enclosed exhibit:

NOW THEREFORE

BE IT RESOLVED, That the report on waivers of competitive bidding requirements for the period of April-June 2003, is hereby accepted.

(See Appendix XIII for background information, page 357.)

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**REQUEST FOR DESIGN AND CONSTRUCTION AUTHORIZATION**

Resolution No. 2004-38

**REQUEST FOR DESIGN AUTHORIZATION**

DOAN HALL - TRASH COMPACTOR AREA  
NEIL AVENUE CORRIDOR/TWELFTH AVENUE IMPROVEMENTS  
NORTH HOT WATER HEATING LOOP  
SCHOOL OF MUSIC - FEASIBILITY STUDY

**REQUEST FOR CONSTRUCTION AUTHORIZATION**

AIRPORT PAVEMENT REHABILITATION - PHASE I  
DRAKE PERFORMANCE & EVENT CENTER PEDESTRIAN BRIDGE  
FACULTY CLUB – WINDOW REPLACEMENT  
FOOTBALL PRACTICE FIELD #4 - TURF INSTALLATION  
OARDC - BOILER REPLACEMENT  
Psychology Building

Synopsis: Authorization to enter into design contracts for Doan Hall - Trash Compactor Area, Neil Avenue Corridor/Twelfth Avenue Improvements, North Hot Water Heating Loop, and School of Music - Feasibility Study, and construction contracts for Airport Pavement Rehabilitation - Phase I, Drake Performance & Event Center Pedestrian Bridge, Faculty Club – Window Replacement, Football Practice Field #4 - Turf Installation, OARDC - Boiler Replacement, and Psychology Building is requested.

WHEREAS the University desires to construct a one-story addition to Doan Hall to house a trash compactor and sterilizer; and

WHEREAS the conceptual project estimate is \$400,000 - \$500,000 with funding to be provided by the University Hospital; and

WHEREAS the University desires to improve street and sidewalk upgrades to improve traffic and pedestrian flow in the Neil Avenue Corridor and Twelfth Avenue areas; and

WHEREAS the Board of Trustees previously authorized design for the Neil Avenue Corridor as a stand-alone project on May 2, 2003; and

WHEREAS this project now has been expanded to encompass improvements in the 12<sup>th</sup> Avenue area; and

WHEREAS the conceptual project estimate for the combined project is now \$2,500,000 - \$2,725,000, with funding to be provided by House Bill 675 and by future University bond proceeds; and

WHEREAS the University desires to replace the hot water heating loop and upgrade 24 campus buildings to local heating hot water production; and

WHEREAS the conceptual project estimate is \$2,500,000 with funding to be provided by future University bond proceeds, with debt service to be paid by an increase in the central utility assessment; and

WHEREAS the University desires to perform a feasibility study to determine the renovation and/or construction possibilities for the School of Music; and

WHEREAS the feasibility study is projected to cost \$250,000, with funding to be provided by HB 675; and



**REQUEST FOR DESIGN AND CONSTRUCTION AUTHORIZATION (contd)**

WHEREAS the University desires to rehabilitate existing University Airport runways, taxiways and aprons; and

WHEREAS the total project cost for Phase I is expected to be \$1,700,000, with funding to be provided by an FAA grant (\$1,581,275) and development funds from the College of Engineering (\$118,725); and

WHEREAS the University desires to renovate the walkways and bridge in the area of Lincoln and Morrill Towers and Drake Performance & Event Center; and

WHEREAS the construction document project cost is \$435,000 with funding to be provided by HB 748 and HB 675; and

WHEREAS the University desires to replace a number of windows in the Faculty Club; and

WHEREAS the construction document project cost is \$241,042 with funding to be provided by donor gift monies; and

WHEREAS the University desires to install new turf at the football practice field #4; and

WHEREAS the total project cost has increased from \$540,000 to \$595,177 due to tighter specifications required for the quality of the turf to be installed, with funding to be provided by the Athletic Department; and

WHEREAS the University desires to replace boilers at the OARDC facilities in Wooster; and

WHEREAS the construction document project cost has increased from \$1,320,000 to \$1,835,000 due to escalation in material costs of \$365,000 and the addition of one extra boiler to expand capacity, with funding to be provided by HB 640 (\$1,320,000), OARDC (\$365,000) and HB 675 (\$150,000); and

WHEREAS the University desires to construct a new facility for the Psychology Department; and

WHEREAS the construction document project cost is \$35,000,000, with funding to be provided by HB 640 (\$3,000,000), HB 675 (\$15,000,000) and future capital appropriations (\$17,000,000):

NOW THEREFORE

BE IT RESOLVED, That the President and/or Senior Vice President for Business and Finance be authorized to select qualified architectural/engineering firms as necessary for Doan Hall - Trash Compactor Area, Neil Avenue Corridor/Twelfth Avenue Improvements, North Hot Water Heating Loop, and School of Music - Feasibility Study; and

BE IT FURTHER RESOLVED, That the President and/or Senior Vice President for Business and Finance be authorized to request construction bids for Airport Pavement Rehabilitation - Phase I, Drake Performance & Event Center Pedestrian Bridge, Faculty Club – Window Replacement, Football Practice Field #4 - Turf Installation, OARDC - Boiler Replacement, and Psychology Building are hereby accepted.

(See Appendix XIV for background information and maps, page 359.)

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**HOME HEALTH CARE PROGRAM  
AGREEMENT WITH MED OHIO HEALTH, INC.**

Resolution No. 2004-39

Synopsis: Authorization of additional funding for the continued implementation of the home health care program is proposed.

**HOME HEALTH CARE PROGRAM  
AGREEMENT WITH MED OHIO HEALTH, INC. (contd)**

WHEREAS in February 1995, the Board of Trustees authorized The Ohio State University Health System to enter into an agreement with MedOhio Health, Inc., for the provision of a home health care program for patients served by the Health System; and

WHEREAS pursuant to authorization by the Board of Trustees, the University, on behalf of the Health System, has loaned \$3,200,000 to MedOhio Health for the purpose of developing and operating the home health care program; and

WHEREAS MedOhio Health has developed and implemented a home health program for the patients served by the Health System; and

WHEREAS this home health program provides a valuable and needed service to the patients, ensures continuity of care, and offers teaching and research opportunities to The Ohio State University; and

WHEREAS MedOhio Health has requested an additional line of credit to support its growth and to meet its variable cash flow needs; and

WHEREAS the University Hospitals Board has recommended that the University establish a \$1,000,000 line of credit to be made available to MedOhio Health for use in the home health care program:

NOW THEREFORE

BE IT RESOLVED, That the Board of Trustees authorizes the University to establish a line of credit to MedOhio Health, Inc., up to \$1,000,000 as additional funding for the continued implementation of the home health care program, to be released as directed by the Chief Financial Officer of the Health System.

Upon motion of Mrs. Davidson, seconded by Mr. McFerson, the Board of Trustees adopted the foregoing resolutions by unanimous roll call vote, cast by Messrs. Sofia, Slane, McFerson, Borrer, O'Dell, and Judge Duncan, Meses. Longaberger, Hendricks, and Davidson.

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**REPORT ON FY 2005 AND FY 2006 CAPITAL REQUEST**

Interim Provost Barbara R. Snyder:

I want to say a couple of general things about this. Like the budget, the capital requests represent an alignment between the dollars and our academic priorities. These projects go through a very intensive process for prioritizing. We don't have as many dollars as we would like to have, but these do represent our academic priorities. So I'm going to let Bill talk about the specifics.

Mr. William J. Shkurti:

Mr. Chairman, what we're recommending to the Board today for approval is a total request of \$71 million in state funds for the next biennium, and it's targeted at seven projects that are of high academic priority. Those include:

- The continued progress on the design of the renovation of the Main Library;
- The completion of the renovation and replacement of new space for Mechanical Engineering, which is currently in Robinson Lab;
- A new replacement building for the Department of Psychology and also the completion of that project; and
- The completion of the credit instruction portion of the student rec center.

**REPORT ON FY 2005 AND FY 2006 CAPITAL REQUEST (contd)**

Mr. Shkurti: (contd)

In addition, we're recommending three new projects move forward:

- The planning money to begin the Cancer Center expansion that Barbara referred to in our earlier presentation;
- The renovation of Smith Lab for swing space so we can make some other changes including, at long last, the demolition of Lord Hall, which probably should have been taken down 20 years ago, but we finally have now somewhere to put the current inhabitants who are suffering in that facility; and
- Planning money to start the renovation of Brown Hall, which is one of our deferred maintenance priorities.

So this adds up to \$71 million. As Barbara mentioned, we could use more but we're appreciative to the state for that. I think we're using it well, to emphasize replacement and renovation of buildings that are needed for academic purposes.

Mr. Sofia:

Any questions for Bill on this? Thank you Barbara and Bill.

**FY 2005 AND FY 2006 CAPITAL REQUEST**

Resolution No. 2004-40

WHEREAS the University has made its recommendations regarding the capital project funding request for State funds for the FY 2005 and FY 2006 biennium; and

WHEREAS these recommendations have been reviewed through the University's governance structure:

NOW THEREFORE

BE IT RESOLVED, That the attached recommendations for State capital funds be approved for submission to the Ohio Board of Regents; and

BE IT FURTHER RESOLVED, That any request for authorization to proceed with any project contained in these recommendations or for University funds for any such project must be submitted individually by the administration for approval by the Board of Trustees.

Upon motion of Mr. Borrer, seconded by Ms. Longaberger, the Board of Trustees adopted the foregoing resolution by unanimous roll call vote, cast by Messrs. Sofia, Slane, McFerson, Borrer, O'Dell, and Judge Duncan, Meses. Longaberger, Hendricks, and Davidson.

(See Appendix XV for background information, page 383.)

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September 5, 2003 meeting, Board of Trustees

Thereupon the Board adjourned to meet Friday, October 3, 2003, at The Ohio State University Longaberger Alumni House, Columbus, Ohio.

Attest:

David O. Frantz  
Secretary

Zuheir Sofia  
Chairman

# Government Relations and the Federal Agenda at the Ohio State University

**Board of Trustees  
September, 2003**

**Karen A. Holbrook, President  
The Ohio State University**



### Campus

- Campus-wide Government Relations “team”
- Trustees, faculty, staff and student organizations
- Alumni Association Advocates Program

### City

- Columbus (Mayor) and the County
- Chamber of Commerce, Ohio Business Roundtable, etc.

### State

- Governor, State Agencies
- House and Senate; committees and subcommittees
- Board of Regents

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### Federal

- Executive Branch
- Ohio’s delegation
- Higher Education National Associations
- Federal Agencies

Ohio State's Federal Agenda: Areas of Focus

A. Increasing Federal support vital to Ohio State

- **Student Aid** – Ohio State has the largest volume of loans under the Federal Direct Student Loan Program among all universities

	<u>2001-02</u>	<u>No. of Recipients</u>	<u>2002-03</u>	<u>No. of Recipients</u>
Title IV Federal Aid				
Total	231,116,667	28,420	251,150,105	29,626
Title VII Federal Aid3,	3,169,692	564	2,490,652	571 Total

- **R&D** = 75% of Ohio State's federal awards in FY'03

	<u>2001-02</u>	<u>No. of Awards</u>	<u>2002-03</u>	<u>No. of Awards</u>
Total Federal Funding	219,909,352	1017	221,065,352	1060

- **Agencies**
- **Special projects**

B. Influencing Federal Regulations that Impact Ohio State

- **Higher Education Reauthorization: Includes**

- maintaining Direct Loan Program
- increasing Pell Grants – 10,000 recipients @ \$20M total
- increasing loan limits for Stafford Subsidies Loan – limit has been constant since 1998
- removing provisions not related to financing college education from the federal student aid process

## Ohio State's Federal Agenda: Areas of Focus (contd)

### - Other:

- Regulations related to the SEVIS system and admission of international students
- The use of information technology to impact the way technologies can be used for learning and research at universities
- Support Bayh-Dole legislation and oppose "State Sovereign Immunity" legislation that threatens the ability of public universities to engage in successful technology transfer and curtails the universities role in economic development

### C. Developing a Database of faculty, staff, administrators and students:

- allows us to nominate faculty, staff and administrators to federal boards and commissions - become partners in setting national publish policy and research agendas.
- provide a list of those who can testify before congressional committees, federal agency panels and other venues in D.C. – advantages: the testimony provided and visibility to expertise and to the institution itself.

### Ohio State's Federal Appropriations Agenda

- Plan for Developing Federal Initiatives - Process and Timetable
- Increasing Ohio State's presence in Washington, D.C.
  - OSU staff member in Washington 24/7
  - Other Big Ten representation in Washington; California System support
  - John Glenn Institute Washington Academic Internship Program



**107th Congress - Funding to Ohio State via the Appropriations Process (FY '03)**

<b>\$5 M</b>	<b>Eisenhower National Clearing House - Education</b>
<b>\$2.3M</b>	<b>Center for Aviation Research &amp; Aerospace Technology - Engineering</b>
<b>\$2.1M</b>	<b>DOD Soldier Systems Center (joint w/ Rutgers and WSU - FAES</b>
<b>\$1 M</b>	<b>Biomedical Research Tower - COMPH</b>
<b>\$1M</b>	<b>Center for Automotive Research - Engineering</b>
<b>\$750K</b>	<b>Netwellness (joint with Case and UC) - COMPH</b>
<b>\$567K</b>	<b>Plant and Agro-Security Facility(PAAR) - OARDC</b>
<b>\$450</b>	<b>Campus Partners - Broad Street Portfolio - Univ.</b>

**107th Congress - Funding to Ohio State via the Appropriations Process**

<b>\$250K</b>	<b>Food-Based cancer prevention – FAES &amp; COMPH</b>
<b>\$200K</b>	<b>Heart Disparities in cancer Studies – COMPH</b>
<b>Total = \$13,817,000</b>	

**Agriculture Special Grants**

<b>\$1.38 M</b>	<b>Family Farm Beef Initiative Network</b>
<b>\$765K</b>	<b>Center for Innovative Food Technology</b>
<b>\$500K</b>	<b>Agriculture Science (PAAR Programming)</b>
<b>\$450K</b>	<b>Aquaculture</b>
<b>\$241K</b>	<b>ABE Center</b>
<b>\$100K</b>	<b>Hydroponics Tomato Production</b>
<b>Total \$3,442,000</b>	<b>Total = \$17,259, 000</b>

## **108th Congress - Progress Toward the Funding of Ohio State Appropriations (FY'04)**

- House has passed 11/13 appropriations bills
- Senate has passed 4/13 appropriations bills
- Congress has returned to complete its work and intends to adjourn late in October

## **108th Congress - Requests for Funding of Ohio State Initiatives (FY'04)**

- DEFENSE
- ENVIRONMENT
- HEALTH
- OUTREACH AND ENGAGEMENT
- OTHER
- AGENCY INITIATIVES
- CONTINUING AGRICULTURE PROJECTS
- NEW OPPORTUNITIES

# **The Ohio State University**

## **Board of Trustees**

### **September 5, 2003**

### **FY 2004 Budget Summary**

**Barbara Snyder**  
**Interim Vice President and Provost**

**William J. Shkurti**  
**Senior Vice President for Business and Finance**

**9/03/03**

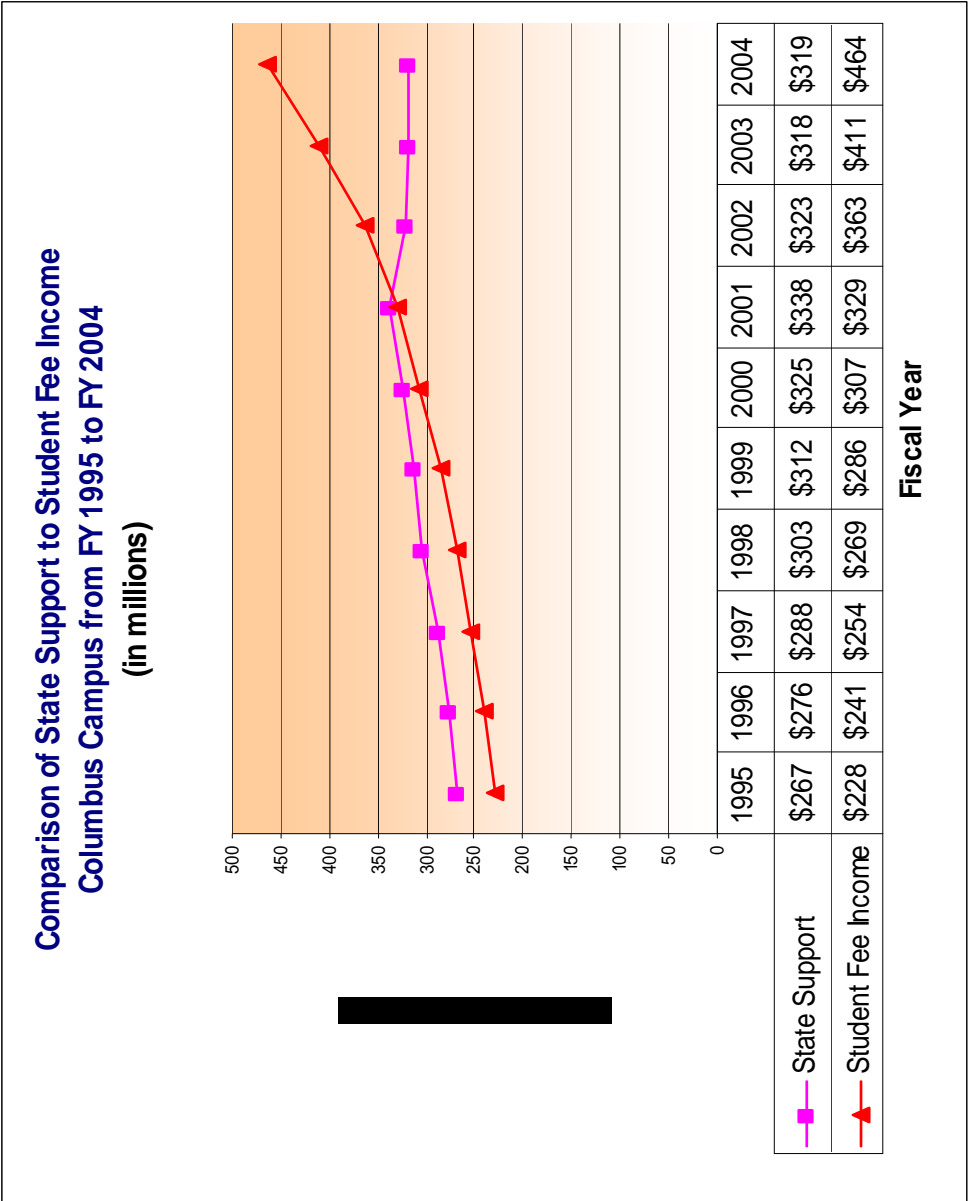
## **Budget Allocations Must Support The Goals Of The Academic Plan**

- Build a world-class faculty
- Develop academic programs that define Ohio State as the nation's leading public land grant university
- Enhance the quality of the teaching and learning environment
- Enhance and better serve the student body
- Create a diverse university community
- Help build Ohio's future

## **The Budget Environment For FY 2004**

- SSI plus Challenge Grants for the Columbus Campus are still below the level of three years ago by 5.6%
- For the third year in a row, revenue from student fees exceeds state support and the gap is widening
- Approximately 600 jobs eliminated throughout the University over last two years
- Increasing competitive pressures on salaries and student financial aid
- Adverse impact of stock market collapse on endowment funds
- Salary for most faculty and staff is still below market

State Support As A Share Of Revenues Has Declined Steadily



## Targeted Investment In Four Critical Academic Plan Initiatives In FY 04

- Build a world class faculty
- Develop Strong Academic Programs
- Enhance Teaching and Learning
- Enhance and Serve Student Body

### Build a World Class Faculty & Staff

- Recruitment and Retention
- Compensation & Benefits
- Eminent Scholars
- Strong Programs

### Develop Strong Academic Programs

- Budget Rebasng Transfers/Selective Investment
- Comprehensive Cancer Center Support
- Research Support
- Seed Funding

Enhance Teaching and Learning Environment

- Technology in the Classroom
- Support for Office of Instructional Technology
- Safety in Off-Campus Neighborhoods
- Campus Appearance

Enhance and Serve the Student Body

- Increased Financial Aid
- Restructured Morrill Scholarship Program
- Improved Enrollment Services
- Implemented Student Activity Fee
- Added Freshman Seminars
- Enhanced Mission of Regional Campuses

New Budget System Increases Incentives for Colleges to Generate Resources

College	Increases In General Funds	General Funds as a % of Total
Arts	-0.3%	86%
Humanities	+6.6%	89%
Law	+7.6%	72%
Engineering	+3.2%	29%
Medicine & PH	+12.4%	25%
FAES	+2.0%	14%

Financial Implications

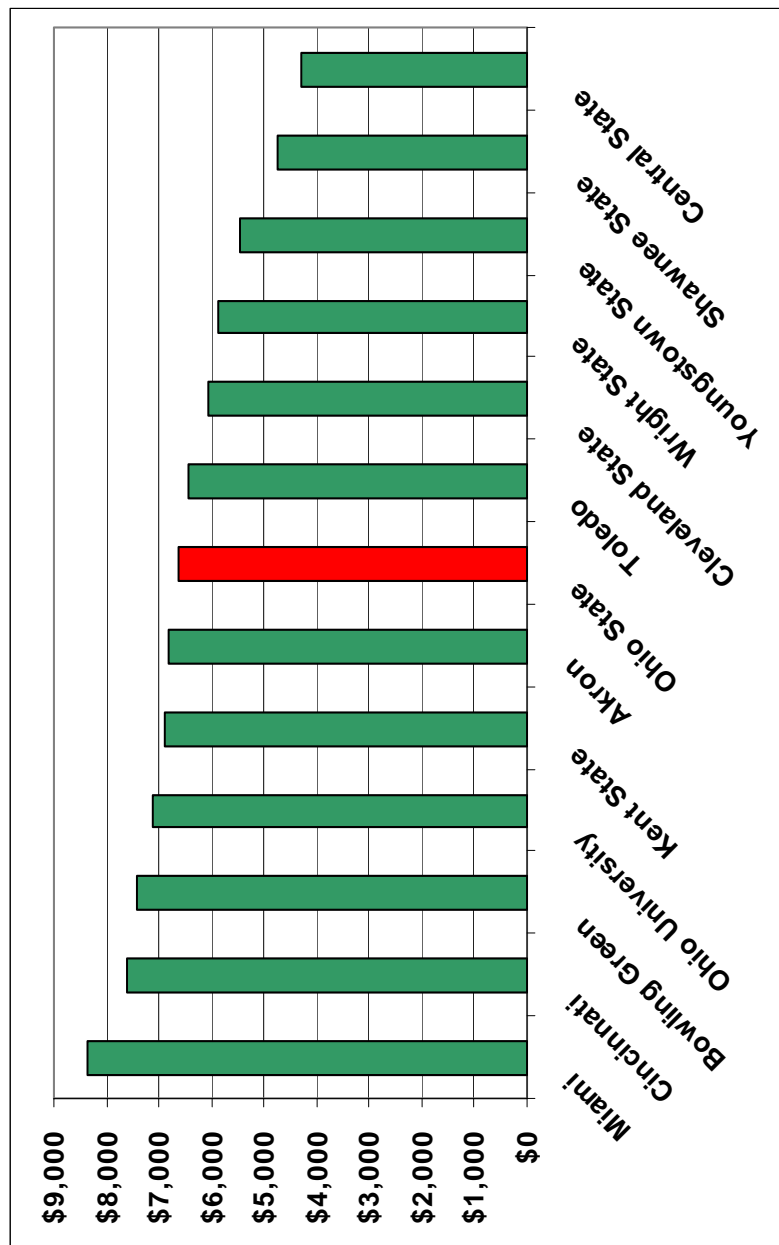
- Longer-term financial goals support long-term academic goals
- Despite an uncertain financial environment, financial goals will be met in FY 2004
- The University continues to become less dependent on state funds
- As funding sources diversify, new risk management tools must be developed
- Planning is already underway for FY 2005

Projected Revenues Increases for  
FY 2004 Include:

Student Fees & Charges	12.2%
Health System Revenues	7.6%
Research Overhead	6.7%
State Support	0.2%



**Resident Undergraduate Tuition and Fees at OSU Columbus**  
 Campus are Seventh Among Ohio's 13 Public Universities  
 (Academic Year 2003-2004 full-time students entering in Fall 2003)



## Strategic Implications

1. The University will continue to make progress on its strategic goals despite a difficult financial environment.
2. OSU's undergraduate tuition remains well below Ohio's other selective admission public universities.
3. By reallocating existing resources, compensation increases were on average 1% above market for FY 2004, but still below market for the last three years.
4. Most visible strain will be in academic support services (e.g., office support, facilities maintenance, equipment and supplies).
5. The University will continue to adopt more of the characteristics of a private university and the pace is accelerating.
6. The University will continue to examine everything it does to determine what it needs to do better and what it no longer needs to do at all.

**2003-2004 UNDERGRADUATE TUITION**

Synopsis: Instructional, general and nonresident fees for undergraduate students enrolled at all campuses for Fiscal Year 2003-04 are proposed effective Summer Quarter 2003.

WHEREAS the Board of Trustees of The Ohio State University supports the University's continued implementation of the Academic Plan and its initiatives to meet the needs of Ohio State students; and

WHEREAS in recognition of the concerns raised about the economic difficulty many Ohio families now face, the Board supports the continued phase in of additional fees for new entering students at the Columbus Campus; and

WHEREAS the tuition rates to be adopted are specifically based on the level of State support provided in the Governor's Executive Budget and could be modified if State support for higher education is either increased or decreased; and

WHEREAS consultations continue to take place with regard to professional college and graduate fees and those fees will be determined as a part of the regular 2003-04 budget process:

NOW THEREFORE

BE IT RESOLVED, That Instructional and General Fees for all undergraduates enrolled at the Columbus Campus be increased by 9.0% (an additional \$156 per quarter for full time students enrolled prior to Summer Quarter 2002 (tier 1) and \$170 per quarter for full time students enrolled after Spring Quarter 2002 and prior to Summer Quarter 2003 (tier 2)); and

BE IT FURTHER RESOLVED, That Instructional and General Fees for all new undergraduate full time students enrolled at the Columbus Campus beginning Summer Quarter 2003 (tier 3) be increased by an additional \$100 per quarter above the tier 2 fees beginning Summer Quarter 2003; and

BE IT FURTHER RESOLVED, That the Instructional and General Fees be increased 6.0% for all undergraduate students at the Agricultural Technical Institute (\$90 per quarter for a full time student) and the regional campuses (\$91 per quarter for a full time student), and that the Access Challenge offset at ATI and all regional campuses not change for Summer Quarter 2003 but is subject to change for the academic year starting Autumn Quarter 2003 pending the level of funding provided in the State budget; and

BE IT FURTHER RESOLVED, That the undergraduate nonresident surcharge at Columbus and regional campuses be increased by 6.0% (\$188 per quarter for a full time student) beginning Summer Quarter 2003.

**2003-2004 GRADUATE AND PROFESSIONAL TUITION, GENERAL AND STUDENT  
ACTIVITY FEES, AND OTHER SELECTED FEE INCREASES**

Synopsis: Instructional and Nonresident fees for Graduate and Professional students, the General and Student Activity Fees for all students at the Columbus Campus, and other selected fees for Fiscal Year 2003-2004 are proposed effective Autumn Quarter 2003.

WHEREAS the Board of Trustees of The Ohio State University approved the Undergraduate Instructional, General and Nonresident fees for all campuses to be effective Summer Quarter 2003 at their May 2, 2003 meeting; and

WHEREAS the professional colleges have now completed consultations with their students in regards to the level of their instructional fees above a 6% base to be used by those colleges to improve services to their students; and

WHEREAS consultations have taken place concerning the inclusion of a Student Activity Fee component within the General Fee at the Columbus Campus and those fees need to be approved for FY 2003-2004; and

WHEREAS other selected fees need to be approved for FY 2003-2004:

NOW THEREFORE

BE IT RESOLVED, That the Graduate Resident fees (Instructional and General) for the Columbus Campus be increased 9.0% (\$198 per quarter for a full time student) effective Autumn Quarter 2003 except those specific programs that have opted for a higher differential fee; and

BE IT FURTHER RESOLVED, That the Graduate Resident fees (Instructional and General) for the Regional Campuses be increased 9.0% (\$194 per quarter for a full time student) effective Autumn Quarter 2003; and

BE IT FURTHER RESOLVED, That Differential (Instructional) Fees for the Professional Colleges and specific graduate programs at the Columbus Campus be increased in accordance with the attached budget materials effective Autumn Quarter 2003, including one new Masters differential fee in the College of Medicine and Public Health for Occupational Therapy; and

BE IT FURTHER RESOLVED, That the Nonresident Surcharges for all graduate and professional students at all Campuses will increase 6.0% in accordance with the attached budget materials effective Autumn Quarter 2003; and

BE IT FURTHER RESOLVED, That effective Autumn Quarter 2003 at the Columbus Campus the General Fee for all continuing undergraduate students will be \$117 per quarter (same as Summer Quarter 2003); and for all new undergraduate students will be \$132 per quarter coupled with a corresponding \$15 per quarter decrease in the new undergraduate (Tier 3) instructional fee from Summer Quarter; and with the additional general fees for new students earmarked for student activities which will replace the current voluntary \$2 Student Government Contribution; and

**2003-2004 GRADUATE AND PROFESSIONAL TUITION, GENERAL AND STUDENT  
ACTIVITY FEES, AND OTHER SELECTED FEE INCREASES (contd)**

BE IT FURTHER RESOLVED, That effective Autumn Quarter 2003 at the Columbus Campus the General Fee for all continuing graduate and professional students will be \$117 per quarter (\$176 per semester, the same as Summer Quarter 2003); and there will be a separate Student Activity Fee of \$15 per quarter (\$22 per semester) for all new graduate and professional students with these additional funds earmarked for student activities and will replace the current voluntary \$2 Student Government Contribution; and

BE IT FURTHER RESOLVED, That other selected fees at the Columbus Campus will increase for FY 2004 in accordance with the attached budget material

**INTERIM BUDGET FOR FISCAL YEAR 2003-04**

Synopsis: Authorization to make expenditures in 2003-2004 is proposed.

WHEREAS the Ohio General Assembly has not yet passed the 2003-2005 Biennial Budget including the level of funding for Higher Education for Fiscal Year 2003-04; and

WHEREAS having approved student fee increases for Fiscal Year 2003-04, the University has not yet finalized its operating budget for Fiscal Year 2003-04; and

WHEREAS it is necessary to continue University expenditures, including payment of faculty and staff, prior to the time that the Current Funds Budget for 2003-04 is developed and adopted:

NOW THEREFORE

BE IT RESOLVED, That the University be authorized to make expenditures consistent with the level of resources approved for Fiscal Year 2002-03, pending the adoption of the Current Funds Budget for 2003-04 at the September Board meeting.

## 2003-04 UNDERGRADUATE TUITION

Synopsis: Instructional and general fees for undergraduate students enrolled at all campuses for Fiscal Year 2003-04 are proposed, effective Autumn Quarter 2003.

WHEREAS the Board of Trustees reaffirms the University's commitment to the Academic Plan and the continued priority to fund those initiatives to meet the needs of Ohio State students; and

WHEREAS Am. Sub. H.B. 95 as enacted reestablishes fee caps for undergraduate instructional and general fees above the 2003 academic year of 9% for the University's Columbus Campus and 6% for the regional campuses and the Agricultural Technical Institute and allows each State university to increase instructional and general fees an additional 3.9%, directing that the additional increase be used only for scholarships for low-income students or for improved technology services for students; and

WHEREAS Am. Sub. H.B. 95 also provides that the Board of Trustees cannot increase undergraduate instructional and general fees by more than 9% at the Columbus Campus or by more than 6% at the regional campuses and ATI in a single vote; and

WHEREAS the Board of Trustees increased undergraduate instructional and general fees at the May 2 meeting, effective Summer Quarter 2003, and now it is necessary for a second vote to increase all undergraduate instructional and general fees above the 2003 academic year by 12.9% at the Columbus Campus and by 9.9% at the regional campuses and ATI; and

WHEREAS the tuition rates to be adopted are specifically based on the level of State support provided in Am. Sub H.B. 95:

### NOW THEREFORE

BE IT RESOLVED, That effective Autumn Quarter 2003 instructional and general fees for all undergraduates enrolled at the Columbus Campus be increased to 12.9% above the 2003 academic year, for a total quarterly fee of \$1,997 for full-time students first enrolled prior to Summer Quarter 2002 (tier 1), \$2,180 for full-time students first enrolled after Spring Quarter 2002 and prior to Summer Quarter 2003 (tier 2), and \$2,208 for full-time students who first enrolled after Spring Quarter 2003 (tier 3); and

BE IT FURTHER RESOLVED, That effective Autumn Quarter 2003 instructional and general fees for all undergraduates enrolled at the regional campuses and ATI be increased 9.9% above the 2003 academic year before adjustments for Access Challenge offsets.

**APPROVAL OF FISCAL YEAR 2004 CURRENT FUNDS BUDGET**

Synopsis: Approval of the Current Funds Budget for Fiscal Year 2004 is proposed.

WHEREAS the State budget for Fiscal Years 2004 and 2005, including funding levels for State institutions of higher education, has been enacted; and

WHEREAS fee increases for the Columbus and Regional Campuses for FY 2004 have been approved at previous meetings of the Board of Trustees; and

WHEREAS other levels of resources and expenditures, including compensation, have been identified for the 2004 fiscal year; and

WHEREAS appropriate planning and consultation within the University has been accomplished, and the President now recommends approval of the Fiscal Year 2004 budget:

NOW THEREFORE

BE IT RESOLVED, That the University's Current Funds Budget for Fiscal Year 2004, as described in the accompanying text and tables, be approved, with authorization for the President to make expenditures within the projected income levels.



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# I. Setting Budget Priorities

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## Academic Plan

Two and a half years ago the Board of Trustees approved the Academic Plan. This document defines six strategies and related initiatives that are necessary for The Ohio State University to become a truly great teaching and research university. Pursuing such an ambitious goal is important because top-tier research universities are essential to today's knowledge economy to ensure economic growth and social progress for the people and the regions they serve. Ohio lacks such a top-tier institution, but The Ohio State University is poised to fill that role.

### The Six Core Strategies

- Build a World-Class Faculty.
- Develop Academic Programs that Define Ohio State as the Nation's Leading Public Land-Grant University.
- Enhance the Quality of the Teaching and Learning Environment.
- Enhance and Better Serve the Student Body.
- Create a Diverse University Community.
- Help Build Ohio's Future.

These strategies and their related initiatives serve as a roadmap for the University. However, almost since its inception, the Academic Plan had to be adapted to fiscal constraints brought about by reductions in state funding. In late Spring 2001, the Governor of Ohio reduced FY 2001 operating appropriations for higher education by 1%. The total cut to OSU was \$4.5 million. In FY 2002, the continued decline in the growth of state revenues resulted in the smallest increase in the University's state support in nine years. Progress on the plan was further constrained by a 32% increase in the University share of employee health benefit costs and the largest increase in energy costs in two decades. Before the close of FY 2002, the University's state support was reduced by an additional \$28 million; \$20 million from the Columbus campus state share of instruction, and over \$8 million from specific line-item appropriations and the regional campuses – reducing state share of instruction to 1999 levels.

Recognizing these resource limitations, the University identified four priorities determined to be most critical to the Academic Plan. These priorities were chosen based upon their broadly beneficial effects, the contributions they would make across the University and the ability to fund them – whether by reallocating existing resources, improving efficiency or raising external revenues.

- Retain and attract outstanding faculty and staff by bringing salaries up to the level of benchmark institutions;

- Strengthen significantly the quality of the academic experience for undergraduates;
- Establish Ohio State as a leader in biomedical research; and
- Create a state and national resource for understanding and resolving the issues of race and ethnicity that continue to divide the nation.

In order to continue to make progress on the Academic Plan priorities in FY 2003, the University reallocated previously committed funds. The University implemented reallocations for the colleges of up to 5% and for academic support units of up to 7% and reduced central university-wide initiatives by \$4 million.

In January, 2003, as part of a plan to balance the FY 2003 State of Ohio budget in the face of continued declining tax revenues, the Governor reduced most state-funded University line items by 2.5%, exempting state share of instruction. However, following the legislature's rejection of tax and revenue initiatives proposed by the Governor to close the remaining budget shortfall, the state share of instruction was also reduced by 2.5%. The combined cut in state funds reduced Ohio State's state support by another \$11.1 million, absorbed by cash balances in FY 2003 and to be allocated as permanent reductions in the college and academic support unit budgets in FY 2004.

## Budget Context

At the beginning of the FY 2004 budget planning process, the University presented a financial benchmark report to its Board of Trustees. The report compares OSU's financial trends with nine benchmark institutions in a number of key areas.

The nine benchmark institutions include, in order of their relative rank: Michigan, UCLA, Wisconsin-Madison, Illinois-Urbana/Champaign, Penn State, Texas-Austin, Washington, Minnesota-Twin Cities, and Arizona.

These institutions represent public higher-education institutions of the highest quality that most closely resemble Ohio State in organization and missions. They represent Ohio State's aspirational peers. Using the most-recent audited financial data available (FY 2001) the financial comparisons follow:

Current Funds Revenues per FTE student at Ohio State are significantly (16%) below the average of our benchmark institutions. However, this represents a 7-percentage point improvement over FY 1996.

State support per student FTE at Ohio State (\$9,543) in FY 2001 is also less (10.2%) than benchmark institutions. State appropriations are the slowest growing revenue source: the average annual growth rate from 1992 to 2001 is 0.7% in real terms (2001 constant dollars).

While instructional expenditures per student FTE at Ohio State are 15% higher than the benchmark average, expenditures on academic support outside the classroom are 41% below the benchmark average.

The comparison of fees and tuition based on FY 2003 data shows:

- The combination of differential tuition caps, decreased reliance on state support and increased university funded financial aid means different students will pay varying levels of tuition based on when they entered the University and on the type of program in which they are enrolled. Continuing resident undergraduate tuition and fees at Ohio State remained 4.8% below the benchmark average, while new student tuition and fees were 3.9% above the benchmark average in FY 2003.
- Ohio State is ranked higher than any other Ohio public university in academic reputation. Yet, Ohio State's resident continuing undergraduate tuition and fees are 8.2% below the state average and new student fees are only slightly above at 0.1%. This makes Ohio State an excellent value for students and taxpayers, and it also means Ohio State does not have the resources to match our competition in key academic and support areas.

The University plans to continue developing benchmarking of key academic, demographic and administrative issues to inform decisions on budget prioritization.

## **FY 2004 Priorities**

The FY 2004 budget process continues to be guided by the Academic Plan. The combination of a slowing state economy and increasing demand for other state services means state support to higher education will grow very little in FY 2004 and FY 2005. In FY 2004 the state is providing \$311 million in state support. State Share of Instruction and Research Challenge in FY 2004 are flat compared to FY 2003 levels after the reductions in state support to balance the FY 2003 state budget. Success Challenge is increasing \$1.7 million. However, the total state support is still lower than in FY 2000. Recognizing the difficult financial challenges facing Ohio State, the governor and legislature allowed Ohio State to raise tuition above the 9.9% tuition cap placed on most state institutions – up to a maximum of the 12.9% above the prior academic year.

Based on the Governor's and Senate's funding recommendations earlier this year, tuition was set in May anticipating a higher level of state support than eventually realized. Undergraduate student tuition increases established in May and effective Summer Quarter were 9% for continuing students and for new students 9% plus an additional \$100 per quarter. After the enactment of the state budget and with the realization that state support was below expectations, tuition was increased by an additional 3.9% above the prior academic year tuition effective Fall Quarter for continuing students. New students' tuition was also raised to the state permitted limit of 12.9% effective Fall Quarter or an additional \$50 per quarter above the Summer Quarter rate.

Additional student tuition dollars totaling \$9.9 million are earmarked for undergraduate student financial aid to assure continued access for the students in most need.

Thus, defining an “average” tuition increase becomes more complicated. In a sense, OSU is becoming more like a private institution. For example, undergraduate resident students who first enrolled last fall and attended school three quarters and returned this fall and who receive no financial aid will pay an increase of 15.5%.

Undergraduate resident students who enrolled in the fall and attended this summer and received no financial aid realized a 9% increase in summer tuition and will pay an additional 5.4% in tuition in the fall.

However, for the over 56% of the undergraduate resident students who receive some form of university financial aid the increase will be less and in some cases zero.

Increased revenue generated from the tuition increase as well as increased enrollments will allow the University to focus resources on the following parts of the Academic Plan:

- Increase University funded financial aid in order to offset the impact of tuition increases on low and lower middle income students;
- Expand programming of out-of-classroom student activities through a new Student Activity Fee, even though the fee is phased in over three years;
- Provide average pay increases for faculty and staff in the range of 3.5% (roughly equal to 1% above the market) and an estimated 8.5% increase in the University share of employee benefit costs;
- Enhance of undergraduate programs in the classroom, especially technology;
- Support of multidisciplinary research initiatives including the expansion of the comprehensive cancer program.

Other FY 2004 funding priorities include strategic investments in academic excellence, revenue enhancement programs, student recruitment and enhanced student technology. In addition, the FY 2004 budget continues to support initiatives designed to increase operating efficiencies including improvement of core academic support processes for capital project approval, design, construction and commissioning and purchasing goods and services; energy cost management; health care benefits management; university borrowing costs; and the university's return on its investment in major systems projects. The University will continue to diversify its revenue sources through increased private fund raising and sponsored research. Although the University will make progress on its strategic goals during the coming year, implementation of the Academic Plan will be accomplished at a slower pace than originally anticipated.

# Budget Restructuring

Over the past six years the University has moved toward a budget methodology that more directly aligns financial incentives for the colleges with the academic goals of the University. The new budget process aligns the allocation of new revenues with the Academic Plan and reconciles college base budgets with academic goals. Beginning in FY 2003, annual increases in revenues and expenditures were shared with the colleges based on the following principles of the new budget system:

- The allocation of resources should be mission driven.
- In a large, complex organization, decentralized decision-making works best.
- Any budget system, but particularly one that is decentralized, depends on the creation and maintenance of a timely and user-friendly information system.
- A significant portion of revenues should be explicitly linked to the generating units, specifically the colleges.
- A portion of all revenues should be dedicated to the support of university-wide goals.
- Costs should also be explicitly linked to the generating college or vice presidential area.
- Although the system should be mission driven, predictability and stability are also important characteristics.
- Appropriate oversight and accountability should be provided by the University's governance and administrative structure.
- A carefully thought out transition is essential to the ultimate success of any changes in the budget system.

While budget restructuring is not a panacea for the University's low level of financial support from the state, it will provide greater incentives for units to generate resources consistent with the goals of the Academic Plan.

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## II. FY 2004 Revenue Summary

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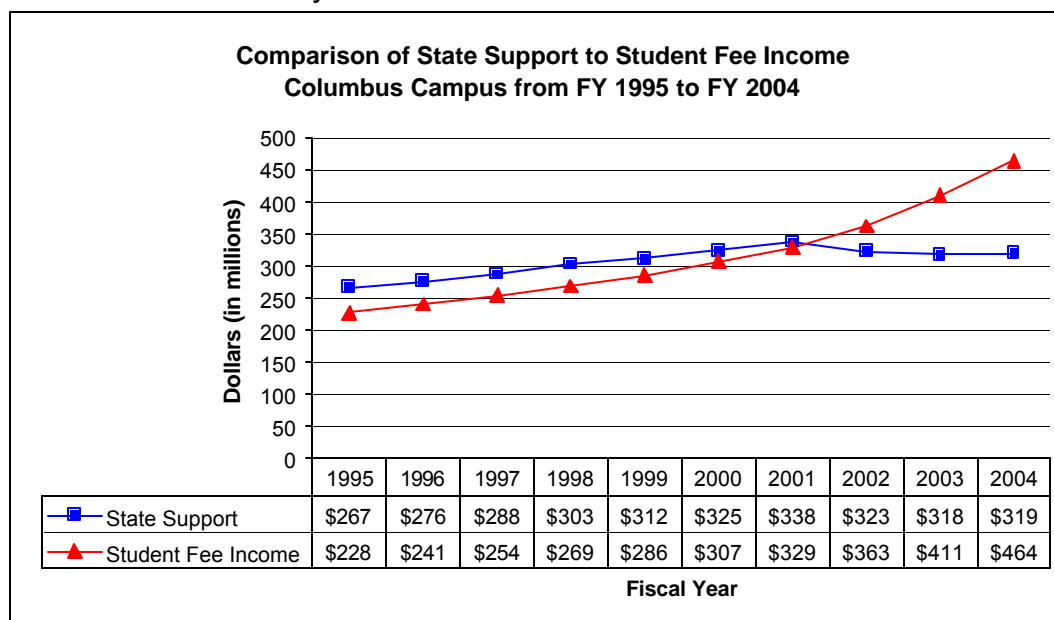
### Overview

Total University's current funds revenues (including General Funds, Earnings and Restricted revenue) are projected to increase by 6.2% from \$2.55 billion to \$2.72 billion between FY 2003 and FY 2004.

General Fund revenues for the Columbus Campus consist primarily of State Support (the state share of instruction, challenge funding and state line-item appropriations), student tuition and fees. General Fund revenues are projected to increase by \$63.3 million. Of that amount, \$17.5 million is earmarked for increased graduate and undergraduate financial aid. That equates to a 13.9% increase above FY 2003 in funds available for financial aid.

In the FY 2002 Current Funds Budget, for the first time revenue from student tuition exceeded state instructional support at the Columbus Campus. This phenomenon was not the result of a single lean budget year or biennium, but was an ongoing trend over the previous fifteen years, a time when both the U.S. and Ohio had undergone sustained economic growth. This trend continues with state support in FY 2004 falling below FY 2000 levels.

Ohio has gone from a position sixteen years ago, when Ohio State's share of instructional support was nearly double its student fee income, to a position today where the state provides a minority share of the instructional funding for Ohio State. And, the forecast for the foreseeable future is that state support will continue to fall further behind student fee income. This will put a greater financial burden on students and the University.



## State Support

Beginning in FY 2000, the definition of state support expanded to include the state share of instruction and five new line-item appropriations considered challenge funding. Challenge funding for each university or college is dependent upon that institution's performance in meeting the following statewide goals:

**Success Challenge** – rewards successful completion of baccalaureate programs by at-risk students (OIG-eligible undergraduates); and successful completion of a baccalaureate degree in a timely manner (4 years).

**Research Challenge** - rewards success in securing sponsored research from external sources.

**Priorities in Graduate Education** – supports improvements in graduate programs in computer science.

**Access Challenge** - reduces financial barriers to entry-level higher education (two-year schools only). The reduction in access challenge will make it more difficult for the regional campuses to keep their tuition low.

**Jobs Challenge** - rewards successful efforts at meeting community needs for non-credit job training (two-year schools only).

## Student Fees

### Student Enrollments

Undergraduate student retention rates and an increase in the average number of credit hours undergraduates are taking per person, along with a slightly larger freshmen class have increased undergraduate enrollment levels 1.1% above original FY 2003 projections.

Graduate enrollments began to stabilize in FY 2003 after several years of decline and are projected to remain stable and grow slightly. Professional student enrollments are projected to continue growing. Total undergraduate, graduate and professional Columbus campus enrollments for FY 2004 are projected to be 50,207, the highest projected enrollment levels since fall of 1994.

### Undergraduate Instructional Fees

**Columbus Campus** - The Governor, in recognition of Ohio State's historically low tuition, recommended, and the legislature concurred, an exception to the tuition caps established in the state budget. The exception allows Ohio State to increase tuition at 12.9% above the prior academic year's average tuition costs. The 12.9% tuition cap requires that 3.9% of the increase be earmarked for financial aid and/or student related technology. Ohio State's cap is 3% higher than other state-supported institutions' tuition cap. The three undergraduate tuition tiers effective Fall Quarter reflect a 12.9% increase for each tier:

- Tier 1 - \$5,991 for full-time continuing undergraduates who were first enrolled at Ohio State prior to Summer Quarter 2002.
- Tier 2 - \$6,540 for full-time continuing undergraduates who were first enrolled at Ohio State between Summer Quarter 2002 and Spring Quarter 2003.
- Tier 3 - \$6,624 for new full-time students who will first enroll at Ohio State for Summer Quarter 2003 or beyond.

These tuition increases will provide the University with the resources necessary to continue to make progress toward the goals of the Academic Plan, meet the needs of its students and continue to be an investment of high value to the citizens of Ohio. In 2004 new students at the OSU campus will pay less tuition than new students at six other Ohio public universities.

### **Ohio Public 4-Year Universities Undergraduate Resident Tuition and Fees Rates (new students)**

<b>Universities</b>	<b>Credit Hours (Range)</b>	<b>Academic Year 2003-04 Fees<sup>1</sup></b>
Miami <sup>2</sup>	12+	\$8,353
Cincinnati	12-19	\$7,623
Bowling Green	11-18	\$7,408
Ohio University	11-20	\$7,128
Kent State	11+	\$6,882
Akron	12-15	\$6,809
Ohio State-Main Campus <sup>3</sup>	12+	\$6,624
Toledo <sup>4</sup>	12	\$6,428
Cleveland State	12-16	\$6,072
Wright State	11-18	\$5,892
Youngstown State	12-16	\$5,448
Shawnee State	12-18	\$4,734
Central State	12-18	\$4,287
<b>Ohio Average</b>		<b>\$6,438</b>

Data Source: Institutional research campus representatives and web sites.

### **General Fees**

The general fee for all continuing undergraduate students and all graduate and professional students effective Autumn Quarter 2003 will be \$117 per quarter (\$176 per semester). Also effective Autumn Quarter 2003, the general fee for all new undergraduate students will increase an additional \$15 per quarter (with a corresponding decrease in their instructional fees) with the additional funds earmarked for student activities. There will be a separate student activity fee of \$15 per quarter (\$22 per semester) for new graduate and professional students with the additional funds earmarked for student activities. In three years, all undergraduate students will pay the higher general fee and all graduate and professional students will pay the student activity fee, generating approximately \$2 million for student learning outside the classroom activities. In FY 2004 the university will provide one-time funds equal to the amount that would be generated if the student activity fee were charged to all students.

<sup>1</sup> Fees for full-time resident students entering in Fall 2003.

<sup>2</sup> Miami's annualized fall tuition and fees rate is \$18,103. The tuition and fees rate shown above is net of the \$5,000 Ohio Resident and \$4,750 Ohio Leadership Scholarships.

<sup>3</sup> OSU's number excludes COTA fee.

<sup>4</sup> An additional \$97.00 is added for each credit hour over 12.



## Graduate and Professional Fees

Masters and PhD instructional and general fees will increase \$594 per year (9.0%), or \$198 per quarter. New Masters & PhD students will also pay the \$15 per quarter student activity fee. The non-resident surcharge for all graduate students will increase \$636 per year (6.0%), or \$212 per quarter.

Some graduate and professional students pay a higher or differential fee. Revenue generated from FY 2004 differential fee increases is earmarked to support the graduate and professional programs that generate the fee income.

Fifteen graduate and professional programs will have differential fees in FY 2004. The Masters in Occupational Therapy is a new program and a new differential fee in FY 2004. Eleven programs will have differential fee increases in excess of a base fee increase of 6.0% in FY 2004. The following table specifies the various graduate and professional programs' differential fee increases for full-time graduate and professional students effective in Autumn 2003. Programs with differential fees not increasing above 6% include the College of Business MBA, Masters of Accounting and MLHR and the College of Medicine's Master's of Health Administration programs.

### Fiscal Year 2004 Graduate and Professional Differential Fee Increases

College/Program	Resident Instructional Fee	
	% Chg	\$ Chg
College of Business EMBA	8.5%	\$2,457
Dentistry	12.0%	1,680
Law (semester)	10.0%	1,152
Medicine <sup>5</sup>	11.0%	1,875
Occupational Therapy <sup>6</sup>	10.0%	624
Physical Therapy	10.0%	684
Public Health MPH	11.0%	720
Public Health PEP	9.0%	600
Optometry	10.0%	1,092
Pharmacy	12.0%	990
Vet Medicine	11.0%	1,410

## Non-Resident Surcharges

Effective summer quarter 2003, the undergraduate non-resident surcharge at all campuses will increase 6% to \$3,141 per quarter for a full-time student. Effective autumn quarter 2003, the non-resident surcharge increased 6% for all graduate and professional programs at each campus.

<sup>5</sup> Represents the gross instructional fees for all ranks (0,1,2 & 3). The higher ranks will receive Medicine Tuition Credits to lower their effective rates to a 10% increase for rank 1, a 9.2% for rank 2 and an 8.4% increase for rank 3.

<sup>6</sup> New differential fee in FY 2004. Fee represents increase over the FY 2003 Graduate fees.

## Regional Campuses and ATI

The instructional and general fees for undergraduates attending the regional campuses and ATI, before applying Access Challenge credits, are increasing 9.9% (or about \$152 per quarter) above the 2003 academic year average.

## Technology Fees

Several colleges and programs have established Learning Technology Fees in the past in order to fund the additional technology needed to remain competitive within their fields. Only selected fees are proposed to increase in FY 2004.

### Fiscal Year 2004 Learning Technology Fees

College/Program	FY 2003		FY 2004	
	Undergrad	Grad	Undergrad	Grad
College of Arts	\$43.00	\$43.00	\$50.00	\$50.00
College of Business	85.00	120.00	97.00	138.00
College of Engineering	110.00	120.00	110.00	120.00
College of Nursing	43.00	43.00	50.00	50.00
Dept of CIS in MAPS	73.00	80.00	73.00	80.00
School of Public Pol & Mgt	NA	120.00	NA	120.00

## Residence Halls and Other Charges

The total cost for a resident undergraduate to attend Ohio State's Columbus Campus and live in University housing in FY 2004 will increase approximately \$1,279 (9.4%) for a three quarter academic year for a Tier 1 continuing student and \$1,438 (10.2%) for a three quarter academic year for a new student.

However, for the over 56% of the undergraduate resident students who receive some form of university financial aid the increase will be less and in some cases zero.

### Residence Halls and Other Charges

Fees and Charges	Tier 1 Students <sup>7</sup>		New Students	
	Percent Increase	Dollar Increase	Percent Increase	Dollar Increase
Tuition <sup>8</sup>	15.4%	\$801	16.2%	\$915
Room & Board <sup>9</sup>	3.7%	231	3.7%	231
Books & Supplies	3.0%	29	3.0%	29
Health Insurance <sup>10</sup>	23.7%	204	23.7%	204
Park & OSU Bus <sup>11</sup>	5.2%	9	5.2%	9
COTA Bus Pass	0.0%	0	0.0%	0
Football Tickets	4.8%	5	4.8%	5
Basketball Tickets	0.0%	0	0.0%	0
Total	9.4%	\$1,279	10.2%	\$1,438

<sup>7</sup> Students enrolled for the first time before summer 02.

<sup>8</sup> Tuition increase over prior year's Autumn quarter fee.

<sup>9</sup> Represents the average undergraduate room rates plus the 10-meal plan.

<sup>10</sup> Based on the single comprehensive rate.

<sup>11</sup> Rates are for the Columbus campus. West campus rates are increasing \$20 (47%).

### **Other Fee Increases and New Fees**

In order to enhance student services and programs, three fees were increased and a new orientation fee established. The perspective student application fees increased \$10, undergraduate acceptance fees increased \$30 and transcript fees increased \$2. A new undergraduate Orientation Fee of \$50 was established for students attending the summer orientation in preparation for a fall quarter admission and \$25 for students attending orientation in the fall, winter and spring.

## **Indirect Cost Recoveries**

Over the last two years, indirect cost recoveries for sponsored research were the fastest-growing component of University's General Funds budget. Indirect cost recoveries grow as a function of the level of external research grants awarded to the University. The negotiated indirect cost recovery reimbursement rate is a function of the University's actual expenditures (subject to certain established ceilings) for general administrative and library support services, and research facility and equipment costs. After several years of moderate growth, indirect cost recoveries grew by 13.3% in FY 2002 and by 13.1% in FY 2003. In FY 2004, the University is currently projecting the growth in indirect cost recoveries will level off, growing by 4.7% over FY 2003 recoveries.

## **Other Income**

Additional sources of General Funds income include: internal overhead paid by the University's auxiliary and earnings operations; interest income; unrestricted endowment and designated income; and miscellaneous administrative fees and charges income. As a category, other income is projected to increase 4.5% over FY 2003 levels.

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## III. FY 2004 Expenditure Summary

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### Resource Allocation

FY 2004 is the second year of Ohio State's new budget process. The new budget process aligns the allocation of resources with the Academic Plan and decentralizes much of the decision making about expenditure priorities to the college and vice-presidential level.

In FY 2004, Ohio State's current funds budgeted expenditures for all campuses are \$2.710 billion including \$937 million in general funds, \$1.171 billion in funds generated from earnings and auxiliary operations and \$601 million in estimated restricted expenditures.

At the Columbus Campus, support of a continuing level of services in FY 2004 requires \$877 million, including \$36 million to support FY 2003 levels of undergraduate student financial aid, \$537 million to support existing faculty and staff levels and \$56 million to support existing facilities operations costs. An additional \$9.9 million in new revenues has been earmarked for undergraduate financial aid to ensure that otherwise qualified needy students are not denied access as a result of tuition increases. The total FY 2004 financial aid budget including graduate fee authorizations is \$144 million or 15.9% of the University's general funds budget.

The new budget allocation methodology for subsidy, tuition and indirect cost recoveries has, as anticipated, resulted in differential net marginal revenue growth among the colleges. The colleges teaching a growing number of credit hours or expanding their research are realizing more growth in general funds revenues than the colleges with flat or declining credit hours or research initiatives. In addition, some professional colleges and programs charge differential fees. Differential tuition revenues are earmarked for the support of the programs in which the students paying the tuition are enrolled.

It is important to recognize that some colleges rely more heavily on general fund revenue than others and therefore are more directly affected by the new allocation methodologies.

**Sources of Funds by College - FY 2002**  
**(In Order of Percent of General Funds)**

<b>College</b>	<b>General Funds % of Total<sup>12</sup></b>	<b>Earnings % of Total<sup>13</sup></b>	<b>Restricted % of Total<sup>14</sup></b>
Social Work	92.6%	1.2%	6.2%
Humanities	88.7%	0.6%	10.7%
Arts	86.0%	2.1%	11.9%
Law	72.4%	1.8%	25.8%
Nursing	69.0%	0.3%	30.7%
Fisher College Of Business	67.8%	5.3%	26.9%
Human Ecology	67.7%	2.8%	29.5%
Math & Physical Sciences	66.5%	0.3%	33.3%
Social & Behavioral Sciences	62.1%	9.0%	28.9%
Biological Sciences	54.9%	1.8%	43.3%
Dentistry	52.1%	24.2%	23.7%
Education	42.0%	14.4%	43.5%
Veterinary Medicine	41.4%	29.7%	29.0%
Engineering	39.3%	9.9%	50.8%
Pharmacy	37.6%	20.4%	42.0%
Optometry	29.6%	17.0%	53.4%
Medicine	25.5%	1.5%	72.9%
Food, Agricultural & Env Sci <sup>15</sup>	13.8%	4.8%	81.4%
<b>Total</b>	<b>42.7%</b>	<b>7.2%</b>	<b>50.1%</b>

Due to Interfund transfers, sources may be slightly overstated in certain situations.

With the implementation of Budget Restructuring, \$24 million, or over 40% of the FY 2004 planned increases in continuing funds will be directly allocated to the college budgets, \$17.5 million or 30% will be applied to financial aid, \$8.3 million or 14% invested selectively in University-wide initiatives identified in the Academic Plan; and \$9.5 million, or 16%, will be allocated to the academic support unit budgets (as shown on the following tables).

<sup>12</sup> General Funds are unrestricted resources available for allocation in support of instruction; instructional instructional support and related general administrative and physical plant expenditures.

<sup>13</sup> Earnings Operations are unrestricted with resources generated from the sales and services of the earnings units. These resources are generally designated to the unit generating the revenue. Included are Veterinary Hospital, Airport, Reading Recovery, Engineering Experiment Station, Dental Clinic, Optometry Clinic, University Press, The Lantern, etc.

<sup>14</sup> Restricted Funds are funds whose use has been designated by an external agency or individual and limited to support of a specific purpose or Unit. Includes Federally Sponsored Research and state line item appropriations.

<sup>15</sup> Includes the College of Food, Agricultural & Environmental Sci. as well as ATI, OSUE and OARDC.

**Summary of Annual General Funds Budget Changes**  
**(Columbus Campus General Funds Budget - In Millions)<sup>16</sup>**

<b>College</b>	<b>May 31<sup>st</sup> PBA</b>	<b>Total Increase from FY03 to FY04</b>	<b>Percent Increase</b>
Arts	\$21.8	\$(0.1)	-0.3%
Biological Sciences	20.3	0.4	1.9%
Humanities	46.8	3.1	6.6%
Mathematical & Physical Sciences (MAPS)	56.0	1.6	2.9%
Social & Behavioral Sciences (SBS)	44.8	4.6	10.3%
Business	27.6	1.6	5.9%
Food, Agricultrl & Env Sci (FAES)	16.8	0.3	2.1%
Education	28.4	0.4	1.6%
Engineering	55.8	1.8	3.1%
Human Ecology	6.2	1.0	16.7%
Nursing	5.3	0.2	4.4%
Pharmacy	9.1	1.2	13.8%
Social Work	4.0	(0.2)	-3.9%
Dentistry <sup>17</sup>	17.3	0.7	3.9%
Law	10.1	0.8	7.8%
Medicine & Public Health <sup>18</sup>	46.7	6.3	13.4%
Optometry <sup>16</sup>	3.9	0.3	8.3%
Veterinary Medicine <sup>16</sup>	17.9	1.1	6.3%
<i>Anticipated Increase in Fee Revenues<sup>19</sup></i>		1.1	N/A
<b>ALL COLLEGES</b>	<b>\$438.6</b>	<b>\$26.4</b>	<b>6.0%</b>
<b>Academic Support Unit</b>			
Ag Admin (Continuing Education)	\$1.0	\$0.0	2.2%
Ag Admin. (OARDC)	0.7	(0.0)	-0.6%
Board of Trustees	0.6	0.0	2.4%
Business and Finance <sup>20</sup>	64.8	2.4	3.7%
Executive Dean of Arts and Science <sup>21</sup>	0.2	0.0	0.5%
Graduate School	6.7	0.2	2.8%
Health Sciences	6.6	0.2	3.6%
Legal Affairs	1.3	0.0	2.7%
University Libraries	23.4	0.5	2.0%
OAA <sup>22</sup>	41.9	1.6	3.9%
Office of the President <sup>23</sup>	3.0	0.2	5.0%
Office of Research <sup>23</sup>	9.9	1.8	18.0%
Office of Student Affairs	15.5	0.9	6.1%
Undergraduate Studies	28.2	1.2	4.3%
University Relations	4.2	0.1	2.4%
OSURF <sup>24</sup>	6.8	0.3	4.1%
<b>ALL SUPPORT UNITS</b>	<b>\$215.0</b>	<b>\$9.5<sup>25</sup></b>	<b>4.4%</b>

<sup>16</sup> Increase/Decrease of less than \$500,000 shows as 0.0/(0.0)

<sup>17</sup> Includes Med II SSI allocation based on preliminary data will be updated once end of year data are available.

<sup>18</sup> College of Medicine & Public Health includes rebasing resources of \$1.5M, \$500K for hospital rent expense to be distributed in FY 2004 in continuing funds and \$2.4M in one-time funds.

<sup>19</sup> Includes \$1.09M in anticipated fees to be distributed later in FY 2004.

<sup>20</sup> B&F increase includes reduction in FY 2004 physical plant costs as a result of a reduction in B&F assignable space.

<sup>21</sup> Formerly included in Undergraduate Studies.

<sup>22</sup> Includes the following: CIO, Human Resources, International Affairs, John Glenn Institute, ROTC, OAA Admin, Office of Minority Affairs, and the Wexner Center for the Arts.

<sup>23</sup> Will be divided into Office of the President and Office of the VP of Governmental Relations in FY 2004.

<sup>24</sup> OSURF 1% reallocation taken through the Office of Research.

<sup>25</sup> Includes \$4M in Service Improvements and Mandates not distributed through budget process.

Marginal increases in revenues are budgeted according to the priorities of the Academic Plan and include:

- Increased student financial aid
- Competitive faculty and staff salaries
- New student activity fee to support out-of-classroom student experiences
- Enhanced student services
- Research support
- Technological support

**Increased Student Financial Aid** – A significant portion of the FY 2004 growth in revenues, 30%, was allocated to student financial aid. Financial aid funds are increased at a rate that ensures students who are otherwise qualified will not be denied admission for financial reasons. As required by state law and mandated by the Ohio State Board of Trustees in the resolution approving the 12.9% increase in undergraduate tuition, the revenues generated by 3.9% of the undergraduate tuition increase is set aside for financial aid for low-income students and enhancements in student technology. \$3.7 million (50%) of the revenues generated by the 3.9% portion of the increase are set aside for undergraduate student financial aid specifically for low-income students. This raises the amount budgeted for undergraduate student financial aid in FY 2004 by \$9.9 million above FY 2003 levels. An additional \$7.6 million is budgeted to support the growth in graduate fee authorizations.

**Competitive faculty and staff salaries** – College average pay increases for faculty range between 2.5% and 4.4%. Some colleges reallocated current budget to reach the desired pay raise level; others were able to fund pay increases from revenue growth. The variance in the average pay increases is a reflection of the competitiveness of the faculty salaries with each department's benchmark institutions.

University staff pay increases in FY 2004 averaged 3.5% across the university. Staff pay increases are partially funded by a reallocation of existing budget. In some instances, this was accomplished by not filling vacant positions, in other cases by using other funding sources or by reducing operating costs.

**Student Activity Fee** – In FY 2004, all full-time first year undergraduate and graduate students will pay the new \$15 per quarter fee. Part-time students will pay a prorated portion of the fee. The fee will be phased in over three years and is anticipated to generate approximately \$2.2 million in FY 2006 when all students will pay the fee. The new fee will support undergraduate, graduate and professional out-of-the-classroom student experiences including student governments, clubs and student-sponsored activities.

Programs supported by the new student activity fee will be fully implemented by supplementing the FY 2004 and 2005 student activity fee revenue with one-time funds.

**Enhanced Student Services** – Permanent funding provided by increases in the student application, acceptance and transcript fees is earmarked for the undergraduate experience and the student escort service, as well as increased funding to support the new on-line student application process, commencement and the federally-mandated SEVIS program.

**Research Support** – Two million dollars is budgeted to increase research capacity at the Comprehensive Cancer Center. Permanent funding and additional one-time funds are set aside to assist the Office of Research in meeting federal research risk protection guidelines. In addition, \$2 million in one-time cash is identified including \$1.2 million to fund expansion of lab animal research facilities and another \$245,000 to assist the University in preparing for indirect cost negotiations with the federal government.

**Technology** – Fifty percent of the revenues generated by 3.9% of the undergraduate tuition increase or \$3.7 million is set aside for enhancements in student technology. In addition, continued support is provided for the on-going operations of the Office of Information Technology as well as one-time funds to develop comprehensive plans for the future of the University's learning technology, data warehouse, and mission-continuity initiatives.

Other significant budget allocations include:

**President's Reserve and Provost's Strategic Investment** – The President's Reserve provides discretionary funds to the President to invest in academic priorities. The Provost's Strategic Investment funds support long-term commitments such as selective investment in top academic units, rebasing college budgets, recruitment and retention of diverse faculty and top undergraduate students, and enhanced outreach and engagement programs.

**Success Challenge** – An increase of \$1.7M in state Success Challenge funds awarded to Ohio State based on timely graduation by Ohio resident students and graduation of at-risk students has not yet been allocated. Success funds will be distributed to strengthen initiatives promoting timely student graduation and degree completion by at-risk students.



**Other Service Improvements and Mandates and Insurance** – An additional \$.5 million is budgeted for a number of new initiatives including: improving the University's internal audit capacity, expanding University program reviews, enhancing campus beautification efforts, beginning a new work life initiative. An additional \$.5 million is budgeted to cover increased costs associated with the University's property and casualty insurance premiums and the rising costs associated with disposal of hazardous waste.

### Support of Facilities

In addition to funding salary and benefit increases, the colleges and support units funded from their net marginal resources an estimated \$4 million inflationary increase in budgeted facility costs including utilities, rent, repair and renovations. Included in the increased University facility costs is the second year of a three-year phased in allocation of facility costs associated with the academic use of University Hospital facilities.

<b>FY 2004 Columbus Campus New General Funds Allocations</b> <b>(in Millions)</b> <b>(totals may not add due to rounding)</b>		
<b>Category of Funding</b>	<b>Continuing Funds</b>	<b>Additional One-Time Funds</b>
Student Financial Aid and Graduate Fee Authorizations	\$17.5	
College Net Marginal Revenue Increases, including Differential Fees, Rebasing Transfers and Indirect Cost Recoveries <sup>26</sup>	24.0	2.4
Net Marginal Revenue to Fund Academic Support Units	5.3	
Provost's and President's Strategic Investment	2.5	N/A <sup>27</sup>
Student Activity Fee	0.5	2.9 <sup>28</sup>
Enhanced Student Services	1.0	
Enhanced Research Infrastructure	1.5	6.6 <sup>29</sup>
Technology	4.2	1.5
Success Challenge	1.7	
Other Service Improvements and Mandates, and Insurance	1.1	6.1 <sup>30</sup>
<b>Increase in FY 2004 General Funds Expenditures</b>	<b>\$59.3</b>	<b>\$19.5 <sup>31</sup></b>

<sup>26</sup> Includes \$1.09M in anticipated fees to be distributed later in FY 2004.

<sup>27</sup> A total of \$5.08M of one time funds has been allocated from this source, and shows up in other categories of one-time funds.

<sup>28</sup> Cash to fully fund student activities in FY 04 and 05 while phasing in the student activity fee over 3 years

<sup>29</sup> Includes \$2.33 m funded through the President's Strategic Reserve and \$1.5 m to complete mandated fume hood repairs, and \$.5M funded from President's Strategic Reserve for Technology Transfer Innovations.

<sup>30</sup> Includes \$.750M funded from President's Strategic Reserve for Freshman Seminars, Campus Beautification and a Regional Campus Incentive Program

<sup>31</sup> Does not include \$100,000 unrestricted gifts earmarked for development support

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## IV. Expenditure Trends And Multi-Year Commitments

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### Expenditure Trends

*(All figures in millions of \$)*

Following are a series of tables showing a five-year trend of budget amounts for selected priorities. They include only general fund sources unless otherwise indicated and many increases will be zero due to budget constraints.

**Compensation** - Over the last five years, the University has increased salaries and wages an average of 3.3% per year while benefits costs per individual have increased an average of 6.4% per year.

Compensation					
	FY 00	FY 01	FY 02	FY 03	FY 04
Salaries & Wages <sup>32</sup>	\$400.10	\$424.60	\$425.50	\$429.80	\$445.40
Benefits	77.50	77.90	85.40	94.60	102.60
<b>Total</b>	<b>\$477.60</b>	<b>\$502.50</b>	<b>\$510.90</b>	<b>\$524.40</b>	<b>\$548.00</b>

**Provost's Strategic Investments** - The Academic Plan and Diversity Action Plan continue to guide the University's investment into quality academic, research and outreach programs. By the end of FY 2004 over \$14 million in continuing funds will have been invested in academic programs since the inception of the Provost's Strategic Investment Fund. FY 2004 initiatives that will be funded include reconfiguration of the arts and sciences colleges, Selective Investment programs, world class faculty, the Kirwan Institute and quality enhancements in the student experience.

Provost's Strategic Investments					
	FY 00	FY 01	FY 02	FY 03	FY 04
Provost's Strategic Inv.	\$7.50	\$9.50	\$10.30	\$12.30	\$14.30
Library Support	10.40	11.10	11.70 <sup>33</sup>	11.10	11.00
Board of Trustees Chair	0.34	0.34	0.34	0.35	0.10
<b>Total</b>	<b>\$18.24</b>	<b>\$20.94</b>	<b>\$22.34</b>	<b>\$23.75</b>	<b>\$25.40</b>

**Research Support** - Research continues to be a high priority of the University. Resources must continually be invested in order for the University to maintain its competitive advantage and to increase its research output. In FY 2004, total research support continues to increase even though Research Challenge funding declined in FY 2003. The Academic Plan and the Research Commission report continue to focus university efforts to further advance its research efforts. As a

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<sup>32</sup> Includes Personnel, Market Adj. & Other Gen Funds. Year end budget except for current year.

<sup>33</sup> The increase in Library Support in FY 2002 is in one-time funds.

result of increased indirect cost recoveries over original FY 2003 budget projections, an additional \$1.48 million is being distributed in FY 2004 to the colleges generating the indirect costs on a proportional basis. An additional \$0.5M in continuing funding and \$2.0M in one time funding will be assessed to the colleges in FY 2004 for Risk Protection, OSU Research Foundation, University Lab Animal Resources and Indirect Cost negotiations.

<b>Research Support</b>					
	<b>FY 00</b>	<b>FY 01</b>	<b>FY 02</b>	<b>FY 03</b>	<b>FY 04</b>
OSURF Admin	\$6.80	\$6.40	\$6.50	\$6.80	\$7.10
Spec Resch./CDRS/DDRS	4.20	4.40	4.40	4.40 <sup>34</sup>	4.40
Research Fee Auth	17.70	18.60	19.50	20.50	22.10 <sup>35</sup>
Central Research Spt <sup>36</sup>				3.00	3.52
Distribution of Indirect Cost <sup>37</sup>				3.00	4.48
Research Challenge	7.90	9.30	8.10	8.10	7.80
Research Debt Service <sup>38</sup>	3.15	3.15	2.83	2.83	2.83
One-time Research Funds					1.97
<b>Total</b>	<b>\$39.75</b>	<b>\$41.85</b>	<b>\$41.33</b>	<b>\$48.63</b>	<b>\$54.10</b>

**Diversity** - The University must continue to invest in those areas that will have the greatest potential for a positive impact on its commitment to diversity. Additional funds to offset inflation are provided for Morrill scholarships. Funding is provided for a variety of new diversity initiatives listed below.

<b>Diversity</b>					
	<b>FY 00</b>	<b>FY 01</b>	<b>FY 02</b>	<b>FY 03</b>	<b>FY 04</b>
Morrill Scholarships <sup>39</sup>	\$8.70	\$9.70	\$11.00	\$12.80	\$15.30
Faculty Assistance	5.30	5.50	5.50	5.50	5.50
Retention & Other		0.70	0.70	0.70	0.70
Academic Prog Endow <sup>40</sup>		1.00			
Initiative Seed Funds <sup>9</sup>		0.30			
Multicultural Center			0.15	0.25	0.25
Women's Place			0.10	0.20	0.20
<b>Total</b>	<b>\$14.00</b>	<b>\$17.20</b>	<b>\$17.45</b>	<b>\$19.45</b>	<b>\$21.95</b>

<sup>34</sup> A portion was decentralized to colleges for CDRS/DDRS in FY 2003 and beyond.

<sup>35</sup> In FY2003-2004 resident fee authorizations were distributed to the colleges and support units.

<sup>36</sup> Half of the additional indirect cost recoveries collected in FY 2002 were used to support OSURF computing, Cancer research, Lab Animal Facilities and risk protection.

<sup>37</sup> Half of the additional indirect cost recoveries collected in FY 2002 were returned to the colleges permanently in FY 2003 and all of the additional IDCs recovered after that are being returned.

<sup>38</sup> Prior to FY 2004 Consisted of Research Rent and Research Debt Service. In FY 2004 Research Rent was distributed to the colleges and support units.

<sup>39</sup> The restructured minority scholarship program including Young Scholars financial aid.

<sup>40</sup> Funded from Exclusive Beverage Contract.

**Student Financial Aid** - In FY 2004, an estimated 25,000 undergraduate students (56%) will receive some form of financial aid, making this one of the most critical student services. The University will allocate additional funds 20% of new undergraduate fee revenue on the first 9% increase plus half of the additional fee revenue from the last 3.9%. The University will also set aside nearly all of the increase in graduate fee revenue to fund graduate fee authorizations.

<b>Student Financial Aid<sup>41</sup></b>					
	<b>FY 00</b>	<b>FY 01</b>	<b>FY 02</b>	<b>FY 03</b>	<b>FY 04</b>
Scholarships	\$6.70	\$6.30	\$7.60	\$9.70	\$12.70
Buckeye Scholarships	4.60	5.40	6.20	7.00	10.40
High Ability Scholars	7.00	7.90	8.80	10.20	11.50
Fee Authorizations	59.00	59.80	67.80	90.20	97.90
<b>Total</b>	<b>\$77.30</b>	<b>\$79.40</b>	<b>\$90.40</b>	<b>\$117.10</b>	<b>\$132.50</b>

**Physical Environment** - The Ohio State University faces a backlog of over \$100 million in identified maintenance needs for campus facilities. While this backlog cannot be eliminated overnight, the University continues to invest significant resources to maintain the campus physical environment.

<b>Physical Environment</b>					
	<b>FY 00</b>	<b>FY 01</b>	<b>FY 02</b>	<b>FY 03</b>	<b>FY 04</b>
Renovations (State)	\$7.70	\$7.70	\$7.70	\$9.70	\$9.70
University (Cont.) <sup>42</sup>	6.90	7.00	7.20	7.40	7.40
University (1-time)	1.00	0.50	1.30	0	3.25
<b>Total</b>	<b>\$15.60</b>	<b>\$15.20</b>	<b>\$16.20</b>	<b>\$17.10</b>	<b>\$20.30</b>

**Instructional Technology** - The University has embarked on a multi-year effort to increase support of learning technology. It is critical to the University's mission that faculty, staff and students have access to the most advanced technologies.

<b>Instructional Technology</b>					
	<b>FY 00</b>	<b>FY 01</b>	<b>FY 02</b>	<b>FY 03</b>	<b>FY 04</b>
Academic Computing (Cont)	\$9.18	\$8.72	\$10.37	\$10.30	\$10.20
Academic Computing (1-Time)	0.80	1.00	0	0	0
Student Technology					3.70 <sup>43</sup>
<b>College &amp; Program Computer Fees</b>					
Engineering/CIS	1.30	1.30	1.30	1.40	1.47
Business	0.80	0.80	0.80	0.95	1.13
Public Policy	0.03	0.03	0.03	0.03	0.03
Arts	0	0.20	0.20	0.25	0.29
Nursing	0	0.10	0.10	0.06	0.07
<b>Total</b>	<b>\$12.11</b>	<b>\$12.15</b>	<b>\$12.80</b>	<b>\$12.99</b>	<b>\$16.89</b>

<sup>41</sup> In addition to General Funds student financial aid includes \$3.5M of designated funds.

<sup>42</sup> Repair & Renovation and the Space Facilities Committee Reserve.

<sup>43</sup> Half of the additional income from the 3.9% increase in the undergraduate fee in FY 2004 will be earmarked for improving student technology.

# Multi-Year Commitments

*(All figures in millions of \$)*

The documentation of multi-year commitments has been part of the annual budget document every year since FY 1996. The purpose of this review is to share with the campus a sense of what these commitments are and how they change from year to year. In order to plan effectively, the University needs to be able to make commitments across fiscal years, but do so in a way that does not jeopardize future financial flexibility.

Multi-year commitments are divided into five categories to reflect the various sources of the funds to address these commitments. These categories are:

- Central Continuing General Funds
- Central One-time General Funds
- Central Commitments of Non-General Funds
- College and Support Unit Commitments of Funds
- Capital Funds

## Central Continuing General Funds

The following table lists the explicit multi-year commitments against continuing General Funds. The Provost's Strategic Investment Fund is a continuation of existing commitments. Campus Partners continuing General Fund commitments include projected increased rental costs for the move of Human Resources and other units into Gateway in FY 2004-2005.

Multi-Year Continuing General Funds					
Commitment	Initial FY	Total Thru FY03	FY04 New	FY 05 Est.	FY 06 Est.
Provost Strategic Invest	1995	\$12.3	\$2.0	\$2.0	\$2.0
Campus Partners	1995	0.2	0.0	0.5-1.0	0.0
Budget Rebasing	2002	3.1	5.5	2.0	2.0

In accordance with the Academic Plan and the principles of Budget Restructuring, it was determined that some colleges' base budgets were not in alignment with the goals of the Academic Plan. The table below documents the rebasing transfers to and from these colleges over a five-year period beginning in FY 2002. Through FY 2004, 56% of the budget rebasing targets have been funded.

<b>Budget Rebasing Transfers (in millions)</b>			
<b>College</b>	<b>Total Thru FY03</b>	<b>FY04</b>	<b>5 Year Goal</b>
<b>Transfers to Colleges</b>			
Humanities	\$1.00	\$0.75	\$2.50-\$4.40
Medicine <sup>44</sup>	1.00	4.40	6.70
Social & Behavioral Sciences	0.48	0.29	1.10
Biological Sciences	0.30	0.15	0.60
Optometry	0.25	0.12	0.50
Social Work	0.15	0.08	0.30
Human Ecology	0.18	0.02	0.20
<b>Subtotal</b>	<b>3.36</b>	<b>5.81</b>	<b>11.90-13.80</b>
<b>Transfers from Colleges</b>			
Nursing	(0.02)	(0.02)	(0.10-0.30)
Pharmacy	(0.02)	(0.02)	(0.10-0.60)
Dentistry	(0.25)	(0.24)	(1.20-2.10)
<b>Subtotal</b>	<b>(0.29)</b>	<b>(0.28)</b>	<b>(1.40-3.00)</b>
<b>Net Transfers</b>	<b>\$3.07</b>	<b>\$5.53</b>	<b>\$8.90-\$12.40</b>

The desired ceiling for multi-year commitments is that commitments in the succeeding three years not exceed 1% of the current year's budget. This guideline was established in the mid 1990's in order to preserve future financial flexibility. One percent of the FY 2004 Columbus Campus General Funds Budget is \$9.0 million. The total of new FY 2004 multi-year commitments plus future obligations in FY 2005 and FY 2006 ranges between \$12.8 and \$16.3 million primarily as a result of adding Budget Rebasing commitments. Therefore, caution needs to be exercised in making additional future multi-year commitments until the University's financial picture improves.

In addition to the specific multi-year commitments listed above, the University will continue to need to fund increases in a number of areas of the General Funds budget. These include:

- Competitive annual compensation increases for faculty, staff and student employees
- Continuing needs for increases in supplies
- Implementation of the G-QUE and I-QUE recommendations
- Support of research
- Deferred maintenance and other capital needs
- Academic and administrative computing needs
- Student Financial Aid
- Unfunded legal mandates
- Diversity and Outreach
- Other Academic Plan initiatives

<sup>44</sup> Rebasing resources equal \$2.0M in continuing funds and \$2.4M in one-time funds. A total of \$6.7M in continuing rebasing funds to be transferred through FY 2006.

### Central One-Time General Funds

The commitment for the Trustees' Chair is the fifth and final year of a five-year commitment. Responsibility for the operation and maintenance of the GL, Procurement and Human Resources Systems has been integrated into the University's existing OIT organization. One time funding is needed to support this operation until permanent funding is secured.

Central One-Time General Funds					
Commitment	Initial FY	FY 03 Inc	FY 04 Est.	FY 05 Est.	FY 06 Est.
Trustees' Chair	1999	\$0.34	\$0.10	0	0
OIT Support	2002	1.00	0.50	0	0

In October 2000, the University Board of Trustees approved the creation of the President's Strategic Investment Fund. The purpose of this fund is to allow the President to direct one-time resources into areas of strategic investment supportive of the Academic Plan.

Resources for the President's Strategic Investment Fund come from both general funds freed up by moving Development off the General Fund and from Research Challenge. These are one-time funds only. The Board resolution prohibits use of these resources for continuing commitments. Use of these funds is at the President's discretion and must be reported annually to the Board of Trustees. Commitments to date total \$30.27 million are distributed as identified in the following table.

President's Strategic Investment Fund						
	Base	FY04	FY05	FY06	FY07	Total
Micro MD	\$5.70					\$5.70
Medical Informatics	2.75	1.25				4.00
P-12 Initiative	.40	.20	.20			.80
World Class Faculty	.38					.38
Library Acquisitions	.71					.71
Graduate Recruitment	.36					.36
Math-Bioscience Inst.	.20					.20
COMPH Pharmacology			.93	.92		1.85
Library Renovation	.30					.30
Biomed Research Tower		2.40	1.80	.80		5.00
Outreach & Engagement	.34	.15				.49
Technology Transfer	.80	.80	.80			2.40
Undergrad Experience	.60	.60	.60	.60	.60	3.00
Comp Cance Ctr Base Spt		1.00				1.00
Interdisciplinary Research		.50				.50
Humanities & Arts Seed Grants		.50				.50
Freshman Seminars		.25				.25
Campus Beautification		.25				.25
Tech Transfer Innovations		.50				.50
Regional Camps Incentive Prog		.25				.25
Research Support		.33				.33
Student Activity Fee Phase-in		1.00	.50			1.50
<b>Total</b>	<b>\$12.54</b>	<b>\$9.98</b>	<b>\$4.83</b>	<b>\$2.32</b>	<b>\$0.60</b>	<b>\$30.27</b>

### Central Commitments of Non-General Funds

As the University moves to diversify its funding sources, the commitment of non-General Fund sources is becoming much more significant. The following table lists ongoing commitments of non-General Fund sources that are primarily endowment and unrestricted gift money.

Central Commitments Non-General Funds			
Project	Total	Source	Time Frame
Campus Partners	\$5.8	Unrestricted Gift	95-05
Science & Tech Campus Oper.	2.7	Office of Research	03-12
Science & Tech Campus Loan	21.0	Endowment	97-22

### College and Support Unit Commitments of Funds

As the University moves to a more decentralized structure, colleges and other administrative units are taking on greater financial responsibility. The section below lists specific initiatives where colleges and large administrative units have been given or have given loans to accomplish certain objectives. See page 26 for a complete listing of projects.

New commitments include:

- 1) Larkins Replacement – The University, with the support of the students, will replace its over-crowded and out-dated student recreation center with a completely new state-of-the-art facility to be supported with a new student recreation fee.
- 2) Graduate & Professional Housing – The first new dorms since the 1970s are being built on south campus primarily to house graduate and professional students.
- 3) Regional Housing – Residence halls are being built at both the Lima and Marion campuses to support a growing resident student body.
- 4) Comprehensive Cancer Center – The continued growth of the Comprehensive Cancer Center is directly related to the goal of the Academic Plan. This project will expand its facilities to address both research and clinical needs.
- 5) 650 Ackerman Rd. – The University purchased the ABB complex on Ackerman Rd. and will lease it out to various university operations.
- 6) Weinland Park Child Care – The College of Human Ecology will establish an off-campus early childhood development center to provide state-of-the-art training for students and conduct innovative research in the field.

In addition to these specific multi-year commitments by the colleges and support units, we want to highlight some of the financial issues facing The Ohio State University Medical Center and the Department of Athletics.

The Ohio State University Medical Center is the most comprehensive in the country. It currently is facing two financial challenges: 1) the competitive challenges presented by managed care, and 2) the competitive challenge for research dollars. Recent hires in the area of medical research will provide an enormous competitive advantage in cancer, heart and lung, and biomedical research. However, these new hires will also require a corresponding significant investment of financial support.



Initial support has been made possible by the transfer of funds from past successful operations of University Hospitals, the James Cancer Hospital and Solove Research Institute, and now the President's Strategic Reserve among other sources.

The pressures of responding to managed-care competition are likely to continue. The Ohio State University Medical Center accounts for nearly 33% of the entire OSU budget from all sources. While The Ohio State University Medical Center is currently in a positive financial position, the ability to remain strong academically and financially depends on the ability of the University and the Medical Center to balance internal demands for more resources in support of teaching and research against external market forces centered primarily on cost.

The Ohio State University's Athletics' program is the largest in the country and one of only a handful that contributes resources back to the University. The program has aggressively embarked on an effort to correct a number of chronic problems that have needed attention for quite some time, including inadequate facilities, equity issues in coaches' pay and Title IX compliance. Facility investments alone resulted in a quarter of a billion dollar commitment over the last few years. While the program is still financially sound, future revenue growth is not guaranteed. It is essential that new commitments be carefully balanced against future resources so that the program remains financially viable.

### **Capital**

Capital commitments were addressed as part of the preparation for the Biennial Capital Request, and thus will not be dealt with in great detail here. However, we would like to emphasize the following:

- Most of the University's capital needs for replacement and renewal of existing academic building space must be met through the State capital funding process.
- Even if state support remains constant or grows slightly, the University will need to make a commitment in additional continuing funds to address deferred maintenance problems (\$250,000-\$500,000 per year for the next 3-5 years).
- Capital needs in Athletics and the Medical Center should be balanced against operating needs and expected income sources, as discussed previously.
- Although adequate funds are available to meet the needs described above, existing funding sources are not sufficient to embark on additional major university financed construction projects without additional funding sources.
- Although issuing tax-exempt bonds has been a popular funding source over the years, the University has only a finite capacity to issue bonds before negatively impacting its strong credit rating. Maintaining a high rating will keep us from paying higher interest rates. Thus, we need to carefully manage the amount of bonds issued in the future.

## Conclusions

Financial projections show that if present trends continue, the University will have sufficient funds to cover its commitments, but will not have discretionary funds available to embark on other significant new initiatives. Thus, if any new initiatives are desired or existing initiatives expanded, the University needs to reduce other commitments or secure additional funds.

College and Other Unit Commitments (Over \$1.0 Million)				
Project	College/Unit	Total	Source	Time Frame
Mrd. Ohio Health, Inc.	Health System	\$5.6	Patient Revenues	98-06
MRI	Medicine	1.5	IDC Reciveries	99-05
Ohio Stadium	Athletics	195.0	Tickets, Club Seats, Boxes	99-29
Schottenstein Center	Student Affrs.	55.2	Ticket Sales	99-29
Heart Hospital	Health System	82.6	Patient Revenues	01-21
Micro MD Bio Mems	Central	4.5	Strategic Invest.	01-02
	Research	1.5	Various	01-21
	Medicine	1.5	Various	01-21
	Engineering	1.5	Various	01-21
Executive Residence	Business	31.0	Rental Revenues	02-33
Bio-Med Resch Tower	Medicine	9.2	IDC Recoveries	05-25
Other Athletic	Athletics	52.0	Capital Campaign	03-?
Wiseman-Lab Animal	ULAR	9.0	IDC Recoveries	TBD
Larkins	Student Affrs.	84.0	Fees	TBD
	Athletics	17.0	Fundraising	TBD
Grad & Prof Housing	Student Affrs.	35.0	Rental Revenues	02-33
Regional Housing				
Lima	Student Affrs.	16.6	Rental Revenues	TBD
Marion	Student Affrs.	16.6	Rental Revenues	TBD
Mansfield	Student Affrs.	TBD	Rental Revenues	TBD
Newark	Student Affrs.	TBD	Rental Revenues	TBD
Comp Cancer Ctr.	TBD	TBD	TBD	TBD
650 Ackerman	REPM	16.3	Rental Revenues	03-23
Weinland Park Child Care	Human Ecology	TBD	Grants, Fundraising	TBD

## SELECTED DEFINITIONS

**Current Funds** are those funds that are earned and expended in the current fiscal year. They include the General Fund, Earnings Operations and Restricted Funds. Excluded entirely from this report are Non-Current Funds such as Plant Funds, Loan Funds and Endowment Principal.

**General Funds** are unrestricted resources available for allocation in support of core instruction; instructional support and related general administrative and physical plant expenditures.

**Earnings Operations** are also unrestricted with resources generated from the sales and services of the earnings units. While not a requirement, these resources are generally designated to the unit generating the revenue. Included are the Hospitals & CHRI, Auxiliaries and departmental earnings units.

**Auxiliaries** are specifically identified by the State as the following earnings operations: Residence & Dining Halls, Intercollegiate Athletics, Student Unions, Bookstores, Traffic & Parking, Fawcett Center, University Airport and Property Management.

**Unrestricted** refers to the sum of general funds plus earnings operations.

**Restricted Funds** are funds whose use has been designated by an external agency or individual and limited to support a specific purpose and/or unit. Included is Sponsored Programs.

**Instruction and Departmental Research** includes all direct and applicable allocated expenditures for all activities that are part of the University's instructional program. It includes expenditures for departmental research and public service that are not separately budgeted.

**Academic Support** includes all funds expended for activities carried out primarily to provide support services that are an integral part of the operations of one of the three primary missions - instruction, research and public service. Included in this category are Academic Affairs Administration, Libraries, Museums & Galleries and the Deans' offices,

**Student Services** includes funds expended for those activities whose primary purpose is to contribute to students' emotional and physical well being, as well as their cultural and social development outside the context of the formal instructional program. Included in this category are Admissions and Registration, Counseling, Student Health Service, Recreation & Intramural Sports, Student Financial Aid and the Student unions.

***Institutional Support*** contains expenditures for operations that provide support services to the total University. Included in this category are Executive Management, Business and Finance, Human Resources, University Relations and Development.

***Plant, Operations and Maintenance*** includes all expenditures of current funds for the operation and maintenance of the physical plant, net of amounts charged to auxiliary operations and hospitals. Included in this category are utilities, repair and renovations, custodial services, grounds maintenance, space rental and property insurance.

***Separately Budgeted Research*** includes all expenditures for activities specifically organized to produce research outcomes, whether commissioned by an external agency to the University (restricted) or the University (unrestricted) and includes matching funds applicable to the conditions set forth by the grant or contract. It does not include training grants or equipment grants.

***Public Service*** includes all funds expended for activities that are established primarily to provide non-credit designated course offerings and services beneficial to individuals and groups external to the University. Included in this category are Continuing Education and Cooperative Extension Services.

***Scholarships and Fellowships*** include expenditures in the form of outright grants and trainee stipends to individuals enrolled in formal coursework, either for credit or non-credit.

***One-Time Funds*** are cash payments made to colleges and departments on a discretionary basis. The source of the funds is the cash balance carried forward from the previous year.

# SUMMARY OF BUDGETED RESOURCES AND EXPENDITURES TOTAL UNIVERSITY (IN THOUSANDS)

2002-03 Revised Budget	2003-04 Budget	Dollar Change	Percent Change
------------------------------	-------------------	------------------	-------------------

## **RESOURCES**

### **Government Support**

State

Federal

Local

Subtotal Government Support

### **Student Fees**

Instructional, General & Tuition

Other

Subtotal Student Fees

### **Other Resources**

Health System

Auxiliaries

Departmental Sales & Services

Private Grants & Contracts

Other

Subtotal Other Resources

Total Resources

469,978	470,723	745	0.2%
236,577	258,585	22,008	9.3%
24,585	27,206	2,621	10.7%
731,140	756,514	25,374	3.5%
436,836	494,423	57,587	13.2%
22,487	20,965	(1,522)	-6.8%
459,323	515,388	56,065	12.2%
828,517	891,381	62,864	7.6%
188,217	204,112	15,895	8.4%
80,907	80,615	(292)	-0.4%
224,739	233,488	8,749	3.9%
38,607	39,518	911	2.4%
1,360,987	1,449,114	88,127	6.5%
2,551,450	2,721,016	169,566	6.6%

## **EXPENDITURES**

Instructional & General

Separately Budgeted Research

Public Service

Scholarships & Fellowships

Auxiliaries

Health System

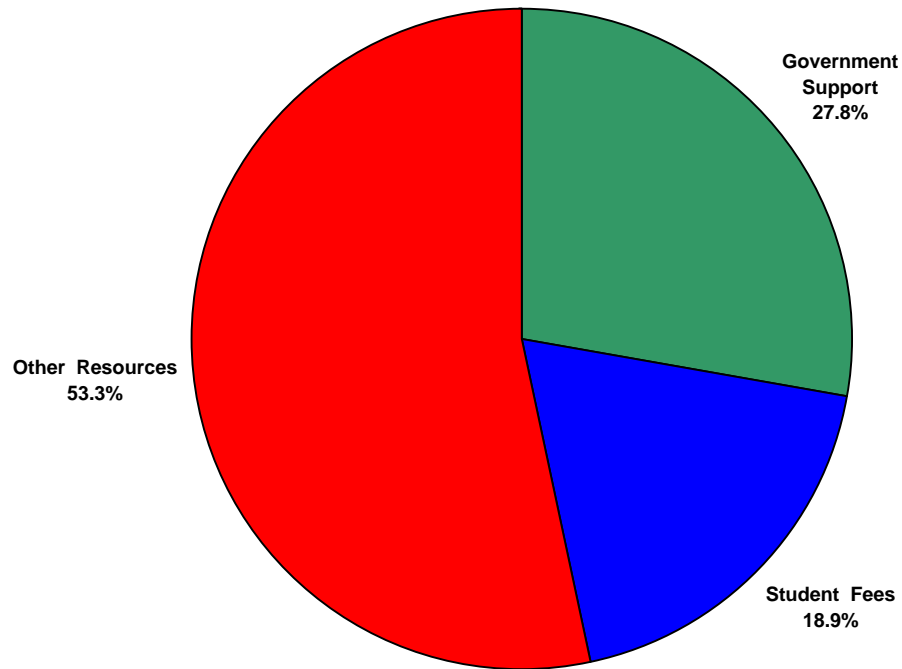
Total Expenditures

989,846	1,036,406	46,560	4.7%
313,340	324,596	11,256	3.6%
110,652	119,260	8,608	7.8%
110,149	128,682	18,533	16.8%
196,539	212,646	16,107	8.2%
831,626	888,346	56,720	6.8%
2,552,152	2,709,936	157,784	6.2%

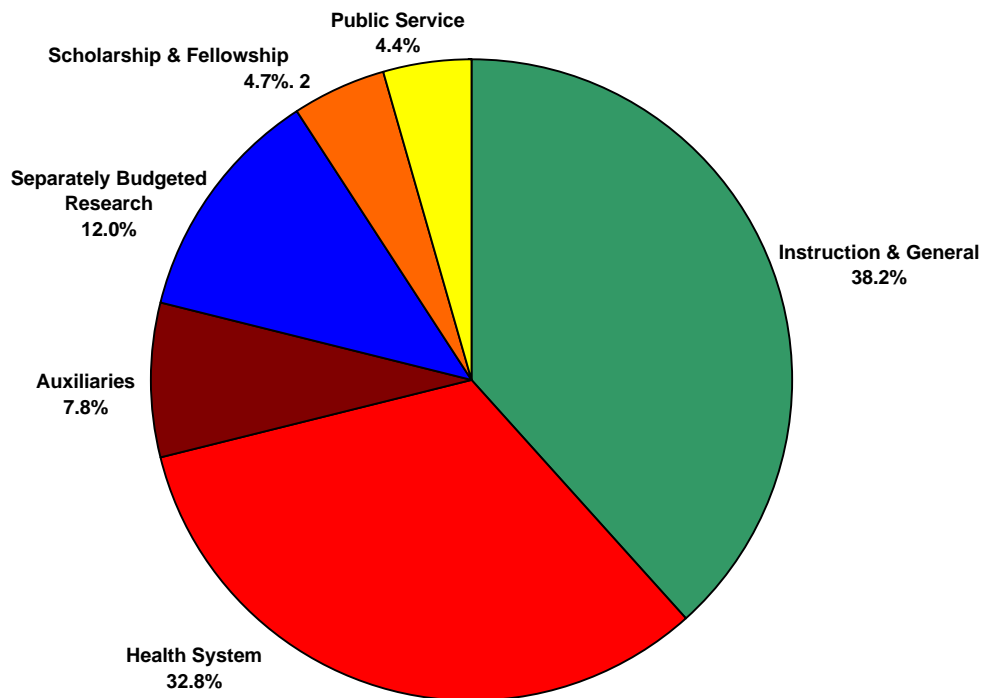
(1) Health Systems budget includes University Hospital, Hospital East, CHRI, Harding and Networks.  
The FY 2002 budget was revised down \$52.6 million to eliminate inter hospital transfers.

# SUMMARY OF BUDGETED RESOURCES AND EXPENDITURES TOTAL UNIVERSITY - FY 2004

## RESOURCES BY SOURCE



## EXPENDITURES BY FUNCTION



# SUMMARY OF ANNUAL STUDENT FEES COLUMBUS CAMPUS

INSTRUCTIONAL & GENERAL FEES					NONRESIDENT SURCHARGE			
	FY 2003 Fees	FY 2004 Fees	Dollar Change	Percent Change	FY 2003 Fees	FY 2004 Fees	Dollar Change	Percent Change
<b>Undergraduate:</b>								
(1) Tier 1	5,190	5,991	801	15.4%	9,423	9,987	564	6.0%
Tier 2	5,664	6,540	876	15.5%	9,423	9,987	564	6.0%
Tier 3	5,664	6,624	960	16.9%	9,423	9,987	564	6.0%
<b>Graduate Programs:</b>								
Graduate	6,612	7,206	594	9.0%	10,575	11,211	636	6.0%
MLHR	7,176	7,575	399	5.6%	10,575	11,211	636	6.0%
MBA	12,891	13,635	744	5.8%	10,575	11,211	636	6.0%
EMBA	29,331	31,749	2,418	8.2%	10,575	11,211	636	6.0%
Master of Accounting	16,590	17,556	966	5.8%	10,575	11,211	636	6.0%
Health Administration	8,100	8,556	456	5.6%	10,575	11,211	636	6.0%
Public Health MPH	6,909	7,620	711	10.3%	10,575	11,211	636	6.0%
Public Health PEP	7,029	7,620	591	8.4%	10,575	11,211	636	6.0%
MPT	7,191	7,866	675	9.4%	10,575	11,211	636	6.0%
MOT	(2) NA	7,227	NA	NA	NA	11,211	NA	NA
<b>Professional:</b>								
Pharmacy	8,610	9,591	981	11.4%	11,250	11,925	675	6.0%
Dentistry	14,349	16,020	1,671	11.6%	21,720	23,022	1,302	6.0%
Optometry	11,286	12,369	1,083	9.6%	21,720	23,022	1,302	6.0%
Veterinary Medicine	13,188	14,589	1,401	10.6%	22,449	23,796	1,347	6.0%
Law	11,880	13,024	1,144	9.6%	11,420	12,106	686	6.0%
Medicine	(3) 17,412	19,278	1,866	10.7%	23,169	24,558	1,389	6.0%

**Notes:**

Full time fees for Undergraduate Students are for 12+ credit hours.

Full time fees for Graduate and Professional Students are for 10+ credit hours.

- (1) Tier 1 are students who were first enrolled prior to Summer 2002.  
Tier 2 are students who were first enrolled between Summer 2002 and Spring 2003.  
Tier 3 are students who were first enrolled after Spring 2003.
- (2) This is a new program that will have differential fees greater than the graduate fee for the first time.
- (3) Medicine Level 1 fees. Medicine will offer tuition credits to students in levels 2, 3 & 4 bringing their effective instructional fee lower than Level 1 by 0.9% for Level 2, 4.5% for Level 3 and 5.2% for Level 4.

# DETAIL OF BUDGETED RESOURCES AND EXPENDITURES

## TOTAL UNIVERSITY BY FUND

(IN THOUSANDS)

2002-03 Revised Budget	2003-2004				Percent Change
	General	Earnings	Restricted	Total Budget	

### RESOURCES

#### Government Support

##### State Support

##### State Share of Instruction

##### Appropriations

##### Ohio Grants & Contracts

##### Subtotal State Support

##### Federal Grants & Contracts

##### Local Grants & Contracts

##### Subtotal Government

#### Student Fees

##### Instructional, General and Tuition

##### Other

##### Subtotal Student Fees

#### Other Resources

##### Health System

##### Auxiliary Sales & Services

##### Departmental Sales & Services

##### Private Grants & Contracts

##### Endowment Income

##### Investment Income

##### Other

##### Subtotal Other

#### Total Resources

321,016	321,016	0	0	321,016	0.0%
111,581	22,726	0	89,384	112,110	0.5%
37,381	1,390	0	36,207	37,597	0.6%
469,978	345,132	0	125,591	470,723	0.2%
236,577	44,625	0	213,960	258,585	9.3%
24,585	4,185	0	23,021	27,206	10.7%
731,140	393,942	0	362,572	756,514	3.5%
436,836	494,403	0	20	494,423	13.2%
22,487	15,792	5,173	0	20,965	-6.8%
459,323	510,195	5,173	20	515,388	12.2%
(1) 828,517	0	891,381	0	891,381	7.6%
188,217	0	204,305	0	204,305	8.5%
80,907	0	80,615	0	80,615	-0.4%
224,739	10,758	0	222,730	233,488	3.9%
(2) 20,967	5,250	0	15,800	21,050	0.4%
11,707	11,247	0	0	11,247	-3.9%
5,933	6,656	565	0	7,221	21.7%
1,360,987	33,911	1,176,866	238,530	1,449,307	6.5%
2,551,450	938,048	1,182,039	601,122	2,721,209	6.7%

### EXPENDITURES

#### Instruction & General

##### Instruction & Departmental Research

##### Academic Support

##### Student Services

##### Institutional Support

##### Plant, Operations & Maintenance

##### Subtotal Instruction & General

#### Separately Budgeted Research

#### Public Service

#### Scholarships & Fellowships

#### Auxiliaries

#### Health System

#### Total Expenditures

612,205	512,297	49,337	98,375	660,009	7.8%
119,781	102,741	2,416	9,128	114,285	-4.6%
64,514	68,371	5,648	1,630	75,649	17.3%
112,532	63,464	7,335	30,028	100,827	-10.4%
80,814	77,727	154	7,755	85,636	6.0%
989,846	824,600	64,890	146,916	1,036,406	4.7%
313,340	32,832	8,893	282,871	324,596	3.6%
110,652	10,784	12,626	95,850	119,260	7.8%
110,149	67,214	68	61,400	128,682	16.8%
196,539	1,929	202,667	8,050	212,646	8.2%
(1) 831,626	0	882,311	6,035	888,346	6.8%
2,552,152	937,359	1,171,455	601,122	2,709,936	6.2%

(1) Health Systems budget includes University Hospital, Hospital East, CHRI, Harding and Networks. The FY 2002 budget was revised down \$52.6 million to eliminate inter hospital transfers.

(2) Restricted Endowment reflects reclassification of some revenue to Private Grants & Contracts.

(3) General funds expenditures reflects a new budgeting methodology whereby the budget more closely tracks expenditures in accordance with the financial statements.



# DETAIL OF BUDGETED RESOURCES AND EXPENDITURES

## COLUMBUS CAMPUS BY FUND

(IN THOUSANDS)

2002-03 Revised Budget	2003-2004			Percent Change
	General	Earnings Restricted	Total Budget	

### RESOURCES

#### Government Support

##### State Support

State Share of Instruction

Appropriations

Ohio Grants & Contracts

Subtotal State Support

Federal Grants & Contracts

Local Grants & Contracts

Subtotal Government

#### Student Fees

Instructional, General and Tuition

Other

Subtotal Student Fees

#### Other Resources

Health System

Auxiliary Sales & Services

Departmental Sales & Services

Private Grants & Contracts

Endowment Income

Investment Income

Other

Subtotal Other

#### Total Resources

	300,064	300,064		300,064	0.0%
	72,045	19,113	52,780	71,893	-0.2%
	36,470	1,389	35,000	36,389	-0.2%
	408,579	320,566	0	87,780	408,346
	223,805	44,625	200,000	244,625	9.3%
	24,535	4,185	23,000	27,185	10.8%
	656,919	369,376	0	310,780	680,156
	410,645	463,855		463,855	13.0%
	20,990	15,032	5,000	20,032	-4.6%
	431,635	478,887	5,000	0	483,887
(1)	828,517	891,381		891,381	7.6%
	188,117	204,220		204,220	8.6%
	77,000	77,000		77,000	0.0%
	219,844	10,758	220,000	230,758	5.0%
(2)	20,300	5,250	15,500	20,750	2.2%
	10,600	10,350		10,350	-2.4%
	5,295	5,964		5,964	12.6%
	1,349,673	32,322	1,172,601	235,500	1,440,423
	2,438,227	880,585	1,177,601	546,280	2,604,466
					6.8%

### EXPENDITURES

#### Instruction & General

Instruction & Departmental Research

Academic Support

Student Services

Institutional Support

Plant, Operations & Maintenance

Subtotal Instruction & General

#### Separately Budgeted Research

Public Service

Scholarships & Fellowships

Auxiliaries

Health System

#### Total Expenditures

	583,023	482,750	47,900	97,000	627,650
	108,635	95,519	1,102	7,000	103,621
	57,660	61,539	5,633	1,550	68,722
	105,474	56,567	7,324	29,645	93,536
	70,965	72,617	149	3,000	75,766
	925,757	768,992	62,108	138,195	969,295
	272,314	32,787	8,893	244,000	285,680
	108,186	10,651	10,931	95,000	116,582
	103,983	66,226	68	55,000	121,294
	196,441	1,929	202,583	8,050	212,562
(1)	831,626	882,311	6,035	888,346	6.8%
				0	
	2,438,307	880,585	1,166,894	546,280	2,593,759
					6.4%

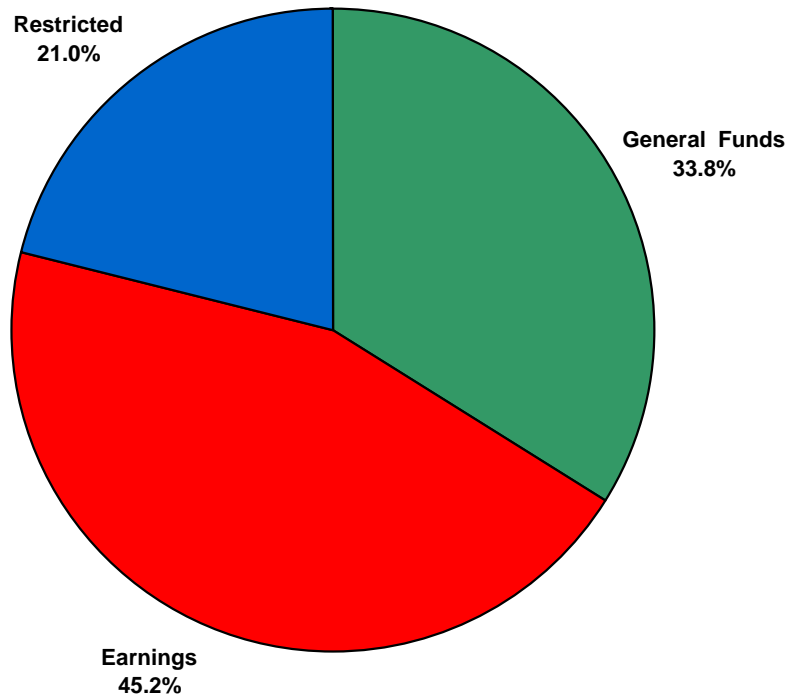
(1) Health Systems budget includes University Hospital, Hospital East, CHRI, Harding and Networks. The FY 2002 budget was revised down \$52.6 million to eliminate inter hospital transfers.

(2) Restricted Endowment reflects reclassification of some revenue to Private Grants & Contracts.

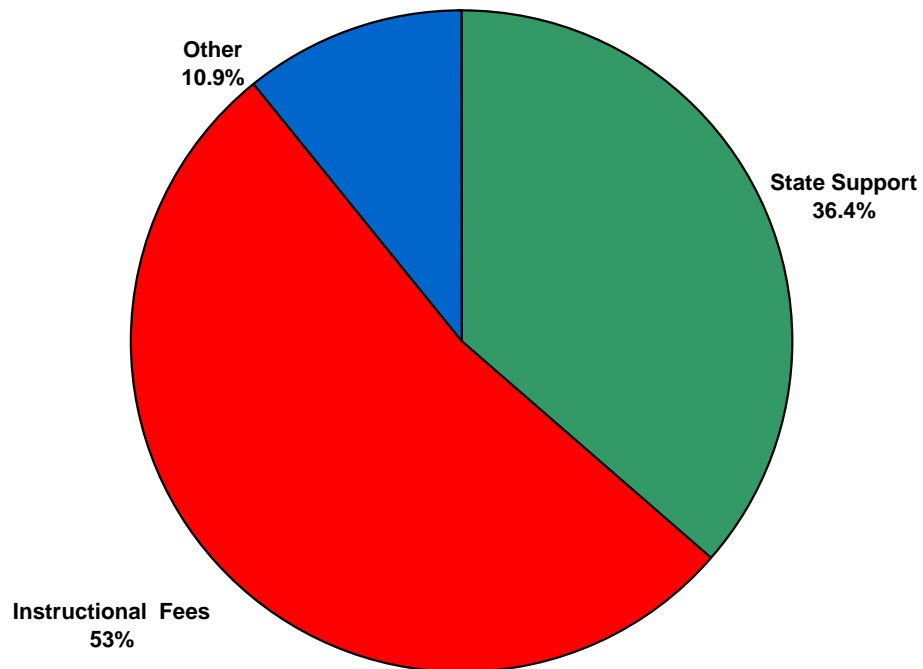
(3) General funds expenditures reflects a new budgeting methodology whereby the budget more closely tracks expenditures in accordance with the financial statements.

# BUDGETED RESOURCES TOTAL UNIVERSITY - FY 2004

## RESOURCES BY FUND



## GENERAL FUNDS BY SOURCE



# DETAIL OF BUDGETED RESOURCES AND EXPENDITURES

## LIMA CAMPUS BY FUND

(IN THOUSANDS)

2002-03 Revised Budget	2003-2004			Percent Change
	General	Earnings Restricted	Total Budget	

### RESOURCES

Government Support  
 State Support  
     State Share of Instruction  
     Appropriations  
     Ohio Grants & Contracts  
     Subtotal State Support  
 Federal Grants & Contracts  
 Local Grants & Contracts  
 Subtotal Government

3,979	3,979			3,979	0.0%
841	687	0		687	-18.3%
109		140		140	28.4%
4,929	4,666	0	140	4,806	-2.5%
800		1,220		1,220	52.5%
				0	
5,729	4,666	0	1,360	6,026	5.2%

Student Fees  
 Instructional, General and Tuition  
 Other  
 Subtotal Student Fees

5,170	5,490			5,490	6.2%
1,159	340	173		513	-55.7%
6,329	5,830	173	0	6,003	-5.2%

Other Resources  
 Health System  
 Auxiliary Sales & Services  
 Departmental Sales & Services  
 Private Grants & Contracts  
 Endowment Income  
 Investment Income  
 Other  
 Subtotal Other

0				0	
2				0	-100.0%
192				0	-100.0%
40				0	-100.0%
60				0	-100.0%
150	110			110	-26.7%
257	115	358		473	84.0%
701	225	358	0	583	-16.8%

Total Resources

(1)

12,759	10,721	531	1,360	12,612	-1.2%
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### EXPENDITURES

Instruction & General  
 Instruction & Departmental Research  
 Academic Support  
 Student Services  
 Institutional Support  
 Plant, Operations & Maintenance  
 Subtotal Instruction & General

5,620	6,376	160		6,536	16.3%
1,393	1,236	35		1,271	-8.8%
1,443	988	25		1,013	-29.8%
1,421	1,167	30		1,197	-15.8%
749	867			867	15.8%
10,626	10,634	0	250	10,884	2.4%

Separately Budgeted Research  
 Public Service  
 Scholarships & Fellowships  
 Auxiliaries  
 Health System

34		35		35	2.9%
1,104	63	531	25	619	-43.9%
908		1,050		1,050	15.6%
2				0	-100.0%
				0	
				0	

Total Expenditures

12,674	10,697	531	1,360	12,588	-0.7%
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(1) Decrease in resources due to change in earnings budget to more accurately reflect activity in Continuing Education. If FY 2002-03 budget was adjusted to actual, total resources would have increased by 3%.

# **DETAIL OF BUDGETED RESOURCES AND EXPENDITURES** **MANSFIELD CAMPUS BY FUND** **(IN THOUSANDS)**

2002-03 Revised Budget	2003-2004			Percent Change
	General	Earnings Restricted	Total Budget	

## **RESOURCES**

### **Government Support**

#### **State Support**

State Share of Instruction

Appropriations

Ohio Grants & Contracts

Subtotal State Support

Federal Grants & Contracts

Local Grants & Contracts

Subtotal Government

### **Student Fees**

Instructional, General and Tuition

Other

Subtotal Student Fees

### **Other Resources**

Health System

Auxiliary Sales & Services

Departmental Sales & Services

Private Grants & Contracts

Endowment Income

Investment Income

Other

Subtotal Other

### **Total Resources**

4,026	4,026			4,026	0.0%
982	787	391		1,178	20.0%
263	0	265		265	0.8%
5,271	4,813	0	656	5,469	3.8%
1,072		1,340		1,340	25.0%
				0	
6,343	4,813	0	1,996	6,809	7.3%
5,215	6,422			6,422	23.1%
80	118			118	47.5%
5,295	6,540	0	0	6,540	23.5%
				0	
45		32		32	-28.9%
456		396		396	-13.2%
119		30		30	-74.8%
95				0	-100.0%
336	291			291	-13.4%
	124	42		166	
1,051	415	470	30	915	-12.9%
12,689	11,768	470	2,026	14,264	12.4%

## **EXPENDITURES**

### **Instruction & General**

Instruction & Departmental Research

Academic Support

Student Services

Institutional Support

Plant, Operations & Maintenance

Subtotal Instruction & General

### **Separately Budgeted Research**

Public Service

Scholarships & Fellowships

Auxiliaries

Health System

### **Total Expenditures**

5,542	5,494	145		5,639	1.8%
1,337	1,460	40		1,500	12.2%
1,329	1,433	25		1,458	9.7%
1,221	1,674	1	50	1,725	41.3%
891	873		200	1,073	20.4%
10,320	10,934	1	460	11,395	10.4%
80	45	66		111	38.8%
455		414		414	-9.0%
1,895	776		1,500	2,276	20.1%
45		32		32	-28.9%
				0	
				0	
12,795	11,755	447	2,026	14,228	11.2%

# DETAIL OF BUDGETED RESOURCES AND EXPENDITURES

## MARION CAMPUS BY FUND

(IN THOUSANDS)

2002-03 Revised Budget	2003-2004			Total Budget	Percent Change
	General	Earnings Restricted			

### RESOURCES

#### Government Support

##### State Support

State Share of Instruction

Appropriations

Ohio Grants & Contracts

Subtotal State Support

Federal Grants & Contracts

Local Grants & Contracts

Subtotal Government

#### Student Fees

Instructional, General and Tuition

Other

Subtotal Student Fees

#### Other Resources

Health System

Auxiliary Sales & Services

Departmental Sales & Services

Private Grants & Contracts

Endowment Income

Investment Income

Other

Subtotal Other

#### Total Resources

3,497	3,497			3,497	0.0%
544	657	6		663	21.9%
19		200		200	952.6%
4,060	4,154	0	206	4,360	7.4%
700			1,500	1,500	114.3%
				0	
4,760	4,154	0	1,706	5,860	23.1%
5,494	6,596			6,596	20.1%
68	100			100	47.1%
5,562	6,696	0	0	6,696	20.4%
				0	
				0	
604		423		423	-30.0%
646		200		200	-69.0%
205				0	-100.0%
311	216			216	-30.5%
66	68	165		233	253.0%
1,832	284	588	200	1,072	-41.5%
12,154	11,134	588	1,906	13,628	12.1%

### EXPENDITURES

#### Instruction & General

Instruction & Departmental Research

Academic Support

Student Services

Institutional Support

Plant, Operations & Maintenance

Subtotal Instruction & General

#### Separately Budgeted Research

Public Service

Scholarships & Fellowships

Auxiliaries

Health System

#### Total Expenditures

5,134	5,063	560	90	5,713	11.3%
1,691	2,038	15	35	2,088	23.5%
1,461	1,552	15	25	1,592	9.0%
1,295	1,229	3	128	1,360	5.0%
778	897	5	3	905	16.3%
10,359	10,779	598	281	11,658	12.5%
				0	
147		225		225	53.1%
1,493	212		1,400	1,612	8.0%
		1		1	
				0	
				0	
11,999	10,991	599	1,906	13,496	12.5%

# DETAIL OF BUDGETED RESOURCES AND EXPENDITURES

## NEWARK CAMPUS BY FUND

(IN THOUSANDS)

2002-03 Revised Budget	2003-2004			Percent Change
	General	Earnings Restricted	Total Budget	

### RESOURCES

#### Government Support

##### State Support

State Share of Instruction

Appropriations

Ohio Grants & Contracts

Subtotal State Support

Federal Grants & Contracts

Local Grants & Contracts

Subtotal Government

#### Student Fees

Instructional, General and Tuition

Other

Subtotal Student Fees

#### Other Resources

Health System

Auxiliary Sales & Services

Departmental Sales & Services

Private Grants & Contracts

Endowment Income

Investment Income

Other

Subtotal Other

#### Total Resources

5,157	5,157			5,157	0.0%
1,110	1,047	123		1,170	5.4%
20	1	52		53	165.0%
6,287	6,205	0	175	6,380	1.5%
1,000			1,500	1,500	50.0%
				0	
7,287	6,205	0	1,675	7,880	8.1%
6,836	8,399			8,399	22.9%
190	202			202	6.3%
7,026	8,601	0	0	8,601	22.4%
				0	
53	53			53	0.0%
				0	
40				0	-100.0%
52				0	-100.0%
285	255			255	-10.5%
243	244			244	0.4%
673	499	53	0	552	-18.0%
14,986	15,305	53	1,675	17,033	13.7%

### EXPENDITURES

#### Instruction & General

Instruction & Departmental Research

Academic Support

Student Services

Institutional Support

Plant, Operations & Maintenance

Subtotal Instruction & General

#### Separately Budgeted Research

#### Public Service

#### Scholarships & Fellowships

#### Auxiliaries

#### Health System

#### Total Expenditures

7,347	8,274	180		8,454	15.1%
1,496	1,692			1,692	13.1%
1,770	2,016			2,016	13.9%
1,844	1,733	75		1,808	-2.0%
1,270	1,410			1,410	11.0%
13,727	15,125	0	255	15,380	12.0%
15	20			20	33.3%
				0	
1,150		1,400		1,400	21.7%
51	51			51	0.0%
				0	
				0	
14,943	15,125	51	1,675	16,851	12.8%

# DETAIL OF BUDGETED RESOURCES AND EXPENDITURES

## AGRICULTURAL TECHNICAL INSTITUTE BY FUND

(IN THOUSANDS)

2002-03 Revised Budget	2003-2004			Percent Change
	General	Earnings Restricted	Total Budget	

### RESOURCES

#### Government Support

##### State Support

State Share of Instruction

Appropriations

Ohio Grants & Contracts

Subtotal State Support

Federal Grants & Contracts

Local Grants & Contracts

Subtotal Government

#### Student Fees

Instructional, General and Tuition

Other

Subtotal Student Fees

#### Other Resources

Health System

Auxiliary Sales & Services

Departmental Sales & Services

Private Grants & Contracts

Endowment Income

Investment Income

Other

Subtotal Other

#### Total Resources

4,293	4,293			4,293	0.0%
560	435	254		689	23.0%
150		200		200	33.3%
5,003	4,728	0	454	5,182	3.6%
1,200		1,400		1,400	16.7%
		1		1	
6,203	4,728	0	1,855	6,583	6.1%
3,476	3,641	20		3,661	5.3%
				0	
3,476	3,641	0	20	3,661	5.3%
				0	
				0	
1,285		1,556		1,556	21.1%
50				0	-100.0%
55				0	-100.0%
25	25			25	0.0%
72	141			141	95.8%
1,487	166	1,556	0	1,722	15.8%
11,166	8,535	1,556	1,875	11,966	7.2%

### EXPENDITURES

#### Instruction & General

Instruction & Departmental Research

Academic Support

Student Services

Institutional Support

Plant, Operations & Maintenance

Subtotal Instruction & General

#### Separately Budgeted Research

Public Service

Scholarships & Fellowships

Auxiliaries

Health System

#### Total Expenditures

5,489	4,340	877	400	5,617	2.3%
859	796	59	18	873	1.6%
851	843		5	848	-0.4%
1,267	1,094	7	100	1,201	-5.2%
1,161	1,063		2	1,065	-8.3%
9,627	8,136	943	525	9,604	-0.2%
220			250	250	13.6%
660	70	750	300	1,120	69.7%
550			800	800	45.5%
				0	
				0	
				0	
11,057	8,206	1,693	1,875	11,774	6.5%

**DETAIL OF BUDGETED RESOURCES AND EXPENDITURES**  
**OHIO AGRICULTURAL AND RESEARCH DEVELOPMENT CENTER BY FUND**  
(IN THOUSANDS)

2002-03 Revised Budget	2003-2004			Percent Change
	General	Earnings Restricted	Total Budget	

**RESOURCES**

Government Support	
State Support	
State Share of Instruction	
Appropriations	
Ohio Grants & Contracts	
Subtotal State Support	
Federal Grants & Contracts	
Local Grants & Contracts	
Subtotal Government	
Student Fees	
Instructional, General and Tuition	
Other	
Subtotal Student Fees	
Other Resources	
Health System	
Auxiliary Sales & Services	
Departmental Sales & Services	
Private Grants & Contracts	
Endowment Income	
Investment Income	
Other	
Subtotal Other	
Total Resources	

				0	
35,499		35,830		35,830	0.9%
350		350		350	0.0%
35,849	0	0	36,180	36,180	0.9%
8,000		7,000		7,000	-12.5%
50		20		20	-60.0%
43,899	0	0	43,200	43,200	-1.6%
				0	
				0	
0	0	0	0	0	
				0	
				0	
1,370	1,240		1,240	1,240	-9.5%
4,000		2,500	2,500	2,500	-37.5%
200		300	300	300	50.0%
				0	
				0	
5,570	0	1,240	2,800	4,040	-27.5%
49,469	0	1,240	46,000	47,240	-4.5%

**EXPENDITURES**

Instruction & General	
Instruction & Departmental Research	
Academic Support	
Student Services	
Institutional Support	
Plant, Operations & Maintenance	
Subtotal Instruction & General	
Separately Budgeted Research	
Public Service	
Scholarships & Fellowships	
Auxiliaries	
Health System	
Total Expenditures	

50		400		400	700.0%
4,370	1,240	2,000		3,240	-25.9%
				0	
10				0	-100.0%
5,000		4,550		4,550	-9.0%
9,430	0	1,240	6,950	8,190	-13.1%
40,677		38,500		38,500	-5.4%
100		300		300	200.0%
170		250		250	47.1%
				0	
				0	
				0	
50,377	0	1,240	46,000	47,240	-6.2%



# SUMMARY OF STATE SUPPORT COLUMBUS CAMPUS (IN THOUSANDS)

	2002-03 Revised Budget	2003-2004		Dollar Change	Percent Change
		General Funds	Restrct'd		
<b>CORE FUNDING</b>					
Instructional Funding	300,064	300,064		0	0.0%
Mission-Based Core Funding:					
Success Challenge	8,986	10,714		1,728	19.2%
Research Challenge	7,798	7,798		0	0.0%
Priorities in Graduate Education	775		626	(149)	-19.2%
Subtotal Core Funding	317,623	318,576	626	1,579	0.5%
<b>APPROPRIATIONS</b>					
OSU-Specific Line Items:					
Cooperative Extension	25,395	25,645	25,645	250	1.0%
Clinical Teaching	14,661	13,566	13,566	(1,095)	-7.5%
Sea Grants	275	275	275	0	0.0%
Dental/Veterinary Medicine	1,889	1,400	1,400	(489)	-25.9%
Supercomputer	4,430	4,208	4,208	(222)	-5.0%
OARNET	3,282	3,657	3,657	375	11.4%
OSU Glenn Institute	337	312	312	(25)	-7.4%
BioMEMS Program	225		181	(44)	
Subtotal OSU-Specific Line Items	50,494	312	48,932	(1,250)	-2.5%
General Line Items					
Math/Science Teaching Improvement	353	336	336	(17)	-4.8%
Urban Universities	221	166	166	(55)	-24.9%
College Readiness Initiatives	119	94	94	(25)	-21.0%
Library Book Depository	374	89	89	(285)	-76.2%
Student Support Services	200	200	200	0	0.0%
Capital Component	1,160	1,213	1,213	53	4.6%
Medical Items:					
Family Practice	797	735	735	(62)	-7.8%
Primary Care	393	363	363	(30)	-7.6%
Geriatric Medicine	145	117	117	(28)	-19.3%
Area Health Education Center	230		198	(32)	-13.9%
Subtotal General Line Items	3,992	289	3,222	(481)	-12.0%
Total Appropriations	54,486	601	52,154	(1,731)	-3.2%
<b>OHIO GRANTS &amp; CONTRACTS</b>	36,470	1,389	35,000	(81)	-0.2%
<b>TOTAL STATE SUPPORT</b>	408,579	320,566	87,780	(233)	-0.1%

# SUMMARY OF STATE SUPPORT EXTENDED CAMPUSES (IN THOUSANDS)

## LIMA CAMPUS

State Share of Instruction  
State Appropriations  
    Access Challenge  
    Jobs Challenge  
    Capital Component  
    Subtotal Appropriations  
  
State Grants & Contracts  
  
Total Lima Campus

2002-03 Total Budget	2003-04		Total Budget	Dollar Change	Percent Change
	General Funds	Restricted			
3,979	3,979		3,979	0	0.0%
597	621		621	24	4.0%
66	66		66	0	0.0%
178		0	0	(178)	
841	687	0	687	(154)	-18.3%
109	0	140	140	31	28.4%
4,929	4,666	140	4,806	(123)	-2.5%

## MANSFIELD CAMPUS

State Share of Instruction  
State Appropriations  
    Access Challenge  
    Jobs Challenge  
    Capital Component  
    Subtotal Appropriations  
  
State Grants & Contracts  
  
Total Mansfield Campus

4,026	4,026		4,026	0	0.0%
652	722		722	70	10.7%
65	65		65		0.0%
265		391	391	126	47.5%
982	787	391	1,178	196	20.0%
263		265	265	2	0.8%
5,271	4,813	656	5,469	198	3.8%

## MARION CAMPUS

State Share of Instruction  
State Appropriations  
    Access Challenge  
    Jobs Challenge  
    Capital Component  
    Subtotal Appropriations  
  
State Grants & Contracts  
  
Total Marion Campus

3,497	3,497		3,497	0	0.0%
465	585		585	120	25.8%
72	72		72	0	0.0%
7		6	6	(1)	-14.3%
544	657	6	663	119	21.9%
19		200	200	181	952.6%
4,060	4,154	206	4,360	300	7.4%

# SUMMARY OF STATE SUPPORT EXTENDED CAMPUSES (IN THOUSANDS)

## NEWARK CAMPUS

State Share of Instruction  
State Appropriations  
    Access Challenge  
    Jobs Challenge  
    Capital Component  
    Subtotal Appropriations  
  
State Grants & Contracts  
  
Total Newark Campus

2002-03 Total Budget	2003-04		Total Budget	Dollar Change	Percent Change
	General Funds	Restricted			
5,157	5,157		5,157	0	0.0%
722	1,004		1,004	282	39.1%
43	43		43		0.0%
345		123	123	(222)	-64.3%
1,110	1,047	123	1,170	60	5.4%
20		52	52	32	160.0%
6,287	6,204	175	6,379	92	1.5%

## AGRICULTURAL TECH INSTITUTE

State Share of Instruction  
State Appropriations  
    Access Challenge  
    Jobs Challenge  
    Capital Component  
    Subtotal Appropriations  
  
State Grants & Contracts  
  
Total ATI

4,293	4,293		4,293	0	0.0%
340	365		365	25	7.4%
70	70		70	0	0.0%
150		254	254	104	69.3%
560	435	254	689	129	23.0%
150		200	200	50	33.3%
5,003	4,728	454	5,182	179	3.6%

## OARDC

Appropriations  
State Grants & Contracts  
  
Total OARDC

35,499	35,830		35,830	331	0.9%
350	350		350	0	0.0%
35,849	0	36,180	36,180	331	0.9%

## TOTAL EXTENDED CAMPUSES

State Share of Instruction  
Appropriations  
State Grants & Contracts  
  
Total Extended Campuses

20,952	20,952	0	20,952	0	0.0%
39,536	3,613	36,604	40,217	681	1.7%
911	0	1,207	1,207	296	32.5%
61,399	24,565	37,811	62,376	977	1.6%

# SUMMARY OF STUDENT FEE INCOME

## COLUMBUS CAMPUS

(IN THOUSANDS)

FY 2003 Budget	FY 2004 Budget	Dollar Change	Percent Change
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### INSTRUCTIONAL FEES

Instructional Fees  
General Fees  
Non-Resident Surcharge

Subtotal Instructional Fees

### OTHER FEES

Student Activity Fee  
Application Fees  
Acceptance Fees  
Instructional Technology Fees  
Study Abroad  
Continuing Education  
Flight Instruction  
Medical Instrument Fees  
COTA Fees  
Other

Subtotal Other Fees

### TOTAL STUDENT FEE INCOME

287,887	338,855	50,968	17.7%
17,687	17,691	4	0.0%
101,859	107,309	5,450	5.4%
407,433	463,855	56,422	13.8%
0	507	507	
1,500	2,040	540	36.0%
750	1,050	300	40.0%
2,690	2,955	265	9.9%
3,000	3,000	0	0.0%
250	350	100	40.0%
710	865	155	21.8%
1,400	1,625	225	16.1%
1,400	1,400	0	0.0%
1,090	1,240	150	13.8%
12,790	15,032	2,242	17.5%
420,223	478,887	58,664	14.0%

**2003-2004 STUDENT FEE SCHEDULE**  
**FEES PER QUARTER**  
**COLUMBUS CAMPUS**

<u>Undergraduate Tier 1</u>						
Undergraduate Tier 1: Students first enrolled before Summer Quarter 2002						
Credit Hours	Instrn'l Fees	General Fees		Resident Total	Non-Resident Tuition	Non-Resident Total
		Basic	Student Activity			
0	157	10		167	139	306
1	313	20		333	277	610
2	392	24		416	555	971
3	470	29		499	832	1,331
4	627	39		666	1,110	1,776
5	783	49		832	1,387	2,219
6	940	59		999	1,665	2,664
7	1,097	68		1,165	1,942	3,107
8	1,253	78		1,331	2,219	3,550
9	1,410	88		1,498	2,497	3,995
10	1,566	98		1,664	2,774	4,438
11	1,724	107		1,831	3,052	4,883
12+	1,880	117		1,997	3,329	5,326

Undergraduate Tier 2						
Students first enrolled between Summer Quarter 2002 and Spring Quartr 2003						
Credit Hours	Instrn'l Fees	General Fees		Resident Total	Non-Resident Tuition	Non-Resident Total
		Basic	Student Activity			
0	172	10		182	139	321
1	343	20		363	277	640
2	430	24		454	555	1,009
3	516	29		545	832	1,377
4	688	39		727	1,110	1,837
5	859	49		908	1,387	2,295
6	1,031	59		1,090	1,665	2,755
7	1,204	68		1,272	1,942	3,214
8	1,375	78		1,453	2,219	3,672
9	1,547	88		1,635	2,497	4,132
10	1,719	98		1,817	2,774	4,591
11	1,891	107		1,998	3,052	5,050
12+	2,063	117		2,180	3,329	5,509

**2003-2004 STUDENT FEE SCHEDULE**  
**FEES PER QUARTER**  
**COLUMBUS CAMPUS**

<b>Undergraduate Tier 3</b>						
<b>Students first enrolled after Spring Quarter 2002</b>						
<b>Credit Hours</b>	<b>Instrn'l Fees</b>	<b>General Fees</b>		<b>Resident Total</b>	<b>Non- Resident Tuition</b>	<b>Non- Resident Total</b>
		<b>Basic</b>	<b>Student Activity</b>			
0	172	10	2	184	139	323
1	345	20	3	368	277	645
2	433	24	3	460	555	1,015
3	519	29	4	552	832	1,384
4	692	39	5	736	1,110	1,846
5	865	49	6	920	1,387	2,307
6	1,037	59	8	1,104	1,665	2,769
7	1,211	68	9	1,288	1,942	3,230
8	1,384	78	10	1,472	2,219	3,691
9	1,557	88	11	1,656	2,497	4,153
10	1,729	98	13	1,840	2,774	4,614
11	1,903	107	14	2,024	3,052	5,076
12+	2,076	117	15	2,208	3,329	5,537

# 2003-2004 STUDENT FEE SCHEDULE

## FEES PER QUARTER

### COLUMBUS CAMPUS

<b><u>Masters &amp; PhD</u></b>								
Credit Hours	Instrn'l Fees	General Fees	Student Activity Fees *	Resident Total		Non-Resident Tuition	Non-Resident Total	
				Cont'ing	New		Cont'ing	New
0	143	8	1	151	152	187	338	339
1	286	15	2	301	303	374	675	677
2	457	23	3	480	483	747	1,227	1,230
3	686	35	5	721	726	1,121	1,842	1,847
4	914	47	6	961	967	1,495	2,456	2,462
5	1,143	59	8	1,202	1,210	1,869	3,071	3,079
6	1,371	70	9	1,441	1,450	2,242	3,683	3,692
7	1,600	82	11	1,682	1,693	2,616	4,298	4,309
8	1,828	94	12	1,922	1,934	2,990	4,912	4,924
9	2,057	105	14	2,162	2,176	3,363	5,525	5,539
10+	2,285	117	15	2,402	2,417	3,737	6,139	6,154

<b><u>MLHR</u></b>								
Credit Hours	Instrn'l Fees	General Fees	Student Activity Fees *	Resident Total		Non-Resident Tuition	Non-Resident Total	
				Cont'ing	New		Cont'ing	New
0	151	8	1	159	160	187	346	347
1	301	15	2	316	318	374	690	692
2	482	23	3	505	508	747	1,252	1,255
3	722	35	5	757	762	1,121	1,878	1,883
4	963	47	6	1,010	1,016	1,495	2,505	2,511
5	1,204	59	8	1,263	1,271	1,869	3,132	3,140
6	1,445	70	9	1,515	1,524	2,242	3,757	3,766
7	1,686	82	11	1,768	1,779	2,616	4,384	4,395
8	1,926	94	12	2,020	2,032	2,990	5,010	5,022
9	2,167	105	14	2,272	2,286	3,363	5,635	5,649
10+	2,408	117	15	2,525	2,540	3,737	6,262	6,277

\* The Student Activity Fee for new students starting Autumn 2003 is earmarked for student activities.

**2003-2004 STUDENT FEE SCHEDULE**  
**FEES PER QUARTER**  
**COLUMBUS CAMPUS**

<b><u>MBA</u></b>								
Credit Hours	Instrn'l Fees	General Fees	Student Activity Fees *	Resident Total		Non-Resident Tuition	Non-Resident Total	
				Cont'ing	New		Cont'ing	New
0	277	8	1	285	286	187	472	473
1	554	15	2	569	571	374	943	945
2	886	23	3	909	912	747	1,656	1,659
3	1,328	35	5	1,363	1,368	1,121	2,484	2,489
4	1,771	47	6	1,818	1,824	1,495	3,313	3,319
5	2,214	59	8	2,273	2,281	1,869	4,142	4,150
6	2,657	70	9	2,727	2,736	2,242	4,969	4,978
7	3,100	82	11	3,182	3,193	2,616	5,798	5,809
8	3,542	94	12	3,636	3,648	2,990	6,626	6,638
9	3,985	105	14	4,090	4,104	3,363	7,453	7,467
10+	4,428	117	15	4,545	4,560	3,737	8,282	8,297

<b><u>EMBA</u></b>								
Credit Hours	Instrn'l Fees	General Fees	Student Activity Fees *	Resident Total		Non-Resident Tuition	Non-Resident Total	
				Cont'ing	New		Cont'ing	New
0	654	8	1	662	663	187	849	850
1	1,308	15	2	1,323	1,325	374	1,697	1,699
2	2,093	23	3	2,116	2,119	747	2,863	2,866
3	3,140	35	5	3,175	3,180	1,121	4,296	4,301
4	4,186	47	6	4,233	4,239	1,495	5,728	5,734
5	5,233	59	8	5,292	5,300	1,869	7,161	7,169
6	6,280	70	9	6,350	6,359	2,242	8,592	8,601
7	7,326	82	11	7,408	7,419	2,616	10,024	10,035
8	8,373	94	12	8,467	8,479	2,990	11,457	11,469
9	9,419	105	14	9,524	9,538	3,363	12,887	12,901
10+	10,466	117	15	10,583	10,598	3,737	14,320	14,335

\* The Student Activity Fee for new students starting Autumn 2003 is earmarked for student activities.



**2003-2004 STUDENT FEE SCHEDULE**  
**FEES PER QUARTER**  
**COLUMBUS CAMPUS**

<b><u>Master of Accounting</u></b>								
Credit Hours	Instrn'l Fees	General Fees	Student Activity Fees *	Resident Total		Non-Resident Tuition	Non-Resident Total	
				Cont'ing	New		Cont'ing	New
0	359	8	1	367	368	187	554	555
1	717	15	2	732	734	374	1,106	1,108
2	1,147	23	3	1,170	1,173	747	1,917	1,920
3	1,721	35	5	1,756	1,761	1,121	2,877	2,882
4	2,294	47	6	2,341	2,347	1,495	3,836	3,842
5	2,868	59	8	2,927	2,935	1,869	4,796	4,804
6	3,441	70	9	3,511	3,520	2,242	5,753	5,762
7	4,015	82	11	4,097	4,108	2,616	6,713	6,724
8	4,588	94	12	4,682	4,694	2,990	7,672	7,684
9	5,162	105	14	5,267	5,281	3,363	8,630	8,644
10+	5,735	117	15	5,852	5,867	3,737	9,589	9,604

<b><u>Health Administration</u></b>								
Credit Hours	Instrn'l Fees	General Fees	Student Activity Fees *	Resident Total		Non-Resident Tuition	Non-Resident Total	
				Cont'ing	New		Cont'ing	New
0	171	8	1	179	180	187	366	367
1	342	15	2	357	359	374	731	733
2	547	23	3	570	573	747	1,317	1,320
3	821	35	5	856	861	1,121	1,977	1,982
4	1,094	47	6	1,141	1,147	1,495	2,636	2,642
5	1,368	59	8	1,427	1,435	1,869	3,296	3,304
6	1,641	70	9	1,711	1,720	2,242	3,953	3,962
7	1,915	82	11	1,997	2,008	2,616	4,613	4,624
8	2,188	94	12	2,282	2,294	2,990	5,272	5,284
9	2,462	105	14	2,567	2,581	3,363	5,930	5,944
10+	2,735	117	15	2,852	2,867	3,737	6,589	6,604

\* The Student Activity Fee for new students starting Autumn 2003 is earmarked for student activities.

**2003-2004 STUDENT FEE SCHEDULE**  
**FEES PER QUARTER**  
**COLUMBUS CAMPUS**

<b><u>Public Health MPH</u></b>								
Credit Hours	Instrn'l Fees	General Fees	Student Activity Fees *	Resident Total		Non-Resident Tuition	Non-Resident Total	
				Cont'ing	New		Cont'ing	New
0	152	8	1	160	161	187	347	348
1	303	15	2	318	320	374	692	694
2	485	23	3	508	511	747	1,255	1,258
3	727	35	5	762	767	1,121	1,883	1,888
4	969	47	6	1,016	1,022	1,495	2,511	2,517
5	1,212	59	8	1,271	1,279	1,869	3,140	3,148
6	1,454	70	9	1,524	1,533	2,242	3,766	3,775
7	1,696	82	11	1,778	1,789	2,616	4,394	4,405
8	1,938	94	12	2,032	2,044	2,990	5,022	5,034
9	2,181	105	14	2,286	2,300	3,363	5,649	5,663
10+	2,423	117	15	2,540	2,555	3,737	6,277	6,292

<b><u>Public Health PEP</u></b>								
Credit Hours	Instrn'l Fees	General Fees	Student Activity Fees *	Resident Total		Non-Resident Tuition	Non-Resident Total	
				Cont'ing	New		Cont'ing	New
0	152	8	1	160	161	187	347	348
1	303	15	2	318	320	374	692	694
2	485	23	3	508	511	747	1,255	1,258
3	727	35	5	762	767	1,121	1,883	1,888
4	969	47	6	1,016	1,022	1,495	2,511	2,517
5	1,212	59	8	1,271	1,279	1,869	3,140	3,148
6	1,454	70	9	1,524	1,533	2,242	3,766	3,775
7	1,696	82	11	1,778	1,789	2,616	4,394	4,405
8	1,938	94	12	2,032	2,044	2,990	5,022	5,034
9	2,181	105	14	2,286	2,300	3,363	5,649	5,663
10+	2,423	117	15	2,540	2,555	3,737	6,277	6,292

\* The Student Activity Fee for new students starting Autumn 2003 is earmarked for student activities.

**2003-2004 STUDENT FEE SCHEDULE**  
**FEES PER QUARTER**  
**COLUMBUS CAMPUS**

<b><u>Master of Physical Therapy</u></b>								
Credit Hours	Instrn'l Fees	General Fees	Student Activity Fees *	Resident Total		Non-Resident Tuition	Non-Resident Total	
				Cont'ing	New		Cont'ing	New
0	157	8	1	165	166	187	352	353
1	313	15	2	328	330	374	702	704
2	501	23	3	524	527	747	1,271	1,274
3	752	35	5	787	792	1,121	1,908	1,913
4	1,002	47	6	1,049	1,055	1,495	2,544	2,550
5	1,253	59	8	1,312	1,320	1,869	3,181	3,189
6	1,503	70	9	1,573	1,582	2,242	3,815	3,824
7	1,754	82	11	1,836	1,847	2,616	4,452	4,463
8	2,004	94	12	2,098	2,110	2,990	5,088	5,100
9	2,255	105	14	2,360	2,374	3,363	5,723	5,737
10+	2,505	117	15	2,622	2,637	3,737	6,359	6,374

<b><u>Master of Occupational Therapy</u></b>								
Credit Hours	Instrn'l Fees	General Fees	Student Activity Fees *	Resident Total		Non-Resident Tuition	Non-Resident Total	
				Cont'ing	New		Cont'ing	New
0	144	8	1	152	153	187	339	340
1	287	15	2	302	304	374	676	678
2	458	23	3	481	484	747	1,228	1,231
3	688	35	5	723	728	1,121	1,844	1,849
4	917	47	6	964	970	1,495	2,459	2,465
5	1,146	59	8	1,205	1,213	1,869	3,074	3,082
6	1,375	70	9	1,445	1,454	2,242	3,687	3,696
7	1,604	82	11	1,686	1,697	2,616	4,302	4,313
8	1,834	94	12	1,928	1,940	2,990	4,918	4,930
9	2,063	105	14	2,168	2,182	3,363	5,531	5,545
10+	2,292	117	15	2,409	2,424	3,737	6,146	6,161

\* The Student Activity Fee for new students starting Autumn 2003 is earmarked for student activities.

**2003-2004 STUDENT FEE SCHEDULE**  
**FEES PER QUARTER**  
**COLUMBUS CAMPUS**

<b><u>Pharmacy</u></b>								
Credit Hours	Instrn'l Fees	General Fees	Student Activity Fees *	Resident Total		Non-Resident Tuition	Non-Resident Total	
				Cont'ing	New		Cont'ing	New
0	193	8	1	201	202	249	450	451
1	385	15	2	400	402	497	897	899
2	616	23	3	639	642	795	1,434	1,437
3	924	35	5	959	964	1,193	2,152	2,157
4	1,232	47	6	1,279	1,285	1,590	2,869	2,875
5	1,540	59	8	1,599	1,607	1,988	3,587	3,595
6	1,848	70	9	1,918	1,927	2,385	4,303	4,312
7	2,156	82	11	2,238	2,249	2,783	5,021	5,032
8	2,464	94	12	2,558	2,570	3,180	5,738	5,750
9	2,772	105	14	2,877	2,891	3,578	6,455	6,469
10+	3,080	117	15	3,197	3,212	3,975	7,172	7,187

<b><u>Medicine (1)</u></b>								
Credit Hours	Instrn'l Fees	General Fees	Student Activity Fees *	Resident Total		Non-Resident Tuition	Non-Resident Total	
				Cont'ing	New		Cont'ing	New
0	395	8	1	403	404	512	915	916
1	789	15	2	804	806	1,023	1,827	1,829
2	1,262	23	3	1,285	1,288	1,637	2,922	2,925
3	1,893	35	5	1,928	1,933	2,456	4,384	4,389
4	2,524	47	6	2,571	2,577	3,274	5,845	5,851
5	3,155	59	8	3,214	3,222	4,093	7,307	7,315
6	3,785	70	9	3,855	3,864	4,912	8,767	8,776
7	4,416	82	11	4,498	4,509	5,730	10,228	10,239
8	5,047	94	12	5,141	5,153	6,549	11,690	11,702
9	5,678	105	14	5,783	5,797	7,367	13,150	13,164
10+	6,309	117	15	6,426	6,441	8,186	14,612	14,627

\* The Student Activity Fee for new students starting Autumn 2003 is earmarked for student activities.

(1) Medicine Level 1 fees. Medicine will offer tuition credits to students in Levels 2, 3 and 4 bringing their effective instructional fee lower than Level 1 by 0.9% for Level 2, 4.5% for Level 3 and 5.2% for Level 4.

**2003-2004 STUDENT FEE SCHEDULE**  
**FEES PER QUARTER**  
**COLUMBUS CAMPUS**

<b><u>Optometry</u></b>								
Credit Hours	Instrn'l Fees	General Fees	Student Activity Fees *	Resident Total		Non-Resident Tuition	Non-Resident Total	
				Cont'ing	New		Cont'ing	New
0	251	8	1	259	260	480	739	740
1	501	15	2	516	518	959	1,475	1,477
2	801	23	3	824	827	1,535	2,359	2,362
3	1,202	35	5	1,237	1,242	2,302	3,539	3,544
4	1,602	47	6	1,649	1,655	3,070	4,719	4,725
5	2,003	59	8	2,062	2,070	3,837	5,899	5,907
6	2,404	70	9	2,474	2,483	4,604	7,078	7,087
7	2,804	82	11	2,886	2,897	5,372	8,258	8,269
8	3,205	94	12	3,299	3,311	6,139	9,438	9,450
9	3,605	105	14	3,710	3,724	6,907	10,617	10,631
10+	4,006	117	15	4,123	4,138	7,674	11,797	11,812

<b><u>Dentistry</u></b>								
Credit Hours	Instrn'l Fees	General Fees	Student Activity Fees *	Resident Total		Non-Resident Tuition	Non-Resident Total	
				Cont'ing	New		Cont'ing	New
0	327	8	1	335	336	480	815	816
1	653	15	2	668	670	959	1,627	1,629
2	1,045	23	3	1,068	1,071	1,535	2,603	2,606
3	1,567	35	5	1,602	1,607	2,302	3,904	3,909
4	2,089	47	6	2,136	2,142	3,070	5,206	5,212
5	2,612	59	8	2,671	2,679	3,837	6,508	6,516
6	3,134	70	9	3,204	3,213	4,604	7,808	7,817
7	3,656	82	11	3,738	3,749	5,372	9,110	9,121
8	4,178	94	12	4,272	4,284	6,139	10,411	10,423
9	4,701	105	14	4,806	4,820	6,907	11,713	11,727
10+	5,223	117	15	5,340	5,355	7,674	13,014	13,029

\* The Student Activity Fee for new students starting Autumn 2003 is earmarked for student activities.

**2003-2004 STUDENT FEE SCHEDULE**  
**FEES PER QUARTER**  
**COLUMBUS CAMPUS**

<b><u>Vet Medicine</u></b>								
Credit Hours	Instrn'l Fees	General Fees	Student Activity Fees *	Resident Total		Non-Resident Tuition	Non-Resident Total	
				Cont'ing	New		Cont'ing	New
0	297	8	1	305	306	496	801	802
1	593	15	2	608	610	992	1,600	1,602
2	949	23	3	972	975	1,586	2,558	2,561
3	1,424	35	5	1,459	1,464	2,380	3,839	3,844
4	1,898	47	6	1,945	1,951	3,173	5,118	5,124
5	2,373	59	8	2,432	2,440	3,966	6,398	6,406
6	2,848	70	9	2,918	2,927	4,759	7,677	7,686
7	3,322	82	11	3,404	3,415	5,552	8,956	8,967
8	3,797	94	12	3,891	3,903	6,346	10,237	10,249
9	4,271	105	14	4,376	4,390	7,139	11,515	11,529
10+	4,746	117	15	4,863	4,878	7,932	12,795	12,810

<b><u>Law (Semester)</u></b>								
Credit Hours	Instrn'l Fees	General Fees	Student Activity Fees *	Resident Total		Non-Resident Tuition	Non-Resident Total	
				Cont'ing	New		Cont'ing	New
0	396	11	2	407	409	379	786	788
1	792	22	3	814	817	757	1,571	1,574
2	1,267	35	4	1,302	1,306	1,211	2,513	2,517
3	1,901	53	7	1,954	1,961	1,816	3,770	3,777
4	2,534	70	9	2,604	2,613	2,421	5,025	5,034
5	3,168	88	11	3,256	3,267	3,027	6,283	6,294
6	3,802	106	13	3,908	3,921	3,632	7,540	7,553
7	4,435	123	15	4,558	4,573	4,237	8,795	8,810
8	5,069	141	18	5,210	5,228	4,842	10,052	10,070
9	5,702	158	20	5,860	5,880	5,448	11,308	11,328
10+	6,336	176	22	6,512	6,534	6,053	12,565	12,587

\* The Student Activity Fee for new students starting Autumn 2003 is earmarked for student activities.

**2003-2004 STUDENT FEE SCHEDULE**  
**FEES PER QUARTER**  
**LIMA, MANSFIELD, MARION AND NEWARK CAMPUSES**

<b><u>Undergraduate - Lower Division</u></b>							
<b>Credit Hours</b>	<b>Instrn'l Fees</b>	<b>General Fees</b>	<b>Resident Total</b>	<b>BOR - AC Credit</b>	<b>Total After Access</b>	<b>Non-Resident Tuition</b>	<b>Non-Resident Total</b>
0	135	6	141	(18)	123	139	262
1	269	12	281	(35)	246	277	523
2	336	15	351	(44)	307	555	862
3	404	18	422	(53)	369	832	1,201
4	538	23	561	(71)	490	1,110	1,600
5	673	29	702	(88)	614	1,387	2,001
6	807	35	842	(106)	736	1,665	2,401
7	942	41	983	(124)	859	1,942	2,801
8	1,076	47	1,123	(141)	982	2,219	3,201
9	1,211	53	1,264	(159)	1,105	2,497	3,602
10	1,345	58	1,403	(177)	1,226	2,774	4,000
11	1,480	64	1,544	(194)	1,350	3,052	4,402
12+	1,614	70	1,684	(212)	1,472	3,329	4,801

<b><u>Undergraduate - Upper Division</u></b>							
<b>Credit Hours</b>	<b>Instrn'l Fees</b>	<b>General Fees</b>	<b>Resident Total</b>	<b>BOR - AC Credit</b>	<b>Total After Access</b>	<b>Non-Resident Tuition</b>	<b>Non-Resident Total</b>
0	135	6	141	(5)	136	139	275
1	269	12	281	(10)	271	277	548
2	336	15	351	(12)	339	555	894
3	404	18	422	(14)	408	832	1,240
4	538	23	561	(19)	542	1,110	1,652
5	673	29	702	(24)	678	1,387	2,065
6	807	35	842	(29)	813	1,665	2,478
7	942	41	983	(33)	950	1,942	2,892
8	1,076	47	1,123	(38)	1,085	2,219	3,304
9	1,211	53	1,264	(43)	1,221	2,497	3,718
10	1,345	58	1,403	(48)	1,355	2,774	4,129
11	1,480	64	1,544	(52)	1,492	3,052	4,544
12+	1,614	70	1,684	(57)	1,627	3,329	4,956

**2003-2004 STUDENT FEE SCHEDULE**  
**FEES PER QUARTER**  
**LIMA, MANSFIELD, MARION AND NEWARK CAMPUSES**

<b><u>Graduate</u></b>							
<b>Credit Hours</b>	<b>Instrn'l Fees</b>	<b>General Fees</b>	<b>Resident Total</b>	<b>BOR - AC Credit</b>	<b>Total After Access</b>	<b>Non-Resident Tuition</b>	<b>Non-Resident Total</b>
0	143	4	147	0	147	187	334
1	286	7	293	0	293	374	667
2	457	12	469	0	469	747	1,216
3	686	18	704	0	704	1,121	1,825
4	914	24	938	0	938	1,495	2,433
5	1,143	30	1,173	0	1,173	1,869	3,042
6	1,371	35	1,406	0	1,406	2,242	3,648
7	1,600	41	1,641	0	1,641	2,616	4,257
8	1,828	47	1,875	0	1,875	2,990	4,865
9	2,057	53	2,110	0	2,110	3,363	5,473
10+	2,285	59	2,344	0	2,344	3,737	6,081

**AGRICULTURAL TECHNICAL INSTITUTE**

<b><u>Undergraduate</u></b>							
<b>Credit Hours</b>	<b>Instrn'l Fees</b>	<b>General Fees</b>	<b>Resident Total</b>	<b>BOR - AC Credit</b>	<b>Total After Access</b>	<b>Non-Resident Tuition</b>	<b>Non-Resident Total</b>
0	134	6	140	(16)	124	139	263
1	268	12	280	(32)	248	277	525
2	335	15	350	(40)	310	555	865
3	402	18	420	(49)	371	832	1,203
4	536	23	559	(65)	494	1,110	1,604
5	670	29	699	(81)	618	1,387	2,005
6	804	35	839	(97)	742	1,665	2,407
7	938	41	979	(113)	866	1,942	2,808
8	1,072	47	1,119	(129)	990	2,219	3,209
9	1,206	53	1,259	(146)	1,113	2,497	3,610
10	1,340	58	1,398	(162)	1,236	2,774	4,010
11	1,474	64	1,538	(178)	1,360	3,052	4,412
12+	1,608	70	1,678	(194)	1,484	3,329	4,813



# ANNUAL FEE HISTORY

## COLUMBUS CAMPUS RESIDENT FEES

		FY 1994	FY 2000	FY 2001	FY 2002	FY 2003	FY 2004	10 Year Average Increase
<b>Undergraduate:</b>	(1)							
Tier 1	(2)	2,940	4,110	4,356	4,761	5,190	5,991	7.4%
Tier 2	(2)	2,940	4,110	4,356	4,761	5,664	6,540	8.3%
Tier 3	(2)	2,940	4,110	4,356	4,761	5,664	6,624	8.5%
<b>Graduate Programs:</b>	(1)							
Masters & PhD		4,266	5,730	6,021	6,306	6,612	7,206	5.4%
MLHR	(4)	4,266	5,730	6,021	6,843	7,176	7,575	5.9%
MBA	(4)	4,266	6,744	9,024	11,334	12,891	13,635	12.3%
EMBA	(3)	NA	NA	26,076	27,402	29,331	31,749	NA
Master of Accounting	(3)	NA	NA	15,036	15,810	16,590	17,556	NA
Health Administration	(4)	4,266	5,730	6,021	6,306	8,100	8,556	7.2%
Public Health MPH	(4)	4,266	5,730	6,021	6,306	6,909	7,620	6.0%
Public Health PEP	(4)	4,266	5,730	6,021	6,306	7,029	7,620	6.0%
Master of Physical Therapy	(3)	NA	NA	NA	6,561	7,191	7,866	NA
Master of Occupational Therapy	(3)	NA	NA	NA	NA	NA	7,227	NA
<b>Professional:</b>	(1)							
Pharmacy		4,932	6,765	7,107	7,716	8,610	9,591	6.9%
Dentistry		6,810	10,746	11,757	12,840	14,349	16,020	8.9%
Optometry		6,810	9,420	9,897	10,374	11,286	12,369	6.1%
Veterinary Medicine		6,810	10,191	11,049	12,012	13,188	14,589	7.9%
Law		4,932	8,424	9,984	10,826	11,880	13,024	10.2%
Medicine	(5)	8,310	12,744	13,821	15,168	17,412	19,278	8.8%

- (1) Full time fees for undergraduates are 12+ hours & for Graduates and Professional programs are 10+ hours. Fee numbers do not include other mandatory fees such as the \$27 COTA fees or the \$45 Student Activity Fee charged only on new students.
- (2) Tier 1 students were first enrolled before Summer 2002.  
Tier 2 students were first enrolled between Summer 2002 and Spring 2003.  
Tier 3 students were first enrolled after Spring 2003.
- (3) These are new degree granting programs.
- (4) These are graduate programs that once charged the graduate fee but now have differential fees.
- (5) Medicine Level 1 fees. Medicine will offer tuition credits to students in levels 2, 3 & 4 bringing their effective instructional fee lower than level 1 by 0.9% for level 2, 4.5% for level 3 and 5.2% for level 4.

## SUMMARY OF TYPICAL STUDENT FEES

### COLUMBUS CAMPUS UNDERGRADUATES

TIER 1 STUDENTS First Enrolled Prior to Summer 2002				TIER 3 STUDENTS First Enrolled After Spring 2003			
2002-03 Fees	2003-04 Fees	Dollar Change	Percent Change	2002-03 Fees	2003-04 Fees	Dollar Change	Percent Change

#### **RESIDENT FEES**

Instructional & General  
Student Activity Fee  
Room & Board  
Text Books/Supplies

Subtotal

Health Insurance  
Parking & Bus Pass  
COTA Pass  
Football Tickets  
Basketball Tickets

Total

(1)	5,190	5,991	801	15.4%	5,664	6,579	915	16.2%
(2)	0	0	0		0	45	45	
(3)	6,198	6,429	231	3.7%	6,198	6,429	231	3.7%
(4)	979	1,008	29	3.0%	979	1,008	29	3.0%
	12,367	13,428	1,061	8.6%	12,841	14,061	1,220	9.5%
(5)	861	1,065	204	23.7%	861	1,065	204	23.7%
(6)	163	172	9	5.5%	163	172	9	5.5%
	27	27	0	0.0%	27	27	0	0.0%
(7)	105	110	5	4.8%	105	110	5	4.8%
(7)	64	64	0	0.0%	64	64	0	0.0%
	13,587	14,866	1,279	9.4%	14,061	15,499	1,438	10.2%

#### **NON-RESIDENT FEES**

Instructional & General  
Non-Resident Tuition  
Student Activity Fee  
Room & Board  
Text Books/Supplies

Subtotal

Health Insurance  
Parking & Bus Pass  
COTA Pass  
Football Tickets  
Basketball Tickets

Total

(1)	5,190	5,991	801	15.4%	5,664	6,579	915	16.2%
	9,423	9,987	564	6.0%	9,423	9,987	564	6.0%
(2)	0	0	0		0	45	45	
(3)	6,198	6,429	231	3.7%	6,198	6,429	231	3.7%
(4)	979	1,008	29	3.0%	979	1,008	29	3.0%
	21,790	23,415	1,625	7.5%	22,264	24,048	1,784	8.0%
(5)	861	1,065	204	23.7%	861	1,065	204	23.7%
(6)	163	172	9	5.5%	163	172	9	5.5%
	27	27	0	0.0%	27	27	0	0.0%
(7)	105	110	5	4.8%	105	110	5	4.8%
(7)	64	64	0	0.0%	64	64	0	0.0%
	23,010	24,853	1,843	8.0%	23,484	25,486	2,002	8.5%

- (1) Increases are equal to 12.9% above the 2003 Academic Year average per the State budget language.
- (2) The new Student Activity Fee applies only to new students and is within the fee cap.
- (3) Undergraduate - Weighted average room rate +10 meal plan.
- (4) Estimated costs and inflationary increase per OSU Bookstore.
- (5) Student insurance is based on the single student comprehensive rate.
- (6) Using main campus rate.
- (7) Five football and eight basketball games.

# DETAIL OF SELECTED RATES

## OTHER STUDENT FEES

FY 2004

Description	Timing	Rate	Percent Change
-------------	--------	------	----------------

Housing, Food Service and Event Centers			
Undergraduate (Weighted average room rates + 10 meals/w	Quarter	2,143.00	3.7%
Graduate (Single + Phone)	Month	382.00	4.9%
Family Student Housing (2 bedroom apartment)	Month	630.00	5.0%
Board Only (10 meals/week)	Quarter	850.00	1.8%

Parking & Transportation			
Parking, 4-Wheel Vehicle (Main Campus)	Annual	172.00	5.2%
Parking, 4-Wheel Vehicle (West Campus)	Annual	61.20	47.8%
COTA Bus Pass	Quarter	9.00	0.0%

Recreational Fees			
Football Tickets	Per Game	22.00	4.8%
Basketball Tickets	Per Game	8.00 - 12.00	0.0%
Golf Course Membership	Annual	550.00	0.0%
Golf Course Greens Fees	Per Round	14.00 - 18.00	0.0%
Larkins Locker Fee	Quarter	13.00	0.0%

Student Health Insurance			
Student	Quarter	355.00	24%
Student & Spouse	Quarter	911.00	24%
Student & Children	Quarter	917.00	24%
Student, Spouse & Children	Quarter	1,229.00	24%
Law Students	Semester	532.00-1,843.00	24%
Early Arriving Students	Month	119.00-410.00	24%

Equipment Fees			
Optometry Equipment	One Time	340.00	0.0%
Dental Clinical Education Support Fee	Quarter	983.00	9.0%
Dental Hygiene Clinical Education Support Fee	Quarter	378.00	8.9%

Clinic Fees			
Dental Hygiene EFDA Fee (Continuing Students)	Quarter	127.00	8.5%
Nursing Clinical Fee (Undergraduate)	Quarter	154.00	2.7% (1)
Nursing Clinical Fee (Graduate)	Annual	612.00 - 762.00	1.6%-2.0% (1)

Learning Technology Fees			
College of Arts (Undergraduate & Graduate)	Quarter	50.00	16.3%
College of Business (Undergraduate)	Quarter	97.00	14.1%
College of Business (Graduate)	Quarter	138.00	15.0%
College of Engineering (Undergraduate)	Quarter	110.00	0.0%
College of Engineering (Graduate)	Quarter	120.00	0.0%
College of Nursing (Undergraduate & Graduate)	Quarter	50.00	16.3%
Department of CIS in Math & Phys Sci (Undergraduate)	Quarter	73.00	0.0%
Department of CIS in Math & Phys Sci (Graduate)	Quarter	80.00	0.0%
School of Public Policy & Management (Graduate)	Quarter	120.00	0.0%

**DETAIL OF SELECTED RATES**  
**OTHER STUDENT FEES**  
FY 2004

Description	Timing	Rate	Percent Change
<b>Other Student Fees</b>			
Application Fees-International (All but Medicine)	One Time	50.00	25.0%
Application Fees-Domestic (All but Medicine)	One Time	40.00	33.3%
Application Fees-Medicine International	One Time	70.00	75.0%
Application Fees-Medicine Domestic	One Time	50.00	66.7%
Acceptance Fees-Undergraduate	One Time	100.00	42.9%
Acceptance Fees-Graduate	N/A	N/A	N/A
Acceptance Fees-Professional	One Time	25.00	0.0%
Transcript Fees	Each Reques	7.00	40.0%
Orientation-Attend Summer Quarter	One Time	50.00	New
Orientation-Attend Other Quarters	One Time	25.00	New

**FACULTY, STAFF & DEPARTMENTAL FEES**

<b>Health Insurance</b>			
<b>Prime Care Plan</b>			
Individual	Month	48.86	18.7%
Family	Month	152.68	18.7%
<b>OSUHP Plan</b>			
Individual	Month	172.23	3.7%
Family	Month	538.22	3.7%
<b>Traditional Plan</b>			
Individual	Month	172.33	3.8%
Family	Month	538.22	3.7%
<b>Buckeye Plan</b>			
Individual	Month	23.28	40.0%
Family	Month	72.75	40.0%

<b>Parking &amp; Transportation</b>			
Parking, 4-Wheel Vehicle A Decal (Main Campus)	Annual	498.00	5.1%
Parking, 4-Wheel Vehicle B Decal (Main Campus)	Annual	259.20	4.1%

<b>Recreational Fees</b>			
Football Tickets	Per Game	37.00	2.8%
Basketball Tickets	Per Game	15.00 - 20.50	0.0%
Golf Course Membership	Annual	1,625.00	1.6%
Golf Course Greens Fees	Per Round	23.00 - 30.00	0.0%

<b>Departmental Rates</b>			
Basic Telephone Service	Month	18.65	-6.8%
University Health System	Various	Various	11.6%

# SUMMARY OF RESIDENT STUDENT FEES

## BIG TEN PUBLIC INSTITUTIONS

### FOR FY 2003 AND FY 2004

		UNDERGRADUATE FEES NEW STUDENTS		
		FY 2003 Fees	FY 2004 Fees	1 Year % Change
1	Penn State	8,585	9,296	8.3%
2	Michigan	7,485	7,975	6.5%
3	Minnesota	6,280	7,116	13.3%
4	Michigan State	6,412	7,044	9.9%
5	Illinois	6,704	7,010	4.6%
6	Ohio State	5,664	6,624	16.9%
7	Indiana	5,315	6,517	22.6%
8	Purdue	5,580	5,860	5.0%
9	Wisconsin*	4,426	5,139	16.1%
10	Iowa	4,191	4,993	19.1%
Average		6,064	6,757	12.2%

- Notes: 1) Tuition is for new students entering Autumn Quarter 2003.  
 2) FY 2003 data is from AAUDE Data Exchange except for Michigan which was provided by the campus representative.  
 3) FY 2004 data is from phone survey of campuses.  
 4) OSU tuition excluded \$27 COTA pass through fee.

**SUMMARY OF AUXILIARY OPERATIONS**  
**FISCAL YEAR 2004**  
 IN THOUSANDS

Resources				Expenditures & Transfers				Net Income
Auxiliary	General Funds	Restricted	Total Budget	Expenditures	Debt Service	Other Transfers	Total Budget	

**COLUMBUS CAMPUS**

**Housing, Food Services**

and Event Centers (1)

**Intercollegiate Athletics**

**Bookstores** (2)

**Transportation &** (3)

Parking Services

**University Airport**

**Real Estate & Prop Mgt**

**Subtotal Columbus**

101,354	1,929		103,283	85,442	14,025	2,881	102,348	935
75,435		8,050	83,485	65,157	16,126	2,141	83,424	61
1,806			1,806	1,718			1,718	88
18,968			18,968	14,185	4,115	668	18,968	0
5,850			5,850	5,086	209	40	5,335	515
807			807	769			769	38
204,220	1,929	8,050	214,199	172,357	34,475	5,730	212,562	1,637

**MANSFIELD CAMPUS**

**Bookstore**

32			32	32			32	0
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**NEWARK CAMPUS**

**Parking Facility**

53			53	51			51	2
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**GRAND TOTAL**

204,305	1,929	8,050	214,284	172,440	34,475	5,730	212,645	1,639
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(1) Includes Student Housing & Food Service, The Fawcett Center, Student Unions, ATI Dorm, Newark Housing, the Schottenstein and the Blackwell.

(2) Consists primarily of the Medical Bookstore. The Main Bookstore and Regional Bookstores have been privatized.

(3) Resources reflect "Parking Surcharge revenue allocated to debt-service

# HOUSING, FOOD SERVICES AND EVENT CENTERS

(IN THOUSANDS)

		FY 2003 Budget	FY 2004 Budget	Dollar Change	Percent Change
<b>RESOURCES</b>					
Food Service	(1)	16,936	26,652	9,716	57.4%
Housing	(2)	39,084	46,711	7,627	19.5%
Fawcett Center	(3)	2,861	3,357	496	17.3%
ATI Residence Hall		1,763	1,634	(129)	-7.3%
Newark Housing	(4)	517	809	292	56.5%
Ohio Union		1,195	1,188	(7)	-0.6%
Blackwell	(4)	6,546	8,971	2,425	37.0%
Schottenstein Center	(5)	10,159	7,437	(2,722)	-26.8%
Other	(6)	5,776	4,595	(1,181)	-20.4%
General Funds Support		1,888	1,929	41	2.2%
Subtotal Resources		86,725	103,283	16,558	19.1%
<b>EXPENDITURES &amp; TRANSFERS</b>					
Personnel	(7)	25,981	32,689	6,708	25.8%
Benefits		6,986	8,861	1,875	26.8%
Supplies & Services		30,427	35,891	5,464	18.0%
Equipment		1,951	3,097	1,146	58.7%
University Overhead		3,956	4,904	948	24.0%
Debt Service		10,997	14,025	3,028	27.5%
Other Transfers	(8)	5,951	2,881	(3,070)	-51.6%
Subtotal Expenditures & Transfers		86,249	102,348	16,099	18.7%
<b>NET INCOME</b>		476	935	459	96.4%

- (1) Increase due to change in board plans, inflation, increased number of residents hall students and expansion of food service.
- (2) Increase due to new housing at Neil & 10th, rate increases, off campus acquisitions and conversion of doubles to quads.
- (3) Revenue spaces out of operation for portion of FY 2003 due to building transition.
- (4) New operations in FY 2003. FY 2004 is their first full year of operation.
- (5) FY 2003 budget restated to include the Schottenstein Center. Budget is net of artist fees of \$10.3M in FY 2003 and \$7.8M in FY 2004. FY 2004 revenues and expenses are lower due to fewer planned concerts.
- (6) Includes Success, Center, Buck ID, Summer Conferences, Oxley's and Drake. Some operations moved to food service and housing.
- (7) FY 2003 budget restated to include the Schottenstein Center. FY 2004 increases proportional to revenue increases.
- (8) Due to increased FCOB support of the Blackwell. Remaining decreases due to adjustments within Student Affairs.

# INTERCOLLEGIATE ATHLETICS

(IN THOUSANDS)

		FY 2003 Budget	FY 2004 Budget	Dollar Change	Percent Change
<b><u>RESOURCES</u></b>					
Auxiliary					
Football		26,580	27,155	575	2.2%
Stadium Revenue	(1)	15,010	15,515	505	3.4%
Men's Basketball		11,628	12,196	568	4.9%
Other Sports		1,260	1,265	5	0.4%
Golf Course		2,947	3,055	108	3.7%
Other		13,760	16,249	2,489	18.1%
Subtotal Auxiliary		71,185	75,435	4,250	6.0%
Restricted					
Fund Raising		7,850	8,050	200	2.5%
Total Resources		79,035	83,485	4,450	5.6%
<b><u>EXPENDITURES &amp; TRANSFERS</u></b>					
Auxiliary					
Personnel		19,184	21,416	2,232	11.6%
Benefits		4,618	5,194	576	12.5%
Supplies & Services		21,014	22,833	1,819	8.7%
Equipment		1,080	806	(274)	-25.4%
Schottenstein Rent		1,572	1,643	71	4.5%
University Overhead		3,216	3,801	585	18.2%
Athletics Grants-In-Aid	(2)	765	1,414	649	84.8%
Debt Service		16,127	16,126	(1)	0.0%
Other Transfers		3,550	2,141	(1,409)	-39.7%
Subtotal Auxiliary		71,126	75,374	4,248	6.0%
Restricted					
Athletics Grants-In-Aid	(2)	7,850	8,050	200	2.5%
Debt Service		0	0	0	
Subtotal Restricted		7,850	8,050	200	2.5%
Total Expenditures & Transfers		78,976	83,424	4,448	5.6%
<b><u>NET INCOME</u></b>		59	61	2	

(1) Consists of ticket surcharge, club seats, suite rental and scoreboard revenue.

(2) Athletics Grants-In-Aid must be supplemented by Auxiliary funds.



**THE OHIO STATE UNIVERSITY HEALTH SYSTEM \***  
(IN THOUSANDS)

	FY 2003 Budget	FY 2004 Budget	Dollar Change	Percent Change
<b><u>RESOURCES</u></b>				
Auxiliary				
Inpatient Revenue (Net)	520,895	582,596	61,701	11.8%
Outpatient Revenue (Net)	264,839	270,775	5,936	2.2%
Other	42,783	38,010	(4,773)	-11.2%
Subtotal Auxiliary	828,517	891,381	62,864	7.6%
Restricted				
State Appropriation	6,100	2,635	(3,465)	-56.8%
Other	3,400	3,400	0	
Subtotal Restricted	9,500	6,035	(3,465)	-36.5%
Total Resources	838,017	897,416	59,399	7.1%
<b><u>EXPENDITURES &amp; TRANSFERS</u></b>				
Auxiliary				
Patient Services	535,916	574,063	38,147	7.1%
Student Education	18,582	22,811	4,229	22.8%
Research	2,477	2,510	33	1.3%
Administration	188,738	206,614	17,876	9.5%
Plant, Operation & Maintenance	32,926	35,823	2,897	8.8%
Transfers To Plant (Net)	43,487	40,490	(2,997)	-6.9%
Subtotal Auxiliary	822,126	882,311	60,185	7.3%
Restricted	9,500	6,035	(3,465)	-36.5%
Total Expenditures & Transfers	831,626	888,346	56,720	6.8%
<b><u>NET INCOME</u></b>	6,391	9,070	2,679	41.9%

\* Includes University Hospitals, James Cancer Hospital & Solove Research Institute, University Hospital East, Harding Hospital, and the Hospital Network.

**STATE SUPPORT AND STUDENT FEES**  
**TEN YEAR TREND**  
**COLUMBUS CAMPUS**  
**(IN THOUSANDS)**

Fiscal Year	State Support (1)			Student Fees (2)		
	Amount	Dollar Change	Percent Change	Amount	Dollar Change	Percent Change
1994	254,355	7,710	3.1%	216,202	7,857	3.8%
1995	267,000	12,645	5.0%	228,170	11,968	5.5%
1996	275,834	8,834	3.3%	241,051	12,881	5.6%
1997	287,565	11,731	4.3%	253,512	12,461	5.2%
1998	303,057	15,492	5.4%	269,094	15,582	6.1%
1999	311,787	8,730	2.9%	286,218	17,124	6.4%
2000	324,623	12,836	4.1%	306,757	20,539	7.2%
2001	337,754	13,131	4.0%	329,059	22,302	7.3%
2002	322,683	(15,071)	-4.5%	363,150	34,091	10.4%
2003	316,848	(5,835)	-1.8%	410,465	47,315	13.0%
2004	318,576	1,728	0.5%	463,855	53,390	13.0%
Average Increase			2.3%	7.9%		

- (1) State Support includes State Share of Instruction, Research Challenge and Success Challenge funds.
- (2) Student Fees include Instructional, General and Non-Resident Fees.

# HEADCOUNT ENROLLMENTS

## AUTUMN QUARTER, 1994-2004

Year	<u>Columbus Campus</u>							
	Undergrad	Graduate	Law	Dentistry	Medicine	Optometry	Pharmacy	Veterinary Medicine
1993	37,062	10,934	665	359	856	241	19	508
1994	36,165	10,735	654	354	850	242	24	518
1995	35,475	10,495	678	360	863	249	36	521
1996	35,485	10,149	683	371	848	249	44	523
1997	35,647	9,907	666	380	855	247	41	535
1998	36,252	9,538	634	375	842	247	94	529
1999	36,092	9,153	628	372	832	248	147	531
2000	35,749	9,382	643	379	832	245	195	527
2001	36,049	9,452	655	395	844	245	300	537
2002	36,855	9,761	673	400	826	244	380	537
E 2003	37,269	9,781	660	417	826	245	468	541

Graduate includes: Masters, PhD, MBA, EMBA, MAcc, MLHR, MPT, MHA, MPH, OPT and PEP

Year	<u>Summary By Campus</u>						
	Columbus	Lima	Mansfield	Marion	Newark	ATI	Grand Total
1993	50,644	1,348	1,412	1,046	1,675	713	56,838
1994	49,542	1,232	1,504	1,209	1,560	740	55,787
1995	48,677	1,244	1,359	1,171	1,548	783	54,782
1996	48,352	1,281	1,343	1,312	1,611	827	54,726
1997	48,278	1,374	1,460	1,105	1,676	925	54,818
1998	48,511	1,321	1,517	1,141	1,778	965	55,233
1999	48,003	1,323	1,573	1,176	1,883	1,031	54,989
2000	47,952	1,238	1,583	1,276	2,025	969	55,043
2001	48,477	1,356	1,495	1,390	2,079	940	55,737
2002	49,676	1,412	1,513	1,534	2,229	902	57,266
E 2003	50,207	1,450	1,589	1,677	2,307	900	58,130



**FUNDING FOR UNIVERSITY DEVELOPMENT  
BACKGROUND INFORMATION**

Background and Issues Regarding the Funding of University Development

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- General Fund resources, as a method of funding University Development operations, were replaced in FY2001 by a mix of endowment earnings, short-term interest on non-endowment gifts and unrestricted gift income.
- Poor equity markets resulting in negative earnings and growth for the past three years have dramatically affected the level of resources available to support University Development.
- The shortfall (budget request vs resources available) for FY04 was projected to be \$3.6 million prompting discussion among deans and other university officials resulting in...
  - An adjustment for FY04 as outlined in the resolution for approval by the Board of Trustees.
  - Agreement to study other measures to ensure more funding stability for FY05 and beyond.
- The 1.33% charge against all endowments using a five-year rolling average rather than a three-year is expected to generate an additional \$1.4 million for FY04.
- Holding current use gifts for 180 days rather than 90 days should produce an additional \$475,000 in interest income.
- Delaying the distribution of income on all new endowments for the first six months will produce about \$750,000 of additional resources.
- The proposed model for FY04 will generate about \$16.7 million. This still leaves a shortfall of \$0.75 million from the original budget request of \$17.45 million. University Development will cover the shortfall by budget cuts and/or spending delays.



<u>Category</u>	<u>Total</u>	<u>Sole Source</u>	<u>Emergency</u>	<u>Sufficient Economic Reason</u>
Hospitals Merchandise for Resale	\$93,000	1	\$93,000	1 0 \$0 0
Hospitals - Professional Health Care Services	\$1,689,630	6	\$439,630	4 0 \$0 0 \$1,250,000 2
Hospitals - Equipment and Services	\$6,158,196	33	\$3,979,029	24 \$270,000 2 \$1,909,167 7
Merchandise For Resale	\$11,104,751	19	\$11,104,751	19 \$0 0 \$0 0
Instructional and Research Equipment and Services	\$3,752,254	28	\$1,904,975	11 \$79,175 2 \$1,768,104 15
Administrative Support Equipment and Services	\$5,304,073	51	\$3,276,513	24 \$683,695 10 \$1,343,865 17
<b>TOTAL</b>	<b>\$28,101,904</b>	<b>138</b>	<b>\$20,797,898</b>	<b>83 \$1,032,870 14 \$6,271,136 41</b>

(APPENDIX XIII)





## AUTHORIZATION FOR CAPITAL PROJECTS

Project	Approximate Amount (in millions)	Requested Action
Doan Hall - Trash Compactor Area	\$0.40 - \$0.50	Enter into design contracts
School of Music - Feasibility Study	\$0.25	Enter into design contracts
Neil Avenue Corridor/Twelfth Avenue Improvements	\$2.50 - \$2.75	Enter into design contracts
North Hot Water Heating Loop	\$2.50	Enter into design contracts
<b>Subtotal for Design Contracts</b>	<b>\$5.65 - \$6.00</b>	
Airport Pavement Rehabilitation/Extension Phase I	\$1.70	Enter into construction contracts
Drake Union Pedestrian Bridge	\$0.44	Enter into construction contracts
Football Practice Field #4 - Turf Installation	\$0.60	Enter into construction contracts
OARDC - Boiler Replacement	\$1.84	Enter into construction contracts
Psychology Building	\$35.00	Enter into construction contracts
<b>Subtotal for Construction Contracts</b>	<b>\$39.58</b>	
<b>Total for all Contracts</b>	<b>\$45.23 - \$45.58</b>	

## DOAN HALL TRASH COMPACTOR ENCLOSURE

### Conceptual and Planning Information

This document establishes general planning parameters to facilitate Board of Trustees decision making regarding the hiring of an architect/engineer for planning and design work. This information is very preliminary until design is completed and the project is brought back to the Board for construction authorization. At that time, a more detailed cost estimate and schedule will be provided.

Sponsoring/Requesting Unit(s):

*OSUMC University Hospitals*

How does this project advance the Academic Plan?

*By updating the waste disposal at the Hospitals to ensure proper patient care.*

Nature of the Project (e.g., number of buildings, new construction or renovation, estimated GSF, etc.; uses of space; release or reuse of any current space):

*It is an approximately 1,000 square foot addition in the location of the existing trash compactor.*

Preliminary Cost Estimate (range):

*\$400 - \$500K*

Proposed Funding Source(s):

*OSUMC funding*

Outstanding Funding Issues:

*None*

Timing Issues:

*Medical Center would like to occupy the space by the end of the year, if possible.  
Schedule still to be determined.*

"Ripple effects" of the project:

*Removes some dock parking.*

Special limitations/risks:

*None.*

**Note: These preliminary estimates are subject to change prior to submission of this project to the Board for construction authorization.**

## NEIL AVENUE CORRIDOR/TWELFTH AVENUE IMPROVEMENTS

### Conceptual and Planning Information

This document establishes general planning parameters to facilitate Board of Trustees decision making regarding the hiring of an architect/engineer for planning and design work. This information is very preliminary until design is completed and the project is brought back to the Board for construction authorization. At that time, a more detailed cost estimate and schedule will be provided.

Sponsoring/Requesting Unit(s):

*Physical Facilities*

How does this project advance the Academic Plan?

*Enhances the appearance of campus facilities and grounds; addresses deferred maintenance of our roadway system; and improves pedestrian and vehicular safety.*

Nature of the Project (e.g., number of buildings, new construction or renovation, estimated GSF, etc.; uses of space; release or reuse of any current space):

*The intent is to improve vehicular and pedestrian circulation along Neil Avenue and West 12<sup>th</sup> Avenue in anticipation of the opening of the southbound State Route 315 ramps (approximately August 2004) and the new parking garage to be located west of the new Psychology Building.*

Preliminary Cost Estimate (range):

*\$2,500,000 to \$2,750,000*

Proposed Funding Source(s):

*Future University bond proceeds and HB 675*

Outstanding Funding Issues:

*Need agreement on how to fund debt service.*

Timing Issues:

*The intent is to have this work completed by the time the southbound State Route 315 ramps are complete, approximately August 2004.*

"Ripple effects" of the project:

*Additional disruption of circulation patterns of students and faculty.*

Special limitations/risks:

*There are a large number of projects underway or set to begin within the next year in this area – the new Larkins replacement recreation center, Neil Avenue garage, Psychology building, Jennings Hall renovation, Comprehensive Cancer Center project, Biomedical Research Tower, and the McCracken duct bank expansion. Very close coordination will be needed between the work on 12<sup>th</sup> and Neil Avenues and planning and construction of these other projects so that conflicts and the impact on all projects are minimized.*

**Note: These preliminary estimates are subject to change prior to submission of this project to the Board for construction authorization.**

## NORTH HOT WATER HEATING LOOP REPLACEMENT

### Conceptual and Planning Information

This document establishes general planning parameters to facilitate Board of Trustees decision making regarding the hiring of an architect/engineer for planning and design work. This information is very preliminary until design is completed and the project is brought back to the Board for construction authorization. At that time, a more detailed cost estimate and schedule will be provided.

Sponsoring/Requesting Units(s):  
*Office of Business and Finance*

How does this project advance the Academic Plan?  
*North Hot Water Heating Loop, installed in 1928 has surpassed its economic life. This project will convert the heating system in 23 buildings to local heating hot water production enabling the individual buildings to meet the specific academic needs and reducing energy losses from the existing heating hot water distribution lines.*

Nature of the Project (e.g. number of buildings, new construction or renovation, estimated GSF, etc.; use of space; release or reuse of any current space):  
*Replacement of existing McCracken North Hot Water Heating Loop with individual heating stations in 23 buildings utilizing the existing high-pressure steam distribution system.*

Preliminary Cost Estimate:  
*\$2,500,000*

Proposed Funding Source:  
*Future University bond proceeds*

Outstanding Funding Issues:  
*none*

Timing Issues:  
*Design Services Completion – February, 2004*  
*Construction Completion – August, 2006*

“Ripple effects” of the project:  
*none*

Special limitations/risks:  
*none*

**Note: These preliminary estimates are subject to change prior to submission of this project to the Board for construction authorization.**

## MUSIC FEASIBILITY STUDY

### Conceptual and Planning Information

This document establishes general planning parameters to facilitate Board of Trustees decision making regarding the hiring of an architect/engineer for planning and design work. This information is very preliminary until design is completed and the project is brought back to the Board for construction authorization. At that time, a more detailed cost estimate and schedule will be provided.

Sponsoring/Requesting Unit(s):  
*The College of the Arts*

How does this project advance the Academic Plan?  
*The Study investigates the needs of the School of Music so informed decision making can occur in the future about facilities to support Music's academic mission.*

Nature of the Project (e.g., number of buildings, new construction or renovation, estimated GSF, etc.; uses of space; release or reuse of any current space):  
*The project is a feasibility study to analyze the Schools existing facilities, the current and projected physical requirements of the School, and the possible physical and economic impact of fulfilling these requirements.*

Preliminary Cost Estimate (range):  
*For the study only - \$250,000*

Proposed Funding Source(s):  
*For the study only – State funding.*

Outstanding Funding Issues:  
*None.*

Timing Issues:  
*None.*

“Ripple effects” of the project:  
*None.*

Special limitations/risks:  
*None.*

**Note: These preliminary estimates are subject to change prior to submission of this project to the Board for construction authorization.**





Requesting Agency(s): PHYSICAL FACILITIES

Location(s): FACULTY CLUB

Gross Sq. Ft.32,717 Age: 1940

Description: Phase 2 of 3 - to provide the following needed renovations at bldg #028 Faculty Club. Each phase will be set up as a separate project per T.Stankiewicz.

1 - FY 2001 Roof Replacement #5668  
\$170,000.00 - \$200,000.00

2 - FY 2002 Window Replacement #2846  
\$160,000.00 - \$190,000.00

3 - FY 2003 Tuck Pointing #2865  
\$50,000.00

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Project Team:

Facility Planner: Is Unassigned

Project Captain: Brett Garrett

Project Assistant: Lisa Baldwin  
(baldwin.10@osu.edu)

Field Coordinator: Colin McBride  
(mcbride.62@osu.edu)

Project Information:

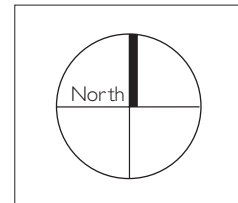
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Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
UNRESTRICTED GIFT	\$190,000.00	\$241,402.16				
MONIES			Total:			
Total:	\$190,000.00	\$241,402.16				





## Faculty Club - Window Replacement



Office of Business and Finance  
Office of Facilities Planning and Development

September 2, 2003

**The Ohio State University Board of Trustees  
Fiscal Affairs Committee  
September 5, 2003**

**TOPIC:**

Capital Recommendations

**CONTEXT:**

This is a follow-up to the preliminary recommendations presented to the Fiscal Affairs Committee at the July 11, 2003 Board meeting. Those preliminary recommendations have been vetted internally and modified to reflect the revised control totals issued by the Ohio Board of Regents.

**RECOMMENDATIONS:**

Since submitting our preliminary recommendations on July 11, we received word from the Ohio Board of Regents that the control total for the Columbus Campus would be no more than \$71 million. Therefore we have adjusted the preliminary recommendations to fund those items of highest priority. Under these revised recommendations state funds would be requested for the following (in priority order):

Existing Commitments:

Main Library	\$10.0M
Mechanical Engineering	\$29.0M
Psychology	\$17.0M
Student Rec Center	<u>\$ 8.0M</u>
	\$64.0M

New Projects:

Cancer Ctr. Expansion	\$ 2.5M
Smith Lab Renv.	\$ 3.5M
Brown Hall Renv.	<u>\$ 1.0M</u>
	\$ 7.0M

Total	\$71.0M
-------	---------

More detailed information on these projects, as well as non-state funded projects and the implications of these recommendations, are included in the attached memo.

**ISSUES:**

- Are these recommendations consistent with the Academic Plan?
- What will the impact be on deferred maintenance?
- Is the University's debt capacity sufficient to fund the non-state funded totals?
- What are the long-term implications of state support levels?
- What will the impact be for future biennia if these are approved?

**ACTION REQUESTED OF FISCAL AFFAIRS COMMITTEE:**

Approval

**Subject:** FY 2005 - FY 2006 Capital Request

**Date:** August 26, 2003

**From:** Barbara Snyder  
William Shkurti

**To:** Karen A. Holbrook

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This memorandum represents our final recommendations regarding capital projects for the FY 2005 - FY 2006 biennium. It reflects the outcome of campus-wide consultations over the last two months.

The major change between this memo and the preliminary recommendations is to reduce the request for state capital dollars to reflect revised control totals passed down from the Ohio Board of Regents. These require a reduction of \$5 million from our preliminary request of \$76 million. How we propose to do this is described in greater detail in the Recommendations section of this memo.

We have divided this memorandum into five parts. Part I describes the process and criteria used to make our decisions, Part II presents our recommendations, Part III lists capital projects that are not state funded and Part IV discusses the implications of our recommendations. Additional materials are provided in an appendix, Part V of this document.

## **I. Process and Project Criteria**

An intensive information gathering and review process began nearly a year ago with a Request for Submission memo sent to all Deans and Vice Presidents on May 10, 2002. Thirty-one major project requests totaling \$886 million were received by the deadline. With the advice of the Space Facilities Committee and the appropriate Vice Presidents, projects were selected for additional review for possible inclusion in the FY 2005-2006 state capital biennial request. Information hearings with units requesting project funding were held during January and February 2003.

The State of Ohio has not yet decided how much will be allocated to each institution for the 2005-2006 biennium. However, the Board of Regents estimated that OSU's share of the next capital bill could be between \$60 and \$71 million for building projects on the Columbus Campus. Consequently, the dollar amounts recommended for individual projects may still be subject to change, even though the priority rankings will remain the same. Basic renovation projects of \$1.5 million or less are not included as part of this particular document because they are funded by a separate line item.

In order to rank projects for inclusion in our final request, each project was evaluated based on its relationship to the Academic Plan, physical need, financial feasibility, and physical feasibility. The financial and physical feasibility components were included because, based on the other requirements alone, our priority projects would greatly exceed our expected appropriations. In addition, the impact of facilities on operating costs was incorporated into our discussions and the capital guidelines clearly stated that central funds would not, as a rule, pay operating costs for additional space (Attachment A). These recommendations are based on assumptions about program needs. If those needs change, the University reserves the right, after consultation with the parties involved, to reallocate or reassign that space.

In making these recommendations to you, we, along with representatives from the Offices of Academic Affairs, Business and Finance, Research, Health Services, Student Affairs, Development, and representatives of the Senate Fiscal Committee and the Senate Committee on the Physical Environment evaluated the information submitted by the units with respect to

established criteria. However, the following criteria are not intended to provide a mathematical solution to a very complex set of issues:

Academic Priority

- Relation to University mission and the Academic Plan
- Program excellence
- Student demand
- Research productivity
- Opportunities for interdisciplinary collaboration

Physical Need

- Condition of existing space
- Unmet health and safety requirements

Financial Feasibility

- Likelihood of State support
- Cost sharing by requesting unit
- Net impact on operating costs

Physical Feasibility and Other Considerations

- Previous commitments
- Physical feasibility, including timing or staging issues
- Impact on parking
- Impact on other needs

An important element in this year's planning process is the Academic Plan. Alignment of the objectives of the project with the goals of the Academic Plan was an overriding factor in the Space Facilities Committee's recommendations. As always, the number of worthy projects exceeds the funds available. The Academic Plan provides an additional context by which to establish academic priorities. The six major strategies identified in the Academic Plan are:

1. Build a world-class faculty
2. Develop academic programs that define Ohio State as the nation's leading public land-grant university
3. Improve the quality of the teaching and learning environment
4. Enhance and better serve the student body
5. Create a more diverse University community
6. Help build Ohio's future

Several of these strategies call for a supportive physical environment. The growing number of existing buildings requiring renovation or replacement is a threat to achieving our goals. So, in response to the Academic Plan, we are reserving scarce state dollars to support renovation or replacement of academic buildings, particularly where a deferred maintenance problem is involved. In addition, \$750,000 has been set aside in each of the next two years from central funds to pay the General Fund share of parking replacement under the parking replacement policy in order to ensure continued access to the campus by students, faculty, staff and visitors.

## II. Recommendations

Our recommendations are grouped into four categories:

- |              |   |
|--------------|---|
| Group One:   | University commitments involving state capital dollars  |
| Group Two:   | University commitments involving non-state dollars  |
| Group Three: | Projects with high priority for the FY 2005-2006 biennium   |
| Group Four:  | Projects that address an academic or academic support need, but are not recommended for funding for this coming biennium. |

### A. Group One: Existing University Commitments for State Capital Funds

Projects in Group One are those where the University committed state funds in the FY 2003 - FY 2004 capital process. These projects have already received Board approval and in some cases have signed Memoranda of Understanding, including agreement on both the scope and funding of the project. These projects are also consistent with the goals of the Academic Plan.

## **1. Thompson Library Rehabilitation**

The Thompson Library Rehabilitation is one of only two capital construction projects specifically mentioned in the Academic Plan. The Thompson Library is in many ways the intellectual heart of the campus. Although it is in comparatively better shape than some other buildings, it is one of the few buildings bordering the Oval that has yet to be rehabilitated or funded for rehabilitation. Rehabilitation of the Thompson Library was identified as a high priority five years ago in the Library Task Force Report and was approved as a high priority for capital funding as part of the FY 2001 - FY 2002 capital process. \$300,000 in state funding was provided for a feasibility study that was completed in FY 2002. For FY 2003 - FY 2004 we received \$4.2 million in state funds for detailed planning and design.

Our intent would be for construction to commence in FY 2005 and to be completed by no later than FY 2010. The project would not add net square footage and is not expected to create any additional parking demands.

Even with no additional space, rehabilitation of the Thompson Library is expected to cost about \$99 million and will be the largest state funded request for a single project ever undertaken at OSU. \$70 million in state funds are expected to be matched by at least \$30 million in private fund raising. \$10 million in state funds is recommended for the FY 2005 – FY 2006 biennium.

## **2. Mechanical Engineering**

The Department of Mechanical Engineering is one of Ohio State's highly ranked academic departments. This project is critical to the Academic Plan goal of expanding OSU's visibility in sponsored research. Robinson Lab is a grossly outdated facility that ranks as one of the University's worst deferred maintenance problems. In addition, the project includes nearly 18,000 ASF in classroom pool space and hence addresses the Academic Plan goal of improving the teaching and learning environment.

\$23.5 million in state funds have already been committed in the last three state capital bills. In the last capital process we approved a \$72.5 million project of which \$52.5 million will come from state funds and \$20 million from private fund raising.

This project is projected to result in a net increase in space to the Department of Mechanical Engineering of approximately 23,000 ASF. The College of Engineering will cover the Plant, Operating and Maintenance (POM) costs on all ASF above what the Department of Mechanical Engineering is currently assigned.

For the FY 2005 – FY 2006 biennium, we recommend an additional \$29 million in state funds, which would allow completion of this important project.

## **3. Psychology**

Psychology is a selective investment department and one of our top ranked units. The Department has more undergraduate majors than any department and the largest number of honors students of any department at OSU.

This project is critical to the Academic Plan goals regarding sponsored research. The Department has outgrown its current space. \$3 million in planning money was committed in FY 2001 - FY 2002 biennium. An additional \$15 million was provided for FY 2003 – FY 2004. A final \$17 million is recommended in FY 2005 – FY 2006 to complete the project.

When completed, this facility is projected to provide a net increase of approximately 13,800 ASF to Psychology. The College of Social and Behavioral Sciences will cover the additional POM costs on all ASF above what Psychology is currently assigned, most of which is related to sponsored research. This project will remove parking spaces and increase demand for parking in a heavily used area.

#### **4. Student Rec Center**

This \$139 million project was approved in 1998 by the OSU Board of Trustees. It addresses the Academic Plan goals regarding the teaching and learning environment. The state portion of \$36 million pays for the instruction portion only and provides replacement research, teaching, and office space for the School of Physical Activity and Educational Services and classroom pool space. The remainder will be funded by student fees and funds from Athletics.

\$28 million in state funds have already been appropriated in FY 2001 - FY 2002 and FY 2003 – FY 2004 for this project. We recommend the remaining \$8 million in state funds be allocated to complete construction, which is scheduled to be completed by March 2006.

The existing space in Larkins ranks eighth on Physical Facilities' list of the 31 worst deferred maintenance problems.

This project will increase traffic in the area where the new facility will be constructed, but a traffic management plan is in place. A parking garage is part of this project, funded by Transportation & Parking revenues.

#### **B. Group Two: University Commitments Involving Non-state Funds**

In addition to the projects listed above, there are three major multi-year capital projects that deserve special notice, even though they have already received University approval and do not involve additional state capital funds.

##### **Biomedical Research Facility**

At its July 12, 2002 meeting, the OSU Board of Trustees approved a 420,000 GSF Biomedical Research Facility. The first phase of this facility is expected to have a project cost of \$120 million and to be ready for occupancy in calendar year 2006.

Biomedicine is a key element in the Academic Plan. This facility will help alleviate a severe shortage of up-to-date research space in this critical area.

In order to meet the need to move quickly, this project will not rely on state funds. The approved business plan calls for a combination of private gifts and college and university funds, including indirect cost recoveries from sponsored research.

##### **Ross Heart Hospital**

This project was also approved by the OSU Board of Trustees on July 12, 2002. It provides for an \$86 million facility of approximately 200,000 GSF to be completed by mid-2004.

This project received \$2.5 million in state funds in the FY 2001-FY 2002 capital bill. The remainder will be supported by private gifts and patient revenues.

This is primarily a clinical facility that will allow the OSU Medical Center to remain competitive in this critical area. OSU faculty who practice in this building will also play a key role in supporting research efforts in the Biomedical Research Facility and the Heart and Lung Institute.

##### **650 Ackerman**

Formerly known as the ABB Building, this facility was acquired by the University Foundation in December 2002 for \$16.3 million. The existing buildings total 464,000 GSF, of which 191,000 GSF are available for immediate use.

This complex is expected to be self funded through lease rental payments to the University. The principal tenant is expected to be the OSU Medical Center which will use the facilities for both research and office space. Initial occupancy is expected as early as late 2003 for those spaces needing little renovation.

### **C. Group Three: Projects With High Priority For State Funding For FY 2005 – FY 2006**

The four projects listed in section A as previous commitments for state capital funds will require \$64.0 million to either complete or continue in the FY 2005 – FY 2006 biennium. This leaves a maximum of about \$7 million in uncommitted funds. This was known at the time the FY 2003 – FY 2004 capital request was approved, but the University felt that the priorities of the Academic Plan made it clear that renovation of the Library and these other projects needed to proceed in a timely manner.

This means a number of other worthy projects will have to be delayed and/or funded from other sources.

Priority for use of the remaining state funds will be directed to those projects that offer the best opportunities to advance the Academic Plan. This includes two existing buildings, which are soon to be partially or completely vacated, one new project of high priority, and one building overdue for demolition. These are each discussed in turn below.

#### **5. Comprehensive Cancer Center Expansion Project**

The Comprehensive Cancer Center is Ohio State's most productive interdisciplinary research center. In FY 2002 this Center produced nearly \$17 million in external grants or 5.5% of the University total. The volume of grants has increased 115% since FY 1999.

The continued growth of this unit is directly related to the goals of the Academic Plan. However, the ability to achieve its goals is limited by a severe and growing lack of space. This is why addressing this shortage is a high priority for the current capital cycle.

The OSU Medical Center recently commissioned a feasibility study regarding expansion of the James Cancer Hospital and Solove Research Institute to address both research and clinical needs. This study is scheduled to be completed in September 2003. Site selection and development of a business plan are expected to take another 6-9 months.

We are recommending \$2.5 million in state capital dollars be made available to commence an expedited planning and design process no later than July 2004. Private fund raising, patient care revenues, and the facilities portion of research indirect cost recoveries are expected to finance the remainder. This is similar to the model used to finance the Ross Heart Hospital.

While it is important to move this project forward expeditiously, siting and design must be done carefully. The Medical Complex is already heavily congested and poor siting and design decisions now could create unmanageable traffic flow and parking issues that would harm future growth for the entire medical center.

#### **6. Demolition of Lord Hall**

Our first priority with respect to capital planning on the central campus is that Lord Hall be demolished. Lord Hall is a significant deferred maintenance problem. The Department of Anthropology, OIT, some Music labs, and a Physical Facilities shop are still in Lord Hall. It is amazing that they are able to continue to function given the poor quality of the facility which is a deferred maintenance, safety, and health problem. Demolition of Lord Hall, once it has been vacated, can be paid for from Basic Renovation funds. The Lord Hall site could then be used for a new facility in the north academic core of campus.

#### **7. Smith Lab Renovation**

Smith Lab will be partially vacated when Physics moves its research programs into the new Physics Research Building. Several uses have been proposed for the space to be vacated in Smith Lab; in the near term, it will be used as swing space during the renovation of Jennings Hall. For the longer term, it has been suggested as a possible location for a multidisciplinary research facility.

There are, however, several questions to be studied before the future use of Smith Lab can be determined. Most importantly, will it be possible to effectively renovate the building so that it might be used as a modern lab facility? Should the building be torn down and replaced by new construction? If there is a major renovation or new construction, what swing space is available for the Physics units in the building and the classrooms?

While these issues are studied, we propose that Smith Lab continue to be used as swing space. In particular, we recommend moving the occupants of Lord Hall to Smith Lab in order to vacate Lord Hall as soon as possible. Anthropology and OIT had requested a permanent move to Cunz Hall; however, since Smith Lab already has lab space that could meet the needs of Anthropology, this is a less expensive solution. The Physical Facilities shop in Lord Hall also will need a new home. Prior to the move, we recommend using \$3.5 million for the renovation of Smith Lab for use by Physics, the Classroom Pool, Anthropology, Music, and OIT. Since the demolition of Lord Hall is our highest priority in the central campus and since the use of Smith Lab as swing space facilitates the demolition, this is a high priority for funding of new projects through the FY 2005 – FY 2006 Capital process.

### **8. Brown Hall Rehabilitation**

Brown Hall will be vacated once the new Knowlton School of Architecture building is completed. We recommend \$1.0 million in planning money for its renovation or replacement.

Both the College of Humanities and the College of Social and Behavioral Sciences have requested this space. We recommend that the planning money be used to explore the possibility of using the site for the College of Humanities and the Classroom Pool.

Humanities would consolidate the Department of English, the Center for the Study and Teaching of Writing, the Writing Workshop, the Folklore Center, the Center for Medieval and Renaissance Studies, and Disability Studies into one location. English is a selective investment department that is growing and is currently under-housed in Denney Hall. English is also the recipient of a Departmental Teaching Award and teaches every undergraduate student at the University. In addition to office and meeting space, a new building on the Brown Hall site would house classrooms and labs that would serve as an innovative model for instructional space

designed as an accessibility showcase. The Brown Hall site is in the academic core of the University and provides an ideal location for a central arts and sciences discipline that has an essential undergraduate instructional mission.

The College of Social and Behavioral Sciences has proposed moving the Economics Department, the Center for Human Resource Research, the Center for Survey Research, the Criminal Justice Center, and the Initiative in Population Research to the Brown Hall location. Economics is another selective investment unit that is continuing to grow and the co-location of the researchers associated with the Centers together with Economics would facilitate and greatly enhance interdisciplinary interactions. However, we recommend pursuing other options for their co-location.

### **Summary of FY 2005 – FY 2006 Capital Recommendations for State Appropriations (in millions)**

<b>Project</b>	<b>Previous Funding</b>	<b>State Capital Funds Recommended for FY 2005-2006</b>	<b>Total Project Cost</b>
Existing Commitments			
1. Main Library	\$ 4.5	\$10.0	\$ 99.0
2. Mechanical Engineering	23.5	29.0	72.5
3. Psychology	18.0	17.0	35.0
4. Student Rec Center	28.0	<u>8.0</u>	<u>139.0</u>
Sub-Total	\$74.0	\$64.0	\$345.5
New Commitments			
5. Cancer Center Expansion	NA	2.5	<b>TBD</b>
6. Lord Hall Demolition	NA	NA	0.5



7. Smith Lab Renovation	NA	3.5	TBD
8. Brown Hall Renovation	NA	1	TBD
Sub-Total		\$7.0	
Grand Total	\$74.0M	\$71.0M	TBD

These recommendations provide for a total spending level of \$71 million. If less is appropriated, then projects will need to be scaled back in priority order.

#### **D. Group Four: Other Projects**

In addition to the projects listed above, we considered several additional projects for presentation. They were initially chosen for consideration from among the many requests submitted for emerging projects because of the impact they would have on the quality of our research and instructional programs and on the services we provide to our students. As is always the case, there is not enough state funding available to provide planning funds or support for all of the emerging projects.

##### **Transportation and Parking Infrastructure**

Continued access for vehicular and pedestrian traffic is critical to a physical environment that is supportive of the Academic Plan. The 1999 long range transportation and parking plan put in place policies to assure this goal was achieved. This included a funding mechanism to assure resources are available to replace surface parking eliminated due to construction. Rather than try to assess individual projects, it was agreed this would be achieved through a uniform assessment on funding sources. All projects funded with University backed bonds pay a charge of 20 basis points. For projects using state funds, it is recommended that \$1.5 million of central funds be reallocated for this purpose.

##### **Planning Studies**

Four specific projects were identified in the capital review process as being worthy of additional planning funds. These are:

- Multidisciplinary Research Center
- FAES Master Plan Phase I
- Hughes Hall Rehabilitation/New Music Building
- Murray Hall (to be assigned to the OSU Medical Center)

These four projects address areas that are important to the Academic Plan. Although \$2.0 million in state funds were included for these projects in the preliminary recommendations, it is now clear that state funds of that amount will not be available in FY 2005 – FY 2006. Therefore, we recommend the Space and Facilities Committee use the \$800,000 in uncommitted FY 2004 funds at its disposal to advance planning for each of these projects in an amount it feels appropriate.

##### **Cunz Hall Rehabilitation**

Cunz Hall was requested by the Department of Anthropology and by OIT. The cost of renovating this space for any sort of laboratory use is very high. This building is in relatively good shape and, unlike Lord Hall, is not a deferred maintenance problem. It could easily be used for swing, academic, or administrative space with little or no renovation. We do not recommend funding for renovation of this building at this time.

##### **Academic Medical Center**

This project would involve a renovation of and an addition to Graves Hall and renovation of Meiling Hall. The proposed project will create spaces that support the College's curricular reform initiative and facilitate instructional innovation. This is essential if the college is to continue to attract excellent students. We recommend this project be considered for planning funds in the next biennium.

### **Koffolt Lab Building**

The Department of Chemical Engineering is highly ranked with growing research and instructional programs. Current faculty average over \$500,000 per year in sponsored research support, and there are two members of the National Academy of Engineering on the faculty. In addition, the department has received a Departmental Teaching Award. The College of Engineering is requesting that Koffolt Lab, the current home of the department, be expanded and renovated to meet the growing needs of the department. Data is being gathered as part of the Research Facilities Needs Study on research programs at peer institutions to assist in the planning for future research space needs of the department. We recommend that this project be considered for funding in FY 2007 – FY 2008.

### **Institute for Behavioral Medicine Research**

The Office of Health Sciences has requested multidisciplinary research space for the Institute for Behavioral Medicine Research. The IBMR has significant funding from NIH and the National Institute for Dental Research. Its research findings are impressive and widely reported. Faculty members from multiple colleges are involved in institute-related projects. However, we do not recommend funding for this project at this time. Instead, we encourage those involved in the Institute to pursue alternative funding sources and facilities.

### **Biological Sciences Building Addition**

Based on a site visit made to the Biological Sciences building, it is evident that the state of the current facility is a hindrance in the recruitment and retention of faculty and students. However, we do not recommend funding for the project at this time. Basic renovation funds of \$1 million were allocated in the current biennium to renovate research labs in the Biological Sciences building, and it is our hope that these renovations will enhance the research programs housed in the building.

### **Wilce Student Health Center**

This renovation is needed, but we do not recommend funding for this project out of state capital funds. We recommend that this unit look at alternative sources of funding for the project. Our staff has volunteered to meet with representatives from the Wilce Student Health Center to discuss other possible funding sources and funding models.

## **III. Other Projects (Non-State Funded)**

As state resources become more and more limited, it is imperative that the University turns to other sources to finance capital projects, but it is also important that all projects, regardless of source, be part of a comprehensive capital effort. This effort began in 1999. This year we are expanding upon the listing of projects funded by other revenue sources in order to give the campus community and the Board of Trustees a full appreciation of capital construction plans.

We are highlighting two projects for continued development, but without state capital funds. These are the Ohio Union Project and the Oval Renovation. Both of these projects play an important role in support of the Academic Plan, but need to be funded from sources other than state capital dollars.

### **Ohio Union Renovation**

The Ohio Union is a core student services building and a significant deferred maintenance problem. The original building was constructed in 1950 and no longer meets the needs of today's students. As part of the last biennium capital process, we attempted to develop a project that combined Union renovation and Enrollment Services into one project. This turned out not to be feasible for programmatic and financial reasons.

We then authorized the Office of Student Affairs and the Office of Facilities Planning and Development to conduct a feasibility study for a new or renovated Ohio Union. This study, to be

completed in August 2003, identifies three options ranging from \$56 million to \$104 million in FY 2007 dollars.

We think it is imperative that the Ohio Union be renovated as soon as possible. While we want to wait until the feasibility study is completed before making any final recommendations, we are concerned about a major expansion of space at this time. While we want our students to have a high quality facility, the addition of more programming space in the Union has to be judged in the context of the significant increase in recreational space in Larkins Hall, student support space in the Success Center, and the expansion of activities through Campus Partners.

We also need to have a thorough discussion regarding funding. Only between \$20 million and \$25 million has been identified to date. Due to the needs of the Library Renovation and other academic buildings, state funds are not likely to be available. A dedicated student fee is an option, but such a proposal was turned down by students in a University-wide referendum in 1995. Possible commitment of future student fee revenue needs to be judged in the context of other needs of the Academic Plan. In addition, University debt capacity is becoming more limited and the impact of using the University's debt capacity needs to be weighed in conjunction with other priorities.

Because students' needs and funding sources are subject to change, we also recommend consideration be given to building a base facility designed in a way that it can be added to or modified with minimal costs in future years.

### **Oval Restoration**

Improvement of the appearance of campus facilities and grounds is one of the 14 core strategies of the Academic Plan. Next to the Thompson Library, the Oval is one of the University's most recognizable spaces. \$1.5 million was allocated in the FY 2003 - FY 2004 biennium to begin the first phase of the project. This first phase will include an automatic sprinkling system to be installed in the summer of 2004. Since state capital funds are severely limited we recommend alternative funding sources be found for future phases.

Other non-state funded projects include the following:

### **OSU Medical Center**

In addition to the Biomedical Research facility, Ross Heart Hospital, 650 Ackerman Facility, Comprehensive Cancer Center Expansion, Murray Hall, Academic Medical Center, and the Behavioral Medicine Research Facility, each mentioned earlier in this document, the OSU Medical Center is doing the following projects with funding other than state capital:

Medical Center Helipad on Rhodes Hall	\$2.9M
Medical Center Central Sterile Supply Department	\$5.8M
Medical Center Central Chilled Water Expansion	\$4.3M
Health Sciences Quadrangle	\$2.8M
Cramblett Hall – OB/GYN Clinic	\$1.8M
University Hospital East Muscular Skeletal Program	\$10.9M
Wiseman Hall – Expand Comprehensive Cancer Ctr	\$8.8M
Automated Transport System for Univ Med Ctr-Ph I	\$4.2M
Automated Transport System for Univ Med Ctr-Ph II	\$12.4M
Camera Center – Hospital Labs	\$10.0M
University Hospital East – Emergency Department	\$4.0M
James Cancer Hospital – 3 East and 3 South	\$2.1M
Wiseman Hall – Buildout of 3 <sup>rd</sup> Floor Shell Space	\$3.6M
Total	\$73.6M

### **Office of Student Affairs**

In addition to the Student Rec Center and Ohio Union Renovation, both mentioned earlier in this document, Student Affairs is also doing or planning on starting the following major projects with funding other than state capital:

Graduate and Professional Student Housing	\$35.0M
Graduate and Professional Housing Retail Build-out	\$2.0M
Buckeye Village Community Center	\$5.6M
Fawcett Center First Floor Renovation	\$4-\$7M
Lima Campus New Student Housing	\$16.6M
Marion Campus New Student Housing	\$16.6M
Multipurpose Turf Field	\$1.3M
Mansfield Campus New Student Housing	\$2.5M
Newark Campus New Student Housing	\$9-\$16M
Stone lab – Cook Castle	\$2.3M
Kennedy Commons Renovation	\$10.0M
Total	\$106-\$116M

### Physical Facilities

These recommendations include \$30 million worth of additional projects requested by Physical Facilities regarding core utilities. These projects are necessary to either replace or renew aging facilities or to expand capacity in areas of identified need.

These facilities will be financed by University bonds. The bonds will be repaid by charges to users. Projects included are:

McCracken Power Plant Chiller Expansion	\$8.0M
McCracken Power Plant Boiler Repairs	\$3.0M
Overhaul/Upgrade #1 Condensing Turbine Generator	\$2.6M
Research Chilled Water Plant	\$7.5M
Put-in-Bay Water Line Extension	\$2.5M
North Hot Water Heating Loop	\$2.5M
East Chilled Water Plant	\$4.0M
Total	\$30.1M

### Department of Athletics

The Olympic Sports Campaign represents an effort by the Athletic Department to upgrade facilities in various sports. The campaign will be funded entirely through private gifts with operating, renewal, and replacement costs built into the Department's budget projections.

The Aquatics Center is a significant portion of the Student Recreation Center project. The Ice Rink and Softball Field Renovations assist with Title IX requirements.

Projects and the estimated costs include:

Aquatics Center/Larkins Hall	\$20.0M
Woody Hayes Athletic Center	\$13.8M
Ice Rink Upgrades and Additions	\$4.0M
Crew Team Boat House	\$2.5M
Tennis Center	\$5.5M
French Field House	\$2.0M
Softball Field	\$3.0M
Bill Davis Stadium	\$0.8M
Total	\$51.6M

### Regional Campuses

The four Regional Campuses and ATI are planning on the following major capital projects:

Lima – no capital request this biennium	NA
Mansfield – no capital request this biennium but planning on an Academic Building and an Ovalwood Hall Renovation in the future	\$13.3M
Marion – Renovation of first floor of Morrill Hall – Phase II. Requesting entire amount of 2005-2006 capital allocations, \$.7M, for planning and partial construction	TBD
Newark – possibly requesting funds for Warner Center, Science Suite, and/or Hopewell Hall roof project(s)	TBD
ATI – no capital request this biennium, but planning on a Horticulture Operations Building in the future	TBD

## OARDC

OARDC is requesting state capital funding for the following major capital projects this biennium:

Agricultural Engineering Building Renovation and Addition	\$3.8M
Plant and Animal AgroSecurity Research Facility (total project cost estimated at \$25.0M)	\$2.0M
Entomology Building (total project cost estimated at \$13.0M)	\$0.3M
Shelby Hall Greenhouse and Phytotron facility renovation (total project cost estimated at \$7.0)	\$1.5M
Total	\$7.6M

## Other Projects

The College of Human Ecology has proposed the creation of an early childhood development center in the Weinland Park neighborhood just east of the OSU campus. The new facility would replace the 76-year-old facility in Campbell Hall used by the A. Sophie Rogers Laboratory School for Child Development.

The proposed center will serve multiple needs in teaching, outreach and engagement and is expected to cost approximately \$10 million, which will come primarily from private gifts.

A gift of \$2.5 million has already been received. Plans are currently underway to develop a business plan as well as more detailed design and cost estimates.

## IV. Implications

In this section we address the implications of these projects in seven specific areas: the Academic Plan; impact on future capital requests; impact on deferred maintenance; impact on students, faculty, and staff; impact on future operating costs; impact on debt capacity; and impact on transportation and parking.

### Impact on Academic Plan

The Library Renovation and Multidisciplinary Research Center are specifically mentioned in the Academic Plan. Three of the carryover projects from the FY 2003 - 2004 biennium – Biomedical Research Tower and the Mechanical Engineering and Psychology buildings - are strongly related to supporting expanded sponsored research. Two of the high priorities for the next biennium also are supportive of this aspect of the Academic Plan – Comprehensive Cancer Center Expansion and Murray Hall.

Brown Hall Renovation improves instructional space for a selective investment department (English). The Lord Hall demolition/Smith Lab renovations provide improved teaching space and more functional facilities for a core support function (OIT) and an academic unit (Anthropology).

## Impact on Future Capital Requests

As we pointed out in our June 2001 recommendations for the FY 2003 - FY 2004 capital funding cycle, the Library Renovation would have a significant impact on future state capital requests for the next three biennia. This is certainly the case for the FY 2005 - FY 2006 cycle, where many worthy projects will receive planning money only or will receive no state funds at all.

If our recommendations are adopted for FY 2005 - FY 2006 we expect an appropriation of at least \$30 million will be needed for the Library Renovation in FY 2007 - FY 2008 in order for construction to proceed on a timely basis. The remainder of the state funding will be needed in the FY 2009 – FY 2010 biennium to complete the project.

Fortunately, the funding cycle for Mechanical Engineering, Psychology, and Academic space in the Student Rec Center will be completed in FY 2005 - FY 2006. This means if \$70 - \$75 million in state funds continues to be available, \$40-\$45 million will be left for other projects.

If our recommendation for planning funds for Brown Hall Renovation is adopted, approximately \$20 million would be needed to commence construction in FY 2007-FY 2008. That leaves between \$20 million and \$25 million to commence planning and design for projects such as the FAES multipurpose facility, School of Music facility, Multidisciplinary Research Center, Academic Medical Center and Koffolt Lab renovations, as well as any new projects identified during the FY 2007 – 2008 capital request process.

Clearly, additional work will need to be done over the next two years to identify additional resources and/or stage these projects differently.

The following table lays out possible funding scenarios for the next two biennia following FY 2005 - 2006 assuming our recommendations are adopted.

Project	Total Funding		FY 07-08	FY 09-10
	State	Other		
Library Renovation	\$69M	\$30M	\$30M	\$25M
Brown Renovation	TBD	TBD	\$20M	TBD
Amount Remaining			\$23.5M	TBD
Total			\$73.5M	\$45-\$50M

## Impact on Deferred Maintenance

Funding of these recommendations will address two of the University's most serious deferred maintenance problems. Brown Hall and Lord Hall are both on the Physical Facilities Dirty Thirty list of the 30 buildings in worst condition ranking #3 and #17, respectively (see attachment B).

Addressing these two facilities will provide for the demolition or renovation of 140,000 GSF. Acquisition of Murray Hall will add approximately 44,000 GSF of deferred maintenance to the University's space inventory for which funding will need to be identified.

## Impact on Students, Faculty, and Staff

A large majority of students and faculty and many staff members regularly use the buildings scheduled for rehabilitation or replacement in the next two years. These recommendations avoid occupancy of buildings during major renovations except for the Thompson Library. Large numbers of faculty, staff, and students will benefit from the improved teaching and research opportunities made possible by these projects and the enhanced recreational opportunities at the new Recreation Center.

## **Impact on Operating Costs**

Under the new budgeting system, all units are responsible for operating costs of additional space assigned to them. The current Library Renovation plan is designed/intended to be space neutral.

Brown Hall Renovation replaces an existing building so little or no additional space will be added to the University inventory. Lord Hall demolition will remove 65,000 GSF of poor quality space from the University's inventory.

The Comprehensive Cancer Center Expansion project and acquisition of Murray Hall will add space to the Medical Center's inventory in addition to expansions involving Biomedical Research Tower, Heart Hospital, Camera Center, and 650 Ackerman. These acquisitions are needed to address current and projected space shortages, but will require generation of additional revenues to fund increased operating costs.

## **Impact on Debt Capacity**

Over the last decade, the University has been more aggressive in using its own bonding capacity to fund capital projects. This has allowed the University to continue to improve its facilities despite very little growth in state funded capital support.

However, the University 's capacity to fund additional debt is not unlimited and the University is approaching the upper limit of its capacity at its current bond rating. A downgrade in bond rating could cost millions more in interest costs for future projects.

We believe the projects recommended in this memo can be financed within current capacity; however, if additional projects are added, or if current projects increase in scope, great care needs to be taken to make sure the University's bond rating is not adversely effected.

## **Impact on Transportation and Parking**

Meeting additional space needs without exacerbating parking and accessibility continues to be an enormous challenge. The new projects that are renovations or demolition will create temporary dislocations on the Columbus Campus, but will not increase long-run demand or congestion.

The Comprehensive Cancer Center Expansion project and Murray Hall renovation will create additional challenges on a part of the campus where congestion is already high and parking is already in short supply.

The completion of the new Medical Center garage and the Larkins Center garage will accommodate current demand, but disruption from the construction of the SR 315 ramps and the Biomedical Research Tower will make a difficult situation worse, at least initially. As we pointed out in the earlier discussion regarding the Comprehensive Cancer Center, it is imperative that siting and design for that facility be done very carefully in order to minimize access problems for patients, faculty, staff, and students on that part of campus.

## **Physical Feasibility**

In our recommendations two years ago we discussed the challenge of staging construction and renovation projects on the Columbus Campus east of the Olentangy River. Fortunately, these recommendations for FY 2005 – FY 2006 provide opportunities to eliminate some of the bottlenecks. Renovation of Smith Lab provides badly needed swing space on the north part of Main Campus. Renovation of Murray Hall could provide badly needed swing space in the Medical Center area. The demolition of Lord Hall frees up a site to address space needs in the north academic core of campus. Renovation of Brown Hall provides additional opportunities for a number of units.

We hope this information is helpful to you in evaluating our capital recommendations. With your approval we will circulate these recommendations across campus for input prior to finalizing them for the July Board of Trustees meeting.

c: Glen Funk  
Bill Hall  
John Meyer  
Jill Morelli  
Bob Moser  
Alayne Parson  
Tom Rosol  
Fred Sanfilippo  
Lee Walker  
Council of Deans  
Senate Committee on the Physical Environment  
Senate Fiscal Committee  
Space and Facilities Committee



**FY 2005-2006 Capital Budget  
Funding Principles**

The capital request guidelines sent to Deans and Vice Presidents on May 10, 2002 included the following guidelines on funding principles for capital projects:

With stable enrollment, limited funding, and a substantial amount of deferred maintenance, the priority for use of central funds (including state funds) will be to enhance or improve existing space and reduce operating costs. Therefore as a general rule:

1. Increased instructional or administrative space will not be assigned to a unit unless:

Paid for by the benefiting unit, or  
Reallocated from elsewhere.
2. Central funds will not be committed to additional operating costs.
3. Capital and operating costs for additional research space should come from unit/user resources, not central funds.
4. Any additional space for non-general fund units should come from that unit and meet the service needs of the University.
5. Fund raising is an important consideration but fund raising itself does not guarantee matching funds independent of the criteria listed above. Fund raising is particularly encouraged for upgrades and renovations that do not require additional central support.

Listed below are the buildings that Physical Facilities identified in a 1997 study to be physically or functionally obsolete and in need of major renovations or demolition. The table also shows the impact of the FY 1999-2000 capital recommendations on the timing of demolition or renovation; however, please note that timing recommendations for demolition or renovation reflect other factors than building condition, including: academic priorities, financial feasibility and staging issues. Those buildings are:

Building	GSF (thousands)	Replacement Cost (in millions)	Disposition D=demolition R=renovation	Expected Implementation Date	Funding Status
Welding Engineering	82,086	\$11.3	D	1998	yes
McPherson Lab	118,871	21.5	R	1999	yes
Brown Hall	74,902	10.7	D/R	TBD	requested
Research Center	139,296	18.1	R	TBD	
Plumb Hall	44,726	6.7	D	TBD	
Ramseyer Hall	86,433	12.5	R	TBD	
Jennings Hall	158,762	27.3	D&R	2006	yes
Larkins Hall	368,997	50.4	D	2006	yes
Smith Laboratory	220,489	37.2	R	TBD	
McCracken Power Plant	109,094	35.9	R	TBD	requested
Hughes Hall	61,564	9.2	R	TBD	requested
Jesse Owens Rec Centers	70,110	4.1	R	1997	yes
Starling Loving Hall	145,327	21.2	D/R	TBD	
Animal Science Building	61,739	9.7	R	TBD	
Caldwell Laboratory	101,976	14.7	R	TBD	
Campbell Hall	109,973	16.6	R	1999	yes
Lord Hall	65,445	9.0	D	TBD	requested
Cockins Hall	60,454	8.7	R	TBD	
Vivian Hall	52,976	7.5	D/R	TBD	
Pomerene Hall	69,269	10.0	R	TBD	
Watts Hall	40,622	5.9	R	TBD	
Evans Laboratory	121,994	22.9	R	TBD	
Service Building Annex	58,478	3.9	R	2001	yes
Robinson Laboratory	97,271	30.0	D	2006	yes
Ives Hall	52,414	7.2	R	2000	yes
Converse Hall	58,912	8.5	R	TBD	
Maintenance Building	50,876	5.1	D	TBD	
Hagerty Hall	137,019	19.8	R	2004	yes
Hopkins Hall	106,856	15.4	R	TBD	
Howlett Greenhouses	45,999	5.0	R	TBD	
Sisson Hall	133,079	23.0	D	2000	yes